

*Santa Ana Unified School District  
Board of Education*

**Board Meeting Agenda**

**Tuesday, December 10, 2013  
6:00 p.m.**

**Board Room  
1601 E. Chestnut Avenue  
Santa Ana**



**José Alfredo Hernández, J.D.  
Vice President**

**Audrey Yamagata-Noji, Ph.D.  
President**

**Rick Miller, Ph.D.  
Secretary /  
Superintendent**

**John Palacio  
Member**

**Rob Richardson  
Clerk**

**Cecilia "Ceci" Iglesias  
Member**

**If special assistance is needed to participate in the Board meeting, please contact Board Recording Secretary, at (714) 558-5515. Please call prior to the meeting to allow for reasonable arrangements to ensure accessibility to this meeting, per the Americans with Disabilities Act, Title II.**

***Mission Statement***

***The Santa Ana Unified School District is dedicated to high academic achievement, in a scholarly and supportive environment, ensuring that all students are prepared to accomplish their goals in life.***

## **BOARD OF EDUCATION MEETING INFORMATION**

### **Role of the Board**

The Governing Board is elected by the community to provide leadership and citizen oversight of the District's schools. The Board works with the Superintendent to fulfill its major role, including:

1. Setting a direction for the District.
2. Providing a basic organizational structure for the SAUSD by establishing policies.
3. Ensuring accountability.
4. Providing community leadership on behalf of the District and public education.

Agenda Items provided to the Board of Education that include the description of items of business to be considered by the Board for approval at Board Meetings. These items contain recommendations; the Board may exercise action they believe is best for the SAUSD.

### **Board Meeting Documentation**

Any and all supporting materials are made available to the public by the Public Communication Office. They may be reached from 8:00 a.m. – 4:30 p.m. at (714) 558-5555.

### **Public Comments at Board Meetings**

The agenda shall provide members of the public the opportunity to address the Board regarding agenda items before or during the Board's consideration of the item. The agenda also provides members of the public an opportunity to testify at regular meetings on matters which are not on the agenda but which are within the subject matter jurisdiction of the Board.

Individual speakers are allowed three minutes to address the Board on agenda or nonagenda items. The Board may limit the total time for public input on each item to 20 minutes. With the Board's consent, the Board President may increase or decrease the time allowed for public presentation, depending on the topic and the number of persons wishing to be heard. The Board President may take a poll of speakers for or against a particular issue and may ask that additional persons speak only if they have something new to add.

The Board urges that complaints and derogatory remarks against a District employee be made in writing on forms available in the Office of the Superintendent. This allows the District and the Board to examine more carefully the complaint and to initiate the appropriate investigation.

Persons wishing to address the Board on an item on the agenda or an item of business in the Board's jurisdiction are requested to complete a card. This card is to be submitted to the Recording Secretary. The *Request to Address the Board of Education* cards are located on the table in the foyer.

### **Televised Meeting Schedule**

The Regular Board of Education meetings are broadcast live on the second and fourth Tuesdays of each month on Channel 31. The meeting is replayed on Tuesdays at 6:00 p.m. and Saturdays at 3:00 p.m., following the Board of Education meeting.

Agenda and Minutes on District Website at <http://www.sausd.us>

BOARD OF EDUCATION  
REGULAR MEETING

SANTA ANA UNIFIED SCHOOL DISTRICT  
1601 EAST CHESTNUT AVENUE  
SANTA ANA, CA 92701

TUESDAY  
DECEMBER 10, 2013  
6:00 PM

## AGENDA

### CALL TO ORDER

### 5:00 P.M. RECESS TO CLOSED SESSION

- See Closed Session Agenda below for matters to be considered at this time.

### RECONVENE REGULAR MEETING

### 6:00 P.M. MEETING

### PLEDGE OF ALLEGIANCE

### RECOGNITIONS / ACKNOWLEDGMENTS

- Lorin Grisnet Academy Associate Student Body
- Segerstrom High School
- Valley High School
- Classified Employee of the Month for December 2013, Evangelina Lopez
- Customer Service Employee of the Month for December 2013, Sandra Barron

### SUPERINTENDENT'S REPORT

### PRESENTATIONS

- High School Inc., Academies at Valley High School Strategic Plan for 2013-16 School Years
- Fundamental School Lottery Registration Timeline for 2014-15 School Year

### PUBLIC PRESENTATIONS (Pursuant to Government Code 54954.3)

- Individuals or groups may make presentations or bring matters to the Board's attention that is within the Board's subject matter jurisdiction. Individual speakers are allowed three minutes to address the Board on agenda or non-agenda items.

## 1.0 APPROVAL OF CONSENT CALENDAR

- 1.1 Approval of Minutes of Regular Board Meeting - November 12, 2013
- 1.2 Approval of Extended Field Trip(s) in Accordance with Board Policy (BP) 6153 – School-Sponsored Trips and Administrative Regulation (AR) 6153.1 – Extended School-Sponsored Trips
- 1.3 Approval of Submission for Renewal Application for After School Education and Safety Program for 2014-17
- 1.4 Ratification of Renewal of Service Agreement with The Regents of The University of California Center for Educational Partnerships Irvine Math Project 2013-14 School Year
- 1.5 Approval of Submission of American Heart Association Teaching Gardens Grant Application for 2013-16 School Year
- 1.6 Approval of Memorandum of Understanding Between Learning for Life Program and Carver, Davis, Diamond, Edison, Franklin, Garfield, Jefferson, Kennedy, Lowell, Monte Vista, Pio Pico, Romero-Cruz, Taft, and Washington Elementary Schools for 2013-14 School Year
- 1.7 Approval of Agreement with Amplify Education, Inc., Formerly Intel-Assess, Inc., for Development and Creation of Assessments for 2013-14 School Year
- 1.8 Approval of Expulsion of Students for Violation of California Education Code Sections 48900, 48900.2, 48900.3, 48900.4, 48900.7, and/or 48915(c) According to Board Policy 5144.1
- 1.9 Approval of Payment and Reimbursement of Costs Incurred for Designated Instructional Services for Students with Disabilities for 2013-14 School Year
- 1.10 Ratification of Purchase Order Summary and Listing of Orders \$25,000 and Over for Period of October 23, 2013 through November 27, 2013
- 1.11 Ratification of Expenditure Summary and Warrant Listing for Period of October 23, 2013 through November 27, 2013
- 1.12 Approval/Ratification of Listing of Agreements/Contracts with Santa Ana Unified School District and Various Consultants Submitted for Period of October 23, 2013 through November 27, 2013
- 1.13 Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-12683 RV

- 1.14 Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-13087 RV
- 1.15 Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-13088 RV
- 1.16 Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-13290 RV
- 1.17 Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-12196 RV
- 1.18 Acceptance of Completion of Contracts for Various Projects District-wide
- 1.19 Adoption of Resolution No. 13/14-2991 – Support of Applications for Funding Under Charter School Facility Program and State School Facility Program
- 1.20 2013-14 Orange County Department of Education First Quarter Site Review Report
- 1.21 Authorization to Award Contract for Bid Package No. 1 New Building and Sitework at Franklin Elementary School Under Overcrowding Relief Grant Program
- 1.22 Authorization to Award Contract for Bid Package No. 1 New Building and Sitework at King Elementary School Under Overcrowding Relief Grant Program
- 1.23 Authorization to Award Contract for Bid Package No. 1 New Building and Sitework at Wilson Elementary School Under Overcrowding Relief Grant Program
- 1.24 Adoption of Resolution No. 13/14-2992 – Acceptance of Findings of Annual and Five-Year Reportable Developer Fees Report for Fiscal Year 2012-13
- 1.25 Ratification of Annual Organizational Meeting
- 1.26 Approval of Personnel Calendar
- 1.27 Acceptance of Gifts in Accordance with Board Policy 3290 – Gifts, Grants, and Bequests

Items removed from Consent Calendar for discussion and separate action:

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#### ANNOUNCEMENT

- The Board will recognize particular personnel and gifts.

## PUBLIC HEARING

- Approval of Four Quality Education Investment Act (QEIA) Waiver Applications to State Board of Education

## **REGULAR AGENDA - ACTION ITEMS**

- 2.0 Approval of Submission for Quality Education Investment Act (QEIA) Waiver Application to State Board of Education on Behalf of Lathrop and Willard Intermediate Schools, Sierra Preparatory Academy Intermediate School, Century High School
- 3.0 Acceptance of Annual Financial Audit Report for Fiscal Year 2012-13
- 4.0 Certification of First Interim Financial Status (Qualified)
- 5.0 Approve or Deny Charter Petition for Proposed United Charter School and if Denied Adopt Resolution No. 13/14-2990 Effectuating that Action
- 6.0 Adoption of Resolution No. 13/14–2989 – Close the Commercial Property Loophole
- 7.0 Approval to Appoint the SAUSD Deputy Superintendent, Educational Services and Approval of Employment Agreement
- 8.0 Appointment of Representative to Delegate Assembly for California School Boards Association Region 15

## BOARD REPORTS

- Board Reports/Activities

## RECESS TO CLOSED SESSION

See Closed Session Agenda below for matters to be considered at this time.

CLOSED SESSION AGENDA

- A. With respect to every item of business to be discussed in Closed Session pursuant to Education Code Sections 35146 and 48918:

STUDENT EXPULSIONS AND DISCIPLINE ISSUES

- B. With respect to every item of business to be discussed in Closed Session pursuant to Government Code Section 54956.9 (a) (b) (1) and (c):

CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION  
(One case)

- C. With respect to every item of business to be discussed in Closed Session pursuant to Government Code Section 54957:

PUBLIC EMPLOYEE DISCIPLINE/DISMISSAL/RELEASE  
PUBLIC EMPLOYEE CONTRACTS – Deputy Superintendent, Educational Services;  
Chief of School Police Services

- D. With respect to every item of business to be discussed in Closed Session pursuant to Government Code Section 54947.6:

CONFERENCE WITH LABOR NEGOTIATOR:                   SAEA, CSEA, CWA, SASPOA  
Bargaining Units  
Mr. Mark A. McKinney,  
District Negotiator

The Board may exercise discretion to adjourn to Closed Session at any time during this meeting to instruct its representatives regarding negotiations with represented and unrepresented employees.

RECONVENE REGULAR MEETING AND REPORT ACTION TAKEN IN CLOSED SESSION THAT IS REQUIRED TO BE REPORTED OUT AT THIS MEETING.

ADJOURNMENT

FUTURE MEETING - The next Regular Meeting of the Board of Education will be held on Tuesday, January 28, 2014, at 6:00 p.m.

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Lorin Grisette Academy Associate Student Body

**ITEM:** Acknowledgment

**SUBMITTED BY:** Richard L. Miller, Ph.D., Superintendent

**PREPARED BY:** Deidra Powell, Chief Communication Officer

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to acknowledge high school Associate Student Body (ASB) groups.

**RATIONALE:**

Board of Education members have requested high school ASB student groups attend Board meetings to address the Board and provide information on their school programs, activities, and share concerns.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Acknowledge Lorin Grisette Academy Associate Student Body.



**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Segerstrom High School  
**ITEM:** Recognition  
**SUBMITTED BY:** Richard L. Miller, Ph.D., Superintendent  
**PREPARED BY:** Deidra Powell, Chief Communication Officer

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to recognize the Segerstrom High School Varsity Football, Varsity Boys and Girls Cross Country, Varsity Girls Volleyball, Varsity Girls Tennis, and Varsity Boys Water Polo teams for being the Orange League Champions for their Division. As the Orange League Champions, the Varsity Boys' Water Polo team competed and has become the CIF champions.

**RATIONALE:**

Board of Education members have requested the recognition of high schools that have advanced to Orange League Champions and CIF Championship.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Recognize Segerstrom High Varsity Football, Varsity Boys and Girls Cross Country, Varsity Girls Volleyball, Varsity Girls Tennis, and Varsity Boys Water Polo teams as Orange League Champions for their Division and Varsity Boys Water Polo team for their CIF championship.

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Valley High School

**ITEM:** Recognition

**SUBMITTED BY:** Richard L. Miller, Ph.D., Superintendent

**PREPARED BY:** Deidra Powell, Chief Communication Officer

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to recognize Valley High School's Varsity Football, Varsity Boys' Water Polo, and Varsity Boys and Girls Cross Country teams for being Orange League Champions in their divisions.

**RATIONALE:**

Board of Education members have requested the recognition of high schools that have advanced to Orange League Champions and CIF Championship.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Recognize Valley High Varsity Football, Varsity Boys and Girls Cross Country, and Varsity Boys Water Polo teams as Orange League Champions for their Division and Varsity Boys Water Polo team for their CIF championship.

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Classified Employee of the Month for December 2013,  
Evangelina Lopez

**ITEM:** Recognition

**SUBMITTED BY:** Mark A. McKinney, Associate Superintendent, Human Resources

**PREPARED BY:** Mark A. McKinney, Associate Superintendent, Human Resources

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to recognize the Classified Employee of the Month for December 2013.

**RATIONALE:**

A selection committee, consisting of classified employees, has reviewed nominees and selected the Classified Employee of the Month for December 2013. The members have selected Evangelina Lopez, Office Manager, Fremont Elementary School.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Recognize Evangelina Lopez as Classified Employee of the Month for December 2013.

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Customer Service Employee of the Month for December 2013,  
Sandra Barron

**ITEM:** Recognition  
**SUBMITTED BY:** Mark A. McKinney, Associate Superintendent, Human Resources  
**PREPARED BY:** Mark A. McKinney, Associate Superintendent, Human Resources

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to recognize the Customer Service Employee of the Month for December 2013.

**RATIONALE:**

A selection committee, consisting of classified and certificated employees, has reviewed nominees and selected the Customer Service Employee of the Month for December 2013. The members have selected Sandra Barron, Office Manager, Sepulveda Elementary School.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Recognize Sandra Barron as Customer Service Employee of the Month for December 2013.

  
MAM:nr:ea

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** High School Inc., Academies at Valley High School Strategic Plan for 2013-16 School Years

**ITEM:** Presentation

**PREPARED BY:** Arturo Jimenez, Director, Constituency Services

**SUBMITTED BY:** Arturo Jimenez, Director, Constituency Services

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to present to the Board the High School Inc., Academies at Valley High School (HSI) Strategic Plan.

**RATIONALE:**

The HSI advisory committee which includes, HSI business and community partners and District staff have developed a three-year strategic plan for 2013-16. The plan incorporates the following four goals:

1. Creates ownership with stakeholders, students, parents, faculty, and business partners.
2. Creates stability and continuity for the HSI program.
3. Develop and implement a Master Public Relations Marketing Plan to increase participation and funding for HSI by December 2013.
4. Develop and implement articulation agreements and certifications for all academies which are meaningful to business and/or higher education by June 2015.

**FUNDING:**

Not applicable

**RECOMMENDATION:**

Presented for information.



HIGH SCHOOL INC. ADVISORY COMMITTEE

2013-2015 STRATEGIC PLAN

PRESENTED TO:

The Santa Ana Unified School District

Board of Trustees

December 10, 2013

HIGH SCHOOL INC.  
ADVISORY COMMITTEE  
2013-2015 STRATEGIC ACTION PLAN

THE “WHY” OF HIGH SCHOOL INC.

“Empowering Youth  
and Strengthening Communities  
through Education and  
Business Partnerships.”

HIGH SCHOOL INC.  
ADVISORY COMMITTEE  
2013-2015 STRATEGIC GOALS

1. BUY-IN
2. STABILITY AND CONTINUITY
3. AWARENESS AND OUTREACH
4. CERTIFICATIONS AND ARTICULATIONS



# STRATEGIC ACTION PLAN

## GOAL #1: "Buy-In"

*Create OWNERSHIP with ALL stakeholders –  
Students, Parents, Faculty, and Business Partners*

- 180 Students per Academy
- Each Academy with a minimum of 1 CTE teacher, plus 2 core teachers
- Each Academy with a minimum of 6 business partners, actively engaged with students at least once per month

STRATEGIC ACTION PLAN  
GOAL #2: "Stability and Continuity"

*Create STABILITY and CONTINUITY for  
the High School Inc. program.*

- Secure adequate funding - \$500,000 annually
  - High School Inc. Foundation
  - Santa Ana Chamber of Commerce
  - SAUSD
- Document and archive important High School Inc. related activities

# STRATEGIC ACTION PLAN

## GOAL #3: "Awareness and Outreach"

*Develop and implement a Master PR/Marketing Plan to increase participation and funding for High School Inc.*

- Hire professional PR/Marketing specialists to develop comprehensive Master Plan
- Prioritize Master Plan implementation
- Establish communication protocol with SAUSD

# STRATEGIC ACTION PLAN

## GOAL #4: "Certifications and Articulations"

*Develop and implement  
Articulation Agreements and Certifications  
for ALL Academies which are meaningful to  
Business and/or Higher Education*

- Review existing certifications and articulations and add new ones with an eye for do-ability and relevance to the job market and higher education

# 2013-2015 Strategic Action Plan Estimated Cost to Implement

ü Goal 1 – Buy-In	\$ 6,000
ü Goal 2 – Stability and Continuity	\$ 500,000/Yr
ü Goal 3 – Master PR/Marketing Plan	\$ 40,000
ü Goal 4 – Articulation Agreements and Certifications	\$ 10,000
Total Estimated Costs (Partial)	<u>\$ 556,000</u>

# 2012-2013 Senior Graduates

Valley High School	82.4%
High School Inc. (All Academies)	86.6%
Health Care Academy	90.9%
Global Business Academy	93.5%

# 2012-2013 Seniors Planning Continued Education in 2 or 4 year College or Career/Technical School

Valley High School	81.8%
High School Inc. (All Academies)	86.6%
Global Business Academy	87.1%
Health Care Academy	91.7%

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                   **Fundamental School Lottery Registration Timeline for 2014-15 School Year**

**ITEM:**                   **Presentation**

**SUBMITTED BY:** **Dawn Miller, Assistant Superintendent, Secondary Education**

**PREPARED BY:** **Dawn Miller, Assistant Superintendent, Secondary Education**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to provide the Board with a presentation regarding the Fundamental School Lottery Registration Timeline for the 2014-15 school year.

**RATIONALE:**

The presentation will include information on timeframes, parent meetings, and registration for kindergarten, grade 6, and grade 9.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Presented for information.





# Fundamental School Lottery Registration Timeline for the 2014-15 School Year

**Board of Education**

**Rick L. Miller, Ph.D., Superintendent**

**Dawn Miller, Assistant Superintendent, Secondary Education**

**Superior Standards**

**Supportive School Climate**

**Successful Students**

# Important Dates – Elementary

## (Incoming Kindergarten)

<b>December – January</b>	Publicize Kindergarten Sibling Priority and Open Lottery Registration information
<b>January 15 and 23</b>	Elementary school parent informational meetings
<b>January 24 – 31</b>	Distribute Kindergarten Sibling Priority Registration packets
<b>January 27 – February 14</b>	Window to sign up for fundamental elementary kindergarten lottery
<b>February 5</b>	Kindergarten Sibling Priority Registration packets due to elementary fundamental school office by 4:00 p.m.
<b>February 21</b>	Kindergarten lottery results posted and wait list established
<b>March 14</b>	Deadline to return lottery registration packets

**Superior Standards**

**Supportive School Climate**

**Successful Students**

# Important Dates – Intermediate

(Incoming 6<sup>th</sup> Grade)

<b>December – January</b>	Publicize Sixth Grade Sibling Priority and Open Lottery Registration information
<b>December 16</b>	Sixth grade letters of intent delivered to elementary fundamental schools
<b>January 24 – 31</b>	From results of letter of intent, Sixth grade Priority Registration packets distributed
<b>February 5</b>	Deadline to return Priority Registration packets to intermediate fundamental school office
<b>January 14 – 16</b>	Intermediate school parent informational meetings
<b>January 27 – February 14</b>	Window to sign up for fundamental intermediate sixth grade lottery
<b>February 21</b>	Sixth grade lottery results posted and wait list established
<b>March 7</b>	Deadline to return lottery registration packets

**Superior Standards**

**Supportive School Climate**

**Successful Students**

# Important Dates – High School

(Incoming 9<sup>th</sup> Grade)

<b>December – January</b>	Publicize Ninth Grade Sibling Priority and Open Lottery information
<b>January 13, 14, and 22</b>	High school parent informational meetings
<b>January 21 – 28</b>	Segerstrom Priority Neighborhood/Sibling Registration packet and Godinez Sibling Priority Registration packet distribution
<b>January 29 – February 5</b>	Window to sign up for Ninth grade Segerstrom and Godinez Fundamental lottery
<b>February 7</b>	Ninth grade lottery results posted and wait list established
<b>February 24</b>	Deadline to return lottery registration packets

**Superior Standards**

**Supportive School Climate**

**Successful Students**

# District High School Brochure

- This brochure can be used to help incoming students understand the program offerings, extraordinary school culture, and excellent learning environments characterized in each high school
- The brochure will be distributed to all eighth graders in the District and Charter schools during the week of January 13<sup>th</sup>

**Superior Standards**

**Supportive School Climate**

**Successful Students**

Santa Ana Unified School District  
1601 E. Chestnut Avenue  
Santa Ana, California 92701

MINUTES

REGULAR MEETING  
SANTA ANA BOARD OF EDUCATION

November 12, 2013

CALL TO ORDER

The meeting was called to order at 5:01 p.m. by Board President Hernández. Other members in attendance were Mr. Richardson, Dr. Yamagata-Noji, Mr. Palacio and Ms. Iglesias.

Cabinet members present were Dr. Miller, Dr. Phillips, Mr. McKinney, Ms. Lohnes, Mr. Dixon, Ms. Miller, and Dr. Rodriguez.

CLOSED SESSION PRESENTATIONS

Mr. Hernández asked those wishing to address the Board in matters pertaining to Closed Session to step to the lectern.

There were no individuals wishing to address the Board on matters of Closed Session.

RECESS TO CLOSED SESSION

The Regular Board meeting was immediately recessed to consider legal issues, negotiations, and personnel matters.

RECONVENE OPEN MEETING

The Regular Board meeting reconvened at 6:39 p.m.

PLEDGE OF ALLEGIANCE

The meeting was opened with the Presentation of Colors and the Pledge of Allegiance led by Boy Scout Troop 28.

ANNUAL ORGANIZATIONAL MEETING

Board President Hernández opened the Annual Organizational Meeting and declared that nominations were in order for the office of President.

President

Mr. Palacio entered the nomination of Mr. Richardson for President. Hearing no second, vote failed.

Mr. Richardson entered the nomination of Dr. Yamagata-Noji for President. Mr. Hernández seconded the nomination and the motion carried 5-0.

Newly-elected President Yamagata-Noji, will preside over the December 10, 2013 meeting as organization becomes effective.

Vice President

Nominations were opened for the office of Vice President. It was moved by Mr. Richardson, seconded by Dr. Yamagata-Noji, and carried 5-0, to elect Mr. Hernández to the position of Vice President.

Clerk

Dr. Yamagata-Noji nominated Mr. Richardson for the office of Clerk; Ms. Iglesias seconded the nomination and the motion carried 5-0.

District Representative

It was moved by Dr. Yamagata-Noji, seconded by Mr. Palacio, and carried 5-0, to appoint Ms. Iglesias to serve as District Representative for nominating members to the County Committee on School District Organization.

Political Action Group Effort (PAGE)

It was moved by Mr. Hernández, seconded by Mr. Richardson, and carried 5-0, to appoint Mr. Palacio and Ms. Iglesias to serve as representatives to the Political Action Group Effort (PAGE).

Establishment of Regular Meeting Dates, Time, and Location

It was moved Mr. Richardson, seconded by Mr. Palacio, and carried 5-0, to retain the present schedule for regular Board meetings. Closed Session begins at 4:30 p.m.; most meetings will take place on the second and fourth Tuesdays of the month at 6:00 p.m. in the Board Room of the District Administration Building, 1601 East Chestnut Avenue, Santa Ana, unless a meeting is moved to another site for space or other reasons.

Board Meeting Dates for 2014:

January 14 ( <b>Special Board Meeting</b> )	<b>Cancel</b>	<del>July 8</del>
<i>Fall/Winter Athletic Recognition and Meeting</i>		
January 28		July 22
February 11	<b>Cancel</b>	<del>August 12</del>
February 25		August 26
March 11		September 9
<b>Saturday</b> March 15 ( <b>Annual Planning Retreat</b> )		September 23
March 25		October 14
<b>Cancel</b> <del>April 8</del> ( <b>Spring Recess</b> )		October 28
April 22	<b>Cancel</b>	<del>November 11</del> ( <b>Veteran's Day Holiday</b> )
May 13	<b>New</b>	November 18 ( <b>Regular Board Mtg</b> )
May 27 ( <b>Special Board Meeting</b> )	<b>Cancel</b>	<del>November 25</del> ( <b>Thanksgiving Recess</b> )
<i>Spring Athletic Recognition and Meeting</i>		
June 10		December 9
June 24	<b>Cancel</b>	<del>December 23</del> ( <b>Winter Recess</b> )

Recess to Reception for Santa Ana Board of Education:

Reception hosted by Santa Ana Educators' Association (SAEA), California School Employees Association (CSEA), and Santa Ana School Administrators Association (SASAA).

RECONVENE OPEN MEETING

The Regular Board meeting reconvened at 7:15 p.m.

**SUPERINTENDENT'S REPORT**

Dr. Miller greeted all present and thanked everyone for the warm welcome he received at SAUSD. He shared a few highlights of last week when he visited Santa Ana High School and attended the Student Leadership Summit in association with the United States Hispanic Leadership Institute event. Dr. Miller also attended Friday night football games; Segerstrom vs. Santa Ana High School at the Segerstrom Stadium and Century High School the Santa Ana Bowl. He announced Valley High School won the CIF Orange League champions in boys' water polo, football, and boys and girls cross country.

Dr. Miller invited Max Gardner, CEO of the Orange County United Way to the lectern. Mr. Gardner addressed the Board about its long-standing partnership with the District and shared information about the SAUSD employee campaign.

On behalf of the District, Dr. Miller presented a Certificate of Appreciation to Robert Dodge, Chartered Organization Representative and Boy Scout Troop 28 for its 75 years of service to the Santa Ana community.

Karina Moreno, ASB President; Michelle Gonzalez, ASB Vice President; Ehab Bahrun, ASB Treasurer; Stephany Cabral, ASB Secretary; Jason Rojas, Senior Class President; and Megan Le, Junior Class President Represented Godinez Fundamental High School shared highlights of the various activities going on at their school with a Power Point Presentation.



Dr. Miller concluded his remarks by inviting Ms. Kara Duckworth and Mr. Mark Moehl of UC Irvine's Paul Merage School of Business Center for Investment and Wealth Management to the lectern and recognized seven SAUSD student participants: Beatriz Aguilar, Godinez Fundamental High School; Esmeralda Alcudia, Villa Fundamental Intermediate School; Kenia De La Cruz, Segerstrom High School; Karen Garcia, Santa Ana High School; Jennifer Gonzalez, Godinez Fundamental High School; Bernice Gutierrez, Segerstrom High School; and Adary Herrera, Villa Fundamental Intermediate School.

RECOGNITIONS

Classified Employee of the Month for November 2013, Luis Prado-Ramirez

Mr. Hernández called Mr. McKinney, Associate Superintendent, Human Resources to step to the lectern. He introduced Mr. Patrick Yrarrazaval-Correa, Principal at Valley High School, and Mr. Luis Prado-Ramirez. Mr. Prado-Ramirez was selected as the Classified Employee of the Month for November 2013 for being an exemplary employee, one who personifies all of the attributes that makes him an incredible asset to the school community. His attitude, day in and day out, is remarkably positive. Luis has a total "can do" approach to all tasks, and consistently puts students out in front of everything he does.

Customer Service Employee of the Month for November 2013, Gloria Arreola

Mr. McKinney, Associate Superintendent introduced Mr. Dennis McGeeney, Principal at Roosevelt Elementary School and Ms. Gloria Arreola. Ms. Arreola was selected as the Customer Service Employee of the Month for November 2013, for being the epitome of cordiality, efficiency, and grace. Parents know that she is a keen listener and understands the complexities and plight of many of the families at Roosevelt Elementary School.

PRESENTATION

High School Inc., Academies at Valley High School Strategic Plan for 2013-16 School Years

Presentation removed from Agenda; will be presented at a future Board Meeting.

PUBLIC PRESENTATIONS

Mr. Hernández asked those wishing to address the Board on matters related to agenda items to step to the lectern. Virginia Carrillo provided the Board with parent petitions regarding 21<sup>st</sup> Century Global Academy. Sirena Navarro addressed the Board on MacArthur Fundamental Intermediate's dress code. Guadalupe Barragan, Marina Cortez, and Cecilia Jimenez addressed the Board regarding the Edward B. Cole Charter. Kelly Osajima addressed the Board regarding the Resolution Campaign "Close the Loophole".

**1.0 APPROVAL OF CONSENT CALENDAR**

It was moved by Mr. Richardson, seconded by Dr. Yamagata-Noji, and carried 5-0, to approve the Consent Calendar as follows:

- 1.1 Approval of Minutes of Regular Board Meeting - October 22, 2013
- 1.2 Approval of Extended Field Trip(s) in Accordance with Board Policy (BP) 6153 - School-Sponsored Trips and Administrative Regulation (AR) 6153.1 - Extended School- Sponsored Trips
- 1.3 Approval of Submission for 21st Century Community Learning Centers Elementary and Middle School Programs Grant Application
- 1.4 Approval of Submission for Renewal of 21st Century High School After School Safety and Enrichment for Teens (ASSETs) Program Grant for Century and Valley High Schools
- 1.5 Approval of Submission for 21st Century High School After School Safety and Enrichment for Teens (ASSETs) Program Grant for Godinez Fundamental, Saddleback, Santa Ana, Segerstrom High Schools
- 1.6 Approval of Memorandum of Understanding with KidWorks Program for Participation in K-3 Read and Succeed Grant for 2013-15 School Years
- 1.7 Approval of Expulsion of Students for Violation of California Education Code Sections 48900, 48900.2, 48900.3, 48900.4, 48900.7, and/or 48915(c) According to Board Policy 5144.1  
  
330780 - Carr Intermediate  
For the violation of Education Code Section 48900, paragraph A that the Board expel the student from the schools of the District, and that the student may apply for readmission on or after June 19, 2014.  
  
335424 - Willard Intermediate  
For the violation of Education Code Section 48900, paragraph A that the Board expel the student from the schools of the District, and that the student may apply for readmission on or after June 19, 2014.
- 1.8 Approval of Master Contracts and/or Individual Service Agreements with Nonpublic Schools and Agencies for Students with Disabilities for 2013-14 School Year
- 1.9 Approval of Payment and Reimbursement of Costs Incurred for Designated Instructional Services for Students with Disabilities for 2013-14 School Year
- 1.10 Ratification of Purchase Order Summary and Listing of Orders \$25,000 and Over for Period of October 9, 2013 through October 22, 2013
- 1.11 Ratification of Expenditure Summary and Warrant Listing for Period of October 9, 2013 through October 22, 2013

- 1.12 Approval/Ratification of Listing of Agreements/Contracts with Santa Ana Unified School District and Various Consultants Submitted for Period of October 9, 2013 through October 22, 2013
- 1.13 Approval and Ratification of Disposal of Obsolete Unrepairable Computer Equipment, Miscellaneous Furniture, and Equipment
- 1.14 Authorization to Obtain Request for Proposals for Vehicle Fleet Management Services District-wide
- 1.15 Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District - File No. 13-11719 DP
- 1.16 Approval of Deductive Change Orders for Various Projects District-wide
- 1.17 Acceptance of Completion of Contracts for Various Projects District-wide
- 1.18 Authorization to Award Contract for Asphalt Maintenance Repairs of Basketball Courts at Monte Vista Elementary School
- 1.19 Approval of Pre-approved Consultant List for Land Appraisal Consulting Services for Future District Projects
- 1.20 Adoption of Resolution No. 13/14-2988 - Authorization of California Energy Commission Bright Schools Program Services to Reduce Energy Consumption and Costs
- 1.21 Acceptance of 2012-13 Annual Report for Williams Settlement Legislation
- 1.22 Approval of Board Members Attendance to California School Boards Association Annual Education Conference and Trade Show, San Diego, California, December 4-7, 2013

**REGULAR AGENDA - ACTION ITEMS**

- 2.0 ACCEPTANCE OF GIFTS IN ACCORDANCE WITH BOARD POLICY 3290 - GIFTS, GRANTS, AND BEQUESTS

It was moved by Mr. Hernández, seconded by Yamagata-Noji, and carried 4-0, Ms. Iglesias out of room, to accept gifts in accordance with Board Policy (BP) 3290 - Gift, Grants, and Bequests. Attached is a listing of the gifts.

- 3.0 ADOPTION OF HIGH SCHOOL ADVANCED PLACEMENT SPANISH LANGUAGE AND CULTURE TEXTBOOK

It was moved by Mr. Palacio, seconded by Mr. Richardson, and carried 4-0, Ms. Iglesias out of room, adopt the high school Advanced Placement Spanish Language and Culture textbook.

4.0 ADOPTION OF HIGH SCHOOL ADVANCED PLACEMENT SPANISH LITERATURE AND CULTURE TEXTBOOK

It was moved by Mr. Palacio, seconded by Mr. Richardson, and carried 4-0, Ms. Iglesias out of room, adopt the high school Advanced Placement Spanish Literature and Culture textbook.

5.0 APPROVAL OF MEMORANDUM OF AGREEMENT WITH COUNTY OF ORANGE THROUGH ORANGE COUNTY PROBATION DEPARTMENT FOR COMMUNITY DAY SCHOOL AND REENTRY SUPPORT SERVICES FOR 2013-18 SCHOOL YEARS

It was moved by Mr. Hernández seconded by Mr. Richardson, and carried 4-1, Dr. Yamagata-Noji dissenting, to approve the Memorandum of Agreement with the County of Orange through the Orange County Probation Department for Community Day School and Reentry Support Services for 2013-18 School Years with an amendment to review the program with data-driven outcomes, site administration and probation department in three months.

6.0 APPROVAL OF LETTER OF COMMITMENT WITH ORANGE COUNTY BAR FOUNDATION

It was moved by Mr. Richardson seconded by Mr. Palacio, and carried 5-0, to approve the Letter of Commitment with the Orange County Bar Foundation.

7.0 APPROVAL OF MEMORANDUM OF UNDERSTANDING WITH PHOENIX HOUSE BEHAVIORAL HEALTH INTERVENTION & SUPPORT SERVICES FOR 2013-14 SCHOOL YEAR

It was moved by Mr. Palacio seconded by Mr. Richardson, and carried 5-0, to approve the Memorandum of Understanding with Phoenix House Behavioral Health Intervention & Support Services for the 2013-14 school year.

8.0 APPROVAL OF MEMORANDUM OF UNDERSTANDING WITH WEST COAST UNIVERSITY, INC., DENTAL HYGIENE CLINIC SERVICES

It was moved by Mr. Hernández seconded by Mr. Palacio, and carried 5-0, to approve the Memorandum of Understanding with West Coast University, Inc., Dental Hygiene Clinic Services.

9.0 APPROVE OR DENY CHARTER PETITION FOR PROPOSED 21ST CENTURY GLOBAL ACADEMY AND IF DENIED ADOPT RESOLUTION NO. 13/14-2986 EFFECTUATING THAT ACTION

It was moved by Dr. Yamagata-Noji seconded by Mr. Richardson, and carried 3-2, Mr. Palacio and Ms. Iglesias dissenting, to adopt Resolution No. 13/14-2986 - Denying the Charter School Petition for 21<sup>st</sup> Century Global Academy.

10.0 APPROVAL OF PERSONNEL CALENDAR

It was moved by Mr. Hernández seconded by Dr. Yamagata-Noji, and carried 5-0, to approve the Personnel Calendar. Copy attached.

11.0 APPROVAL TO EXTEND DEPUTY SUPERINTENDENT, OPERATIONS, CBO EMPLOYMENT AGREEMENT

It was moved by Dr. Yamagata-Noji seconded by Mr. Richardson, and carried 4-1, Ms. Iglesias dissenting, to approve the extension of the employment agreement of Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO.

12.0 APPROVAL TO EXTEND ASSISTANT SUPERINTENDENT, SUPPORT SERVICES EMPLOYMENT AGREEMENT

It was moved by Dr. Yamagata-Noji seconded by Mr. Richardson, and carried 4-1, Ms. Iglesias dissenting, to approve the extension of the employment agreement of Doreen Lohnes, Assistant Superintendent, Support Services.

13.0 APPROVAL TO EXTEND ASSISTANT SUPERINTENDENT, FACILITIES AND GOVERNMENTAL RELATIONS EMPLOYMENT AGREEMENT

It was moved by Dr. Yamagata-Noji seconded by Mr. Richardson, and carried 4-1, Ms. Iglesias dissenting, to approve the extension of the employment agreement of Joe Dixon, Assistant Superintendent, Facilities and Governmental Relations.

14.0 BOARD AND STAFF REPORTS/ACTIVITIES

Dr. Yamagata-Noji

- Attended the Hope Alive Benefit Concert, thanked Deidra Powell for her assistance in the project; great seeing the Willard Drum Line.
- Reminded all of the upcoming community-welcome breakfast for Dr. Miller, Thursday, Nov. 21<sup>st</sup>; proceeds will support the Santa Ana Schools Foundation scholarship program, and art and music programs.
- Thanked her colleagues on Board for their confidence in her presidency nomination.

Ms. Iglesias

- Welcomed Dr. Miller; looks forward to the collaboration.
- Attended the Zocalo Fiesta in partnership with Delhi Center.
- Thanked Dr. Yamagata-Noji for extending the opportunity to participate in CSBA.

Mr. Richardson

- Welcomed Dr. Miller to SAUSD.
- Announced the Ribbon Cutting Ceremony with the City of Santa Ana for the new Garfield Community Center on Monday.
- Attended the fundraising dinner for Academic Institute for Scientific Studies, led by Paul Reardon; successful event.
- Wished everyone a Happy Thanksgiving!
- Thanked Mr. Hernandez for his leadership as Board President.

Mr. Palacio

- Attended the Zocalo-Delhi Community Center Fiesta; great event.
- Participated in the Pio Pico/Willard walk.
- Participated in the McDonalds Student Leadership Program - Breakfast & gift cards given to students.
- Attended the League of United Latin American Citizens Annual Woman of the Year banquet; congratulated Ms. Iglesias for her recognition.
- Orange County Hispanic Endowment Fund applications deadline January 17, 2014.
- Attended the Local Control Funding Formula Forum; went well; thanked Dr. Phillips and support staff for a successful event.

Mr. Hernandez

- Thanked Dr. Phillips, Ms. Lohnes, and Mr. Dixon for the impact they have made to the students of SAUSD; is happy to extend additional time to their contract.
- Welcomed Dr. Miller; looking forward to working with him.
- Wished everyone Happy Holidays.

**REPORT OF ACTION TAKEN IN CLOSED SESSION**

By a vote of 5-0, the Board took action to approve the two Workers' Compensation Stipulated Awards for former classified custodian, as named in Closed Session, Claim No. SUSD-005265 in the amount of \$78,093.63 and Claim No. SUSD-007882 in the amount of \$25,259.75.

Moved: Hernández \_\_\_\_\_ Richardson X Yamagata-Noji \_\_\_\_\_ Palacio \_\_\_\_\_ Iglesias \_\_\_\_\_

Seconded: Hernández \_\_\_\_\_ Richardson \_\_\_\_\_ Yamagata-Noji \_\_\_\_\_ Palacio X Iglesias \_\_\_\_\_

Vote: Ayes 5 Noes 0 Abstain \_\_\_\_\_ Absent \_\_\_\_\_

**ADJOURNMENT**

There being no further business to come before the Board, Mr. Hernández adjourned the meeting in memory of Jess Guerrero at 9:38 p.m.

The next Regular Meeting will be held on Tuesday, December 10, 2013, at 6:00 p.m.

ATTEST:

\_\_\_\_\_  
Rick Miller, Ph.D.  
Secretary  
Santa Ana Board of Education

SANTA ANA UNIFIED SCHOOL DISTRICT  
 GIFTS RECOMMENDED FOR ACCEPTANCE - November 12, 2013

School:	Gift:	Amount:	Donor:	Used for:
Garfield Elementary		\$495	McDonald's Mr. Raul López Orange	Field trips
Carl Harvey Elementary		\$4,000	Carl Harvey PFO Mrs. Minerva Mondragon Santa Ana	Field trips
Lincoln Elementary		\$1,494	Lincoln PTA Mrs. Leslie Horta Santa Ana	Instructional materials
Lowell Elementary		\$1,500	CABE Ms. Martha Villa Project Coordinator Santa Ana	Instructional materials
Thorpe Fundamental Elementary		\$6,080	Thorpe Fundamental PTA Ms. Becky Clevenger Santa Ana	Library Community Literacy Program
November 12, 2013 donations		\$13,569		
2013 Total donations	\$335,324	\$348,893		

For purposes of determining the estimated value of a gift, the District does not perform an appraisal or other such valuation, rather simply reports the value of the gift as provided by the donor.

DM:lr

1 RESOLUTION NO. 13/14-2986

2 BOARD OF EDUCATION

3 SANTA ANA UNIFIED SCHOOL DISTRICT

4 ORANGE COUNTY, CALIFORNIA

5  
6 DENYING CHARTER SCHOOL PETITION FOR

7 21<sup>ST</sup> CENTURY GLOBAL ACADEMY

8  
9 **WHEREAS**, pursuant to Education Code Section 47605 *et seq.*, the Governing  
10 Board of the Santa Ana Unified School District ("SAUSD" and/or "District") is  
11 required to review and consider authorization of charter schools; and  
12

13 **WHEREAS**, in January of 2012 a charter petition was submitted to the SAUSD  
14 Governing Board proposing the 21<sup>st</sup> Century Global Academy, which charter petition  
15 was processed by the District in accordance with the Charter Schools Act of 1992.  
16 After the petitioners received a copy of the District administrative staff's  
17 recommendation that the charter be denied, including a proposed resolution of  
18 denial setting forth a number of factual findings specific to that charter petition  
19 supporting denial, the petitioners withdrew the charter from further consideration  
20 by the SAUSD Governing Board; and  
21

22 **WHEREAS**, on or about September 10, 2013, the petitioners delivered to the  
23 District office a new charter petition ("Charter") for 21<sup>st</sup> Century Global Academy  
24 ("21<sup>st</sup> CGA" and/or "Charter School"); and  
25

26 **WHEREAS**, in accordance with the Charter Schools Act of 1992, the Charter was  
27 brought to the District Governing Board meeting of September 24, 2013, at which  
28 time it was received by the District Governing Board, thereby commencing the  
29 timelines for District Governing Board action thereon; and  
30

31 **WHEREAS**, a public hearing on the provisions of the 21<sup>st</sup> CGA Charter was  
32 conducted on October 8, 2013, pursuant to Education Code Section 47605, at which  
33 time the District Board considered the level of support for this Charter by  
34 teachers employed by the District, other employees of the District, and parents;  
35 and  
36

37 **WHEREAS**, at the public hearing the lead petitioner, a potential teacher at  
38 the Charter School, and one parent spoke in favor of the Charter; and



1 II. That the Governing Board, having fully considered and evaluated the Petition  
2 for the establishment of the proposed 21<sup>st</sup> Century Global Academy, hereby  
3 finds that it is not consistent with sound educational practice, based upon  
4 grounds and factual findings including, but not limited to, the following,  
5 and hereby denies the charter petition pursuant to Education Code Section  
6 47605:

7  
8 A. The Charter School presents an unsound educational program for the  
9 pupils to be enrolled in the Charter School. [Education Code Section  
10 47605(b)(1)]

11  
12 B. The petitioners are demonstrably unlikely to successfully implement the  
13 program set forth in the petition. [Education Code Section  
14 47605(b)(2)]

15  
16 C. The petition does not contain reasonably comprehensive descriptions of  
17 all of the required elements. [Education Code Section 47605(b)(5)]  
18

19 III. That the Governing Board of the Santa Ana Unified School District hereby  
20 determines the foregoing findings are supported by specific facts, including  
21 but not limited to the following:

22  
23 A. THE CHARTER SCHOOL PRESENTS AN UNSOUND EDUCATIONAL PROGRAM FOR THE  
24 PUPILS TO BE ENROLLED IN THE CHARTER SCHOOL. [Education Code Section  
25 47605(b)(1)]

26  
27 1. The Charter narrative describes extensive research supporting  
28 specific teaching strategies and approaches for at-risk learners.  
29 The Charter also includes examples of curricula from numerous  
30 reputable sources in the Appendices. However, the Charter does  
31 not present convincing evidence that the proposed 21<sup>st</sup> CGA will  
32 have a likelihood of success in implementing all of these  
33 strategies into a cohesive K-12 curriculum. No matter how valid  
34 the described bodies of research are, and no matter how well-  
35 written the examples from various Internet sources, merely  
36 describing the research of others and putting various examples of  
37 copyrighted curricula in the Appendices does not demonstrate that  
38 the petitioners can be successful in applying the research, and

1 programs that are UC-CSU approved and WASC accredited.

2  
3 As with English Language Arts, there is a summary of Math  
4 skills by grade level on pages 42 through 45. There are no  
5 course outlines accompanying these summaries. This lack of  
6 detail on curricula in the 7-12 grade levels is, again, of  
7 concern. There are lists of secondary math and science  
8 classes, each with a short one-paragraph description of the  
9 course. A one paragraph description, however, is  
10 inadequate to gauge the quality and rigor of this course  
11 work, and is nowhere near the level of detail that is  
12 required by UC to approve a course for A-G credit, or for  
13 WASC to approve accreditation.

14  
15 Notably, at the time the prior 21<sup>st</sup> CGA charter was  
16 withdrawn, one of the proposed findings supporting the  
17 recommendation of denial was based on the failure to flesh  
18 out the description of the secondary program. The lack of  
19 detail and explanation regarding the quality and rigor of  
20 the proposed program, and apparent lack of development of  
21 the secondary program as a whole, although the petitioners  
22 are requesting approval to add a grade level each year,  
23 including the secondary grades, is inadequate to allow the  
24 District to determine that approving the Charter would be  
25 consistent with sound educational practice.

26  
27 c. With the exception of some sample projects pulled from  
28 instructional websites such as ConnectEd California, there  
29 were no examples of how the same lesson would be taught to  
30 different populations (English learners, high achieving,  
31 low achieving) using differentiated instruction. Examples  
32 of Project Based Learning were all taken from preexisting  
33 published materials, but were not put into any particular  
34 context within a cohesive curriculum to be offered at 21st  
35 CGA, nor were they presented in a manner that shows how  
36 differentiated instruction would be used.

37  
38 2. The Charter specifies that the petitioners anticipate a high

1 This counters 21<sup>st</sup> CGA's assertion that "weaving" or  
2 "integrating" ELD into instruction, and not addressing it  
3 as a separate subject within the curriculum, would be  
4 sufficient to meet the instructional needs of English  
5 learners.

6  
7 The philosophies and principles of WestEd's QTEL are copied  
8 and pasted into the Charter, along with other cut and  
9 pasted terminology and methodologies which are linked to a  
10 vague promise of "large scale planning of ELD curricula"  
11 which will ostensibly come together to support EL students.  
12 However, the promise of planning ELD curricula is not  
13 supported by the Charter's own definition of  
14 ELA/Integrated ELD mentioned in the narrative and reflected  
15 in the schedules in Appendix A.

16  
17 Excerpts from Dr. Olsen's 2010 report "Reparable Harm" are  
18 also cut and pasted into the Charter, including the finding  
19 that Long Term ELs who are stuck in the intermediate level  
20 of fluency are in this predicament because of "...  
21 inappropriate placement in the mainstream (no program) ..."  
22 This excerpt cited in the Charter actually contradicts the  
23 overall claim that 21st CGA will be able to support English  
24 learners by integrating ELD into the mainstream English  
25 Language Arts and content curriculum rather than providing  
26 a separate ELD curriculum.

27  
28 b. The English proficiency levels cited in the petition  
29 reflect the old ELD standards and not the new Common Core  
30 English Standards. This raises additional questions  
31 regarding 21<sup>st</sup> CGA's preparedness to promote effective  
32 instruction for English learners.

33  
34 B. THE PETITIONERS ARE DEMONSTRABLY UNLIKELY TO SUCCESSFULLY IMPLEMENT THE  
35 PROGRAM SET FORTH IN THE PETITION. [Education Code Section  
36 47605(b)(2)]

37  
38 1. The Charter is premised in large part on the notion that it is

1 any explanation of the basis for the per ADA rate projected  
2 by 21<sup>st</sup> CGA, and the rate used does not match any applicable  
3 rates to the District's knowledge. School Services of  
4 California ("SSC"), a well-respected and widely relied upon  
5 California public school finance advisor, has made  
6 recommendations for charter school funding planning  
7 purposes using the Local Control Funding Formula ("LCFF"),  
8 which will be in place at the time 21<sup>st</sup> CGA proposes  
9 commencing operations. Pursuant to SSC's recommendations,  
10 charter schools should be using an LCFF per ADA rate of  
11 \$6,952 for K-3, \$7,056 for 4-6, \$7,266 for 7-8, and \$8,419  
12 for 9-12, and the amount of LCFF funding must then be  
13 reduced by the amount of in-lieu property taxes received by  
14 the charter school pursuant to Education Code Section  
15 47635. Each of these projected LCFF rates differs from the  
16 unsupported funding rates projected by 21<sup>st</sup> CGA. Based on  
17 21<sup>st</sup> CGA's enrollment and ADA projections, using the SSC's'  
18 recommended planning rates, 21<sup>st</sup> CGA has overestimated its  
19 ADA funding by approximately \$308,504. This overestimate  
20 on the revenue side negatively impacts the feasibility of  
21 21<sup>st</sup> CGA's budget and ability to implement its proposed  
22 program.

23  
24 b. The Charter specifies that 21<sup>st</sup> CGA "will strive to make  
25 compensation and benefits attractive and competitive with  
26 local professional teaching opportunities." The average  
27 teacher salary in the first year, however, is only \$65,000,  
28 which is far below the average teacher salary in SAUSD or  
29 other nearby school districts. Further, the per person  
30 health plan cost in the first year is only \$8,000, which in  
31 the District's experience (despite the fact that the  
32 District has much greater buying power than would 21<sup>st</sup> CGA),  
33 that amount is far below what would be necessary in order  
34 to obtain benefits for the employees that would be  
35 "competitive" with those offered by SAUSD or other nearby  
36 school districts. This issue is further exacerbated by the  
37 fact that 21<sup>st</sup> CGA is proposing an extended work year and  
38 significantly extended work day for its employees, which

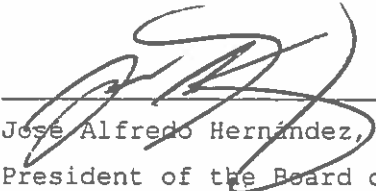
1 the fire sprinklers be aligned to the individual  
2 classrooms, therefore, the system would have to be rebuilt.  
3 Overall, based on the District's knowledge and experience,  
4 the District estimates that the required building  
5 improvements would cost over \$1 million. Further, the  
6 facilities component of the Charter does not mention  
7 whether the facility would include a kitchen to prepare and  
8 serve student meals. If a kitchen is proposed, it would  
9 increase the building improvement costs further and require  
10 inspection and approval by the Orange County Health  
11 Department.

12  
13 C. THE PETITION DOES NOT CONTAIN REASONABLY COMPREHENSIVE DESCRIPTIONS OF  
14 ALL OF THE REQUIRED ELEMENTS. [Education Code Section 47605(b)(5)]

15  
16 1. DESCRIPTION OF THE EDUCATIONAL PROGRAM OF THE SCHOOL. [Ed. Code  
17 §47605(b)(5)(A)(i)]

18  
19 All of the above-described concerns regarding the unsoundness of  
20 the educational program and the inadequacy of the Charter's  
21 description thereof are hereby incorporated herein by this  
22 reference.

23  
24 The foregoing resolution was considered, passed, and adopted by this Board  
25 at its regular meeting of November 12, 2013.

26  
27 By:   
28 José Alfredo Hernández, J.D.,  
29 President of the Board of Education  
30 Santa Ana Unified School District

31  
32  
33 Attest:

34   
35  
36 Audrey Yamagata-Noji, Ph.D.,  
37 Clerk of the Board of Education  
38

**CERTIFICATED PERSONNEL CALENDAR**

Personnel Calendar  
 Board Meeting - November 12, 2013

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>NEW HIRES/RE-HIRES</b>					
Brainard, Laurin	Teacher	Heninger	October 17, 2013		New Hire - Temporary 44909
Metz, Jennifer	Speech and Language Pathologist	Speech Department	October 21, 2013		New Hire - Waiver 44911
<b>CHANGE IN STATUS</b>					
Lefler, Kera	Speech and Language Pathologist	Speech Department	September 25, 2013		From Waiver 44911 to Probationary I
Parra, Silvia	Teacher	Jefferson	September 13, 2013		From Intern to Probationary II
<b>FAMILY CARE AND MEDICAL LEAVE (21 duty days or more) - Paid with Benefits</b>					
Licudine, Star	Nurse	Pupil Support Services	October 7, 2013	November 22, 2013	Statutory
Noriega, Belinda	Teacher	Muir	October 14, 2013	December 20, 2013	Statutory
Pena, Maricela	Teacher	Lorin Grisct	October 28, 2013	January 2, 2014	Statutory
Smith, Clo	Teacher	Special Education	September 3, 2013	January 21, 2014	Statutory
<b>EXTENSION ON FAMILY CARE AND MEDICAL LEAVE (21 duty days or more) - Paid with Benefits</b>					
Enriquez, Arturo	Teacher	Century	October 2, 2013	October 11, 2013	Statutory
Seaver, Alison	Teacher	Jackson	November 7, 2013	December 1, 2013	Statutory

Mark A. McKinney, Associate Superintendent, Human Resources

**CERTIFICATED PERSONNEL CALENDAR**

Personnel Calendar  
 Board Meeting - November 12, 2013

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>EXTENSION ON FAMILY CARE AND MEDICAL LEAVE (21 duty days or more) - Paid with Benefits (Continued)</b>					
Trujillo, Gabriela	Teacher	Sierra	October 8, 2013	October 23, 2013	Statutory
Zamudio, Amie	Teacher	Villa	October 30, 2013	November 22, 2013	Statutory
<b>EXTENSION ON FAMILY CARE AND MEDICAL LEAVE ABSENCE (3 to 20 duty days) - Paid with Benefits</b>					
O'Brien, Dow	Teacher	MacArthur	October 16, 2013	October 25, 2013	Statutory
<b>39-MONTH REEMPLOYMENT</b>					
Breckenridge, Paul	Teacher	Kennedy	October 24, 2013	January 24, 2017	
<b>EXTRA DUTY 2013-14</b>					
Brown, James	Teacher	Santa Ana	August 27, 2013	June 19, 2014	Extra Period
Conner, Christopher	Substitute	Special Project/ Wellness	October 1, 2013	November 30, 2013	Long Term Rate
Contreras, Juan C.	Teacher	Saddleback	September 23, 2013	June 19, 2014	Extra Period
Crippen, Gary	Teacher	Carr	August 27, 2013	June 19, 2014	Extra Period
Gjonovich, Sandra	Retired Substitute	Pupil Support Services	September 16, 2013	June 30, 2014	Retired Daily Rate
Lemus, Devora	Teacher	Independent Study Program	October 29, 2013	December 20, 2013	Extra Period
Prado, Rafael	Substitute	Special Project/ Wellness	October 1, 2013	November 30, 2013	Long Term Rate
Robinson, Carolyn	Retired Substitute	Child Development	September 25, 2013	April 6, 2014	Retired Daily Rate

Mark A. McKinney, Associate Superintendent, Human Resources

**CERTIFICATED PERSONNEL CALENDAR**

**Personnel Calendar**

**Board Meeting - November 12, 2013**

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>EXTRA DUTY 2013-14 (Continued)</b>					
Sanchez, Carlos	Substitute	Special Project/ Wellness	October 1, 2013	November 30, 2013	Long Term Rate
<b>GRADE LEVEL LEADERS 2013-14</b>					
Mayer, Jeanette		Adams	2013-14		
Evans, Jessica		Carver	2013-14		
McGeeney, Heather		Carver	2013-14		
Nuno, Marisela		Carver	2013-14		
Vilalta, Anna		Carver	2013-14		
Wakely, Alyssa		Carver	2013-14		
Aceves Bravo, Yadira		Diamond	2013-14		
Bogle, Barbara		Diamond	2013-14		
Culpepper, Anne		Diamond	2013-14		
Dodson, Kimberly		Diamond	2013-14		
Fields, Lisa		Diamond	2013-14		
Spira, Mary		Diamond	2013-14		
Wilson, Maureen		Diamond	2013-14		
Huddleston, Kimberly		Franklin	2013-14		
Kovash, Mary		Franklin	2013-14		
Scott, Randee		Franklin	2013-14		
Timmerman, Judith		Franklin	2013-14		
Winnie, Patricia		Franklin	2013-14		

**Mark A. McKinney, Associate Superintendent, Human Resources**



**CERTIFICATED PERSONNEL CALENDAR**

Personnel Calendar  
 Board Meeting - November 12, 2013

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>GRADE LEVEL LEADERS 2013-14 (Continued)</b>					
Yusuff, Zakaria		Franklin	2013-14		
Calderon, Kathleen		Harvey	2013-14		
Copenhaver, Jennifer		Harvey	2013-14		
Ferrey, Marylin		Harvey	2013-14		
Irwin, Pamela		Harvey	2013-14		
Rosen, Judy		Harvey	2013-14		
Sanchez, Christina		Harvey	2013-14		
Sokol, Melissa		Harvey	2013-14		
Torres, Josue J.		Harvey	2013-14		
Tufail, Vinod		Harvey	2013-14		
Tyree, Stephanie		Harvey	2013-14		
Filipek, Anastasia		Heninger	2013-14		
Hernandez, Mary L.		Heninger	2013-14		
Knight, Sharon		Heninger	2013-14		
Lopez, Liliana		Heninger	2013-14		
Moore, Melissa		Heninger	2013-14		
Ortega, Elsa		Heninger	2013-14		
Rowan, Sehra		Heninger	2013-14		
Stamos, Michelle		Heninger	2013-14		
Acosta, Arnulfo		Jefferson	2013-14		
Apodaca, Lidia		Jefferson	2013-14		
Campagnola, Loretta		Jefferson	2013-14		
Cobb, Jessica		Jefferson	2013-14		

Mark A. McKinney, Associate Superintendent, Human Resources

**Personnel Calendar**      **CERTIFICATED PERSONNEL CALENDAR**

**Board Meeting - November 12, 2013**

<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>COMMENTS</b>
<b>GRADE LEVEL LEADERS 2013-14 (Continued)</b>					
Kenaley, Aimee		Jefferson	2013-14		
Pherrin, Katherine		Jefferson	2013-14		
Riggs, Deenee		Jefferson	2013-14		
Arceo, Lorz		King	2013-14		
Flores, Iliana		King	2013-14		
Mocnik, Cynthia		King	2013-14		
Morita, Pamela		King	2013-14		
Munoz, Amarilis		King	2013-14		
Munoz, Lizdelia		King	2013-14		
Brady, Ruth		Monroe	2013-14		
Caceres De Lopez, Maritza		Monroe	2013-14		
Colombo, Anna		Monroe	2013-14		
Huff, Rebecca		Monroe	2013-14		
Kato, Terri		Monroe	2013-14		
Nava, Jose		Monroe	2013-14		
Wallace, Donna		Monroe	2013-14		
Barragan-Perez, Claudia		Pio Pico	2013-14		
Franco-Moore, Daniel		Pio Pico	2013-14		
Sologuren, Ana		Pio Pico	2013-14		
Spitzer, Cynthia		Pio Pico	2013-14		
Valencia, Walter		Pio Pico	2013-14		
Zavala, Nidia		Pio Pico	2013-14		

**Mark A. McKinney, Associate Superintendent, Human Resources**

**CERTIFICATED PERSONNEL CALENDAR**

Personnel Calendar  
 Board Meeting - November 12, 2013

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>GRADE LEVEL LEADERS 2013-14 (Continued)</b>					
Abascal, Aida		Remington	2013-14		
Coes, Patrick		Remington	2013-14		
Dennis, Denise		Remington	2013-14		
Kenyon, Allison		Remington	2013-14		
Leventhal, Elliot		Remington	2013-14		
Village, Kitty		Remington	2013-14		
Lundquist-Munoz, William		Romero-Cruz	2013-14		
Pedersen, Traci		Romero-Cruz	2013-14		
Boehmke, Chris		Roosevelt	2013-14		
Greenwood, Joy		Roosevelt	2013-14		
Guerrero, David		Roosevelt	2013-14		
Opp, Bonnie		Roosevelt	2013-14		
Pichardo, Hilda		Roosevelt	2013-14		
Rossmann, Erik		Roosevelt	2013-14		
Spencer, Meggen		Roosevelt	2013-14		
Adams, Sharon		Taft	2013-14		
Lostaunau, Jessica		Taft	2013-14		
Marosi, Nancy		Taft	2013-14		
Mata-Azvedo, Theresa		Taft	2013-14		
Mooch, Michelle		Taft	2013-14		
Pfleiderer, Cheryl		Taft	2013-14		
Scheid, Erin		Taft	2013-14		

**Mark A. McKinney, Associate Superintendent, Human Resources**

**Personnel Calendar CERTIFICATED PERSONNEL CALENDAR**

**Board Meeting - November 12, 2013**

<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>COMMENTS</b>
<b>GRADE LEVEL LEADERS 2013-14 (Continued)</b>					
Terhune, Rohry		Taft	2013-14		
Yen, Ju-Yin		Taft	2013-14		
Zanca, Rita		Taft	2013-14		
Botch, Karisa		Walker	2013-14		
Call, Brenda		Walker	2013-14		
Densberger, Alycia		Walker	2013-14		
Guzman, Ilian		Walker	2013-14		
Guzman, Tanya		Walker	2013-14		
Schuell, Carmen		Walker	2013-14		
Carey, Stephanie		Wilson	2013-14		
Morris, Zena		Wilson	2013-14		
Pabon, Namir		Wilson	2013-14		
Van Sky, Cathleen		Wilson	2013-14		
Washburn, Melinda		Wilson	2013-14		
<b>ELEMENTARY STUDENT GOVERNMENT/COUNSEL ADVISOR</b>					
Miller, Christopher		Walker	2013-14		
<b>WINTER SPORTS 2013-14</b>					
Aguilera, Jose M.	Assistant Coach	Godinez	2013-14		Soccer (Boys)
Brown, Tessa	Assistant Coach	Godinez	2013-14		Soccer (Girls)
Cannata, Ernie	Assistant Coach	Godinez	2013-14		Soccer (Girls)

**Mark A. McKinney, Associate Superintendent, Human Resources**

**CERTIFICATED PERSONNEL CALENDAR**

Personnel Calendar  
 Board Meeting - November 12, 2013

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>WINTER SPORTS 2013-14 (Continued)</b>					
Coombs, Gregory	Head Coach	Godinez	2013-14		Basketball (Boys)
Dodge, Scott	Assistant Coach	Godinez	2013-14		Basketball (Boys)
Fernandez, Ruben	Head Coach	Godinez	2013-14		Soccer (Boys)
Henderson, Sara	Head Coach	Godinez	2013-14		Soccer (Girls)
Morris, Jessica	Head Coach	Godinez	2013-14		Water Polo (Girls)
Perla, Samuel	Assistant Coach	Godinez	2013-14		Soccer (Boys)
Snyder, William	Assistant Coach	Godinez	2013-14		Basketball (Boys)
Watts, Matthew	Assistant Coach	Godinez	2013-14		Basketball (Boys)
Weissman, Ashleigh	Assistant Coach	Godinez	2013-14		Water Polo (Girls)
<b>APPROVAL TO REQUEST WAIVER FOR SPEECH LANGUAGE PATHOLOGIST SERVICES FOR SPECIAL EDUCATION 2013-14</b>					
Metz, Jennifer					
<b>SUBSTITUTES 2013-14</b>					
Adams, Irais					
Aguayo, Jairo					
Aguilera, Jeannette					
Alejandro, Erica					
Anderson, Rachael					
Dong, Josephine					
Ellis, Ruth					
Evans, Vicki					

Mark A. McKinney, Associate Superintendent, Human Resources



**Personnel Calendar**

**Board Meeting - November 12, 2013**

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>RETIRED SUBSTITUTES 2013-14</b>					
Antosh, Carol					
Butcher, Robert					
Escalante, Charles					
Gjonovich, Sandra					
Robinson, Carolyn					
<b>ROP SUBSTITUTES 2013-14</b>					
Hillman, Darlene					

Mark A. McKinney, Associate Superintendent, Human Resources

**AGENDA ITEM REQUESTS  
CERTIFICATED  
2013-14**

TITLE OF ACTIVITY	SITE	FUNDING	NOT TO EXCEED	EFFECTIVE
After/Before School Tutoring	Heninger	CAHSEE	\$2,380	November 13, 2013
After School Homework Help	Willard	Title I	\$15,000	November 13, 2013
After School Tutoring	Adams	Title III	\$10,000	December 1, 2013
Before/After School Tutoring Program	Santiago	EIA-SCE	\$4,400	November 13, 2013
Before/After School Tutoring Program-EL	Santiago	EIA-SCE	\$2,500	November 13, 2013
CAHSEE Remediation	Middle College	CAHSEE	\$4,921	November 13, 2013
CCSS Curriculum Units of Study Writing	Educational Services K-12	Staff Development	\$2,400	November 13, 2013
CCSS HIV/STD High School Unit Training	Staff Development	Title I	\$20,000	May 1, 2014
Clinic Tutoring	Segerstrom	EIA-SCE	\$8,500	November 13, 2013
Common Core Training	Spurgeon	General	\$5,000	November 13, 2013
Common Core Unit Of Study Trainings	Educational Services K-12	Title I	\$47,000	November 13, 2013
Core/TOSA Teacher Leader Trainings	Staff Development	Title I	\$25,000	November 13, 2013
Data Chats & Teacher Release Days (Ratification)	Romero-Cruz	Title I	\$2,000	October 22, 2013
Detention Monitor (Ratification)	Century	General	\$15,000	August 27, 2013
Extra Duty - Classroom Move (Ratification)	Support Services - Heroes	Special Education	\$600	August 19, 2013
Extra Duty - IEP Writing (Ratification)	Support Services - Various Sites	Special Education	\$5,000	August 27, 2013
Hacia Adelante Program Planning	English Learner Programs & Student Achievement	Title I	\$600	November 13, 2013
Hacia Adelante Staff Development Instructor	English Learner Programs & Student Achievement	Title I	\$600	November 13, 2013

**Board Meeting  
November 12, 2013**



**AGENDA ITEM REQUESTS  
 CERTIFICATED  
 2013-14**

TITLE OF ACTIVITY	SITE	FUNDING	NOT TO EXCEED	EFFECTIVE
Hacia Adelante Tutor - Program Planning	English Learner Programs & Student Achievement	Title I	\$28,000	November 13, 2013
Hacia Adelante Tutor Professional Development	English Learner Programs & Student Achievement	Title I	\$17,200	November 13, 2013
Hacia Adelante Tutoring	English Learner Programs & Student Achievement	Title I	\$400,000	November 13, 2013
Library Study Hours (Correction previously approved August 27, 2013)	Segerstrom	From General Funds to EIA-SCE	\$2,585	November 13, 2013
MTSS Support Training (Correction previously approved July 23, 2013)	Support Services	Title I	Additional \$65,000	January 1, 2014
Professional Development Workshops	Santiago	Title I	\$750	November 13, 2013
SAEA President Designee 2012-13 (Ratification)	Human Resources	General Substitute Account	\$2,115	July 1, 2013
SAEA President Designee 2013-14 (Ratification)	Human Resources	General Substitute Account	\$9,144	July 1, 2013
Saturday Academy Of Mathematics	Willard	EIA-SCE	\$12,000	January 13, 2014
Saturday Attendance Recovery Program	Willard	Title I	\$5,000	November 13, 2013
Site Benchmark Extended Response Training And Scoring	Educational Services K-12	Title I Set Aside Funds/Core Waiver Funds	\$190,000	November 13, 2013

**CLASSIFIED PERSONNEL CALENDAR**

**Personnel Calendar**

**Board Meeting - November 12, 2013**

NAME	POSITION	SITE	EFF. DATE	END DATE	SALARY	COMMENTS
<b>RETIREMENTS</b>						
Anaya, Arlene	Sr. Fd. Svc. Wkr.	MacArthur	December 25, 2013			12 years, 2 months
Lara, John	Welder	Bldg. Svcs.	July 10, 2013			14 years, 5 months
Tran, Hung	Ed. Research Data Support Specialist	Research & Evaluation	December 10, 2013			30 years, 11 months
<b>RESIGNATIONS</b>						
Adornetto, Thomas	Instr. Asst. Computers	Muir	October 28, 2013			Personal - 11 months
Becerra, Alicia	SSP Special Ed.	Lowell	November 8, 2013			Personal - 25 years, 9 months
<b>TERMINATIONS</b>						
ID # 24268	Fd. Svc. Wkr.	Food 4 Thought	March 13, 2013			
ID # 27648	Instr. Asst. DHH	Taft	October 25, 2013			
<b>ABSENCE (3 to 20 duty days) - Without Pay</b>						
Perez, Monica	Instr. Asst. Sp. Ed.	Saddleback	October 14, 2013	November 8, 2013		Personal

**CLASSIFIED PERSONNEL CALENDAR**

Personnel Calendar  
Board Meeting - November 12, 2013

NAME	POSITION	SITE	EFF. DATE	END DATE	SALARY	COMMENTS
<b>FAMILY CARE &amp; MEDICAL LEAVES (3 to 20 duty days) - Paid</b>						
Colin, Nancy	Site Clerk	Mendez	October 16, 2013	November 5, 2013		Statutory Leave
Garcia, Olivia	Preschool Teacher	ECE	November 4, 2013	November 20, 2013		Statutory Leave
Marthell, Armonia	Sch. Off. Mgr. Elem.	Monte Vista	October 23, 2013	November 5, 2013		Statutory Leave
Varela, Irene	Fd. Svc. Spvr. Elem.	Fremont	October 28, 2013	November 22, 2013		Statutory Leave
<b>FAMILY CARE &amp; MEDICAL LEAVES ( 21 duty days or more) - Paid</b>						
Roberto, Darlene	District Attendance Specialist	Accounting Department	October 16, 2013	October 16, 2014		Statutory Leave
Simich, John	Painter	Bldg. Svcs.	October 14, 2013	December 31, 2014		Statutory Leave
<b>LEAVE (21 duty days or more) - Without Pay</b>						
Caldera, Patricia	Autism Paraprofessional	Mitchell	October 16, 2013	November 27, 2013		Personal
<b>PROBATIONARY APPOINTMENTS</b>						
Espinoza, Cecilia	DSO	Carr	October 21, 2013		31/1	
Fuentes, Veronica	Fd. Svc. Spvr. Elem.	Jackson	October 14, 2013		15/1	
Gil-Mejia, Sury	Fd. Svc. Spvr. Int.	Mendez	October 14, 2013		27/1	
Gomez, Noemi	SSP Special Ed.	Greenville	October 21, 2013		19/1	
Zaragoza, Joceline	SSP Special Ed.	Taft	October 11, 2013		19/1	

Mark A. McKinney, Associate Superintendent, Human Resources

**CLASSIFIED PERSONNEL CALENDAR**

**Personnel Calendar  
Board Meeting - November 12, 2013**

NAME	POSITION	SITE	EFF. DATE	END DATE	SALARY	COMMENTS
<b>PROMOTIONAL APPOINTMENTS</b>						
Arciga, Edith	Interpreter/Translator Sp. Ed.	Special Ed.	October 21, 2013		32/3	
Mojarra, Cynthia	Instr. Asst. Sev. Dis.	Santa Ana	October 28, 2013		20/4	
O'Campo, Winona	Fd. Svc. Spvr. Elem.	Diamond	September 23, 2013		15/6	
Torres-Gomez, Beatriz	Fd. Svc. Spvr. Elem.	Food 4 Thought	September 30, 2013		15/3	
<b>REASSIGNMENTS (Change of Work Site)</b>						
Hernandez, Griselda	Instr. Asst. Sev. Dis.	Mcfadden	August 27, 2013		20/2	
Mejia, Yesenia	Fd. Svc. Spvr. Elem.	Sepulveda	September 23, 2013		15/5	
Rios Tellez, Justino	DSO	Willard	October 12, 2013		31/1	
<b>TEMPORARY ASSIGNMENTS - Out of Class Compensation</b>						
Barcnas-Muñoz, Olivia	Admin. Clerk II	RTC	October 14, 2013	November 22, 2013	20/6 + Bil.	
Camberos, Gabriel	Inter. Ld. Custodian	Bldg. Svcs.	October 1, 2013	October 31, 2013	25/6	
Cervantes, Rosalba	Sr. Fd. Svc. Wkr.	Food 4 Thought	August 27, 2013	June 19, 2014	13/6	
Cuevas-Vite, Edith	Interpreter/Translator Special Ed.	Special Ed.	September 24, 2013	October 4, 2013	32/2	
Enriquez, Marisela	Fd. Svc. Spvr. Inter.	Food 4 Thought	August 27, 2013	June 19, 2014	27/1	

**CLASSIFIED PERSONNEL CALENDAR**

**Personnel Calendar**

**Board Meeting - November 12, 2013**

NAME	POSITION	SITE	EFF. DATE	END DATE	SALARY	COMMENTS
<b>TEMPORARY ASSIGNMENTS - Out of Class Compensation (Continuation)</b>						
Guillen, Juanita	Sr. Fd. Svc. Wkr.	Food 4 Thought	August 27, 2013	June 19, 2014	13/5	
Guillen, Miguel	Interpreter/Translator Special Ed.	Special Ed.	September 24, 2013	October 4, 2013	32/2	
Lara Cruz, Adolfo	Rv. Ld. Custodian	Bldg. Svcs.	October 1, 2013	October 31, 2013	28/2	
Mojica, Rita	Sr. Fd. Svc. Wkr.	Food 4 Thought	August 27, 2013	June 19, 2014	13/6	
Pantoja, Guadalupe	Sr. Exec. Secretary	Business Services	October 7, 2013	October 11, 2013	37/3 + Bil.	
Perez, Juan	Plant Custodian HS	Bldg. Svcs.	October 3, 2013	October 23, 2013	35/2	
Pulido, Daniel	Lead Custodian	Bldg. Svcs.	October 14, 2013	October 21, 2013	28/5 + Diff.	
Torres, Maurilio	Tree Trimmer	Bldg. Svcs.	October 1, 2013	November 29, 2013	29/6	
Viramontes, Esteban	Maint. Wkr. I	Bldg. Svcs.	July 1, 2013	November 29, 2013	26/4	
<b>ACTIVITY SUPERVISORS</b>						
Gonzalez, Noemmi	Activity Supervisor	Segerstrom	October 18, 2013			
Kale, Cody	Activity Supervisor	Segerstrom	October 16, 2013			
Lopez De Frias, Irma	Activity Supervisor	Adams	October 16, 2013			
Mejia, Ruby	Activity Supervisor	Segerstrom	October 16, 2013			
Mungia, Maria	Activity Supervisor	Franklin	October 23, 2013			
Padilla, Rafael	Activity Supervisor	Valley	October 16, 2013			
Ramos, Myriam	Activity Supervisor	Heroes	October 22, 2013			
Robles, Stephanie	Activity Supervisor	Carr	October 16, 2013			
Rodriguez, Rosa	Activity Supervisor	Heroes	October 22, 2013			

**Mark A. McKinney, Associate Superintendent, Human Resources**

**CLASSIFIED PERSONNEL CALENDAR**

**Personnel Calendar  
 Board Meeting - November 12, 2013**

NAME	POSITION	SITE	EFF. DATE	END DATE	SALARY	COMMENTS
<b>ACTIVITY SUPERVISORS (Continuation)</b>						
Tejeda Vallejo, Laura	Activity Supervisor	Garfield	October 24, 2013			
<b>HOURLY APPOINTMENTS</b>						
Arambulo, Daniel	Instr. Provider		October 29, 2013			
Avila, Elizabeth	Instr. Provider		October 29, 2013			
Cruz, Leilani	Instr. Provider		October 17, 2013			
<b>SUBSTITUTES</b>						
Chavez Cruz, Keila	Clerical		October 17, 2013		20/1	
Cortez, Rosalba	Fd. Svc. Wkr.		October 15, 2013		11/1	
Franco, Bertha	Clerical		October 15, 2013		20/1	
Galvan, Loyda	Clerical		October 29, 2013		20/1	
House, Briana	Fd. Svc. Wkr.		October 15, 2013		11/1	
Johnson, Chanera	Preschool Teacher		October 9, 2013		\$105	
Rios, Jillian	SSP Special Ed.		October 29, 2013		19/1	
Rocha, Erica	Clerical		October 22, 2013		20/1	
Ruiz Castellanos, Oscar	Fd. Svc. Wkr.		October 14, 2013		11/1	
Salcedo, Felix	Clerical		October 14, 2013		20/1	
Stecker, Richelle	Interpreter		October 22, 2013		19/1	



**AGENDA ITEMS REQUESTS  
CLASSIFIED**

2013-14 School Year

TITLE OF ACTIVITY	SITE	FUNDING	NOT TO EXCEED	EFFECTIVE
Accompanist for Musical Performances & Events	Saddleback High School	General Funds	\$1,600	November 13, 2013
AVID Program - Instructional Provider	Saddleback High School	EIA/SCE	\$25,000	December 1, 2013
AVID Program - Tutors	Willard Intermediate School	EIA	\$25,884	November 13, 2013
Bilingual Instructional Assistant (Ratification)	Romero-Cruz Elementary	EIA-SCE	\$500	October 22, 2013
Child Care (Ratification)	Romero-Cruz Elementary	Title I	\$500	October 22, 2013
Childcare for Parent Involvement Activities and Meeting	Willard Intermediate School	Title I	\$500	November 13, 2013
Child Care Services	Saddleback High School	Title I	\$2,000	November 13, 2013
Classified Extra Duty - Community (Ratification)	Willard Intermediate School	EIA-LEP	\$2,000	October 1, 2013
College Majors Parent Outreach	Saddleback High School	EIA/SCE	\$3,000	November 13, 2013
College Majors Support Staff	Saddleback High School	EIA/SCE	\$6,000	November 12, 2013
Employee Wellness Health Fair (Ratification)	Risk Management	Fund 019138	\$145	October 12, 2013
Employee Wellness Health Fair (Ratification)	Risk Management	Fund 019138	\$260	October 12, 2013
Employee Wellness Health Fair (Ratification)	Risk Management	Fund 019138	\$275	October 12, 2013
Employee Wellness Health Fair (Ratification)	Risk Management	Fund 019138	\$240	October 12, 2013
Employee Wellness Health Fair (Ratification)	Risk Management	Fund 019138	\$585	October 12, 2013
Extra Duty - PEP Grant Classified Clerical	Special Projects	PEP Funding	\$3,300	November 13, 2013
Fundamental Registration (Ratification)	Muir Fundamental School	Unrestricted		
Interpreter Services (Ratification)	Special Education	Discretionary Funds	\$430	February 1, 2013
Global Business Academy Clerical Support	Valley High School	Taft DHH	\$10,000	August 27, 2013
Paraprofessionals Extra Help (Ratification)	Willard Intermediate School	Global Business Academy	\$3,000	November 13, 2013
Parent Education	Washington Elementary	EIA-LEP	\$2,000	October 1, 2013
		EIA-SCE	\$1,000	November 13, 2013





**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Extended Field Trip(s) in Accordance with Board Policy (BP) 6153 – School-Sponsored Trips and Administrative Regulation (AR) 6153.1 – Extended School-Sponsored Trips

**ITEM:** Consent

**SUBMITTED BY:** Dawn Miller, Assistant Superintendent, Secondary Education

**PREPARED BY:** Dawn Miller, Assistant Superintendent, Secondary Education

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval of extended field trip(s) for the school(s) listed.

**RATIONALE:**

The Board recognizes that school-sponsored trips are important components of student development. In addition to supplementing and enriching classroom learning experiences, such trips encourage new interests among students, make them more aware of community resources, and help students relate school experiences to the outside world. The Board believes that careful planning can greatly enhance the value and safety of such trips. All trips involving out-of-state or overnight travel shall require prior approval of the Board. Approval is contingent upon national and international safety and security at the time of the trip.

Board Policy (BP) 6153 and Administrative Regulation (AR) 6153.1 require a parent waiver for school-sponsored trips. Trained staff will be employed by the hosting organization and will provide 24-hour supervision to the students. Parents have given permission for students to attend the trip under this provision. No eligible student will be denied the opportunity to attend.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve the extended field trip(s) in accordance with Board Policy (BP) 6153 – School-Sponsored Trips and Administrative Regulation (AR) 6153.1 – Extended School-Sponsored Trips.

DM:lr



SANTA ANA UNIFIED SCHOOL DISTRICT - EXTENDED FIELD TRIPS  
RECOMMENDED FOR APPROVAL - December 10, 2013

Date:	Schools/Location:	Funding and Cost:	Student(s):	Staff and Chaperone:
December 25-28, 2013 (Wednesday - Saturday)	Valley High School Varsity Boys Basketball 29 <sup>th</sup> Annual Chula Vista Spartan Classic Basketball Tournament San Diego	\$119.58.00 per student (s) (cost paid by Booster Club)	14	4
December 26-31, 2013 (Thursday - Tuesday)	Segerstrom High School Girls Basketball 2013 KSA Events Classic Basketball Tournament Orlando, Florida	\$1,658.00 per student (s) (cost paid by ASB girls basketball fundraising)	13	2
January 31-February 2, 2014 (Friday - Sunday)	Santa Ana High School Dance Team Idyllwild Pines Conference Center Idyllwild	\$117.00 per student(s) (cost paid by ASB dance fundraising)	19	3
February 18-21, 2014 (Tuesday - Friday)	Muir Fundamental Elementary School Fifth Grade Students Outdoor Science School Pali Institute Running Springs	\$385.00 per student(s) (cost paid by outside donations and fundraising)	150	4
February 19-21, 2014 (Wednesday - Friday)	Carr Intermediate School Nature Bridge Outdoor School Circle X Ranch Malibu	\$110.00 per student(s) (cost paid by fundraising)	30	4
April 2-4, 2014 (Wednesday - Friday)	Carr Intermediate School Nature Bridge Outdoor School Channel Islands Ventura	\$160.00 per student(s) (cost paid by fundraising)	30	4

*Funding and costs for participation in educational activities related to field trips are in compliance with the ACLU settlement.*

**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Submission for Renewal Application for After School Education and Safety Program for 2014-17

**ITEM:** Consent

**SUBMITTED BY:** Dawn Miller, Assistant Superintendent, Secondary Education

**PREPARED BY:** Roxanna S. Owings, Coordinator, Special Projects

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to request Board approval for submission of the renewal application for the After School Education and Safety (ASES) program for 2014-17 to the California Department of Education. ASES program funds the establishment of local after school education and enrichment programs. Funding is designed to: (1) maintain existing before and after school program funding; and (2) provide eligibility to all elementary and intermediate schools that submit quality applications throughout California.

**RATIONALE:**

The goal of the program is to support local efforts to improve assistance to students and expand the base of support for education in a safe, constructive environment. It is the intent of ASES program legislation to encourage schools and school districts to provide safe and educationally enriching alternatives for children and youth in grades K-8 during non-school hours. After school programs must consist of the four elements:

- (1) education and literacy
- (2) educational enrichment
- (3) nutrition
- (4) physical fitness activities


In addition, program leaders work closely with school site principals and staff to integrate these elements to ensure alignment with school's curriculum, instruction, and learning support activities. All 36 elementary and 9 intermediate schools participate in the ASES program.

**FUNDING:**

ASES Program: \$8,393,910 (annually for three years)

**RECOMMENDATION:**

Approve submission of the renewal application for the After School Education and Safety (ASES) program, July 1, 2014 through June 30, 2017.

DM:RSO:lr 

## GRANT SUMMARY

Title:	After School Education and Safety Program Grant Renewal
Funding Source:	California Department of Education, After School Division
Due Date:	January 17, 2014
Contact Person:	Roxanna Samaniego Owings Coordinator, Special Projects
Amount/Duration:	\$8,393,910.00 (annually for three years) July 1, 2014-June 30, 2017
Grade Level / Target Population:	All elementary and intermediate schools
Budget Impact:	None
Indirect Rate:	3.97%
Personnel Impact:	None
Survey Questions:	<i>None without prior District approval and parent consent</i>
<b>Grant Program Description</b>	
<p>The California Legislature established the After School Education and Safety (ASES) Program to develop and operate partnerships that provide academic and literacy support and safe constructive alternatives for students in grades K-8. The programs operate at elementary and intermediate schools campuses with a high percentage of students from low-income families. Funding is designed to: (1) maintain existing before and after school program funding; and (2) provide eligibility to all elementary and intermediate schools that submit quality applications throughout California.</p>	
Goals/Objectives	<ul style="list-style-type: none"> <li>• Improve the academic performance and scholastic success by providing high-quality academic programming after school</li> <li>• Program to be open every day that school is in session until 6:00 p.m.</li> <li>• Provide homework support, academic enrichment, healthy living activities, visual and performing arts, service learning, and leadership opportunities</li> </ul>
Activities	<ul style="list-style-type: none"> <li>• Homework support</li> <li>• Healthy Living such as Coordinated Approach To Child Health (CATCH) physical activity program</li> <li>• Academic Enrichment such as Literacy Enrichment via Augmented After School KidzLit and STEM Instruction at the intermediate schools, including, Robotics, Careers in Science, and Being Green curriculums</li> </ul>

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**               **Ratification of Renewal of Service Agreement with The Regents of the University of California Center for Educational Partnerships Irvine Math Project 2013-14 School Year**

**ITEM:**               **Consent**

**SUBMITTED BY:** **Dawn Miller, Assistant Superintendent, Secondary Education**

**PREPARED BY:** **Dawn Miller, Assistant Superintendent, Secondary Education**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board ratification of the service agreement with The Regents of the University of California Center for Educational Partnerships Irvine Math Project and the District to release classroom teachers to the Irvine Math Project as the mathematics staff developers supervising the secondary level of professional development for the Irvine Mathematics Project, which is housed in the UCI Center of Educational Partnerships.

**RATIONALE:**

This Math project has been in place for the past ten years. The classroom teachers will work in close collaboration with District leadership to develop and coordinate professional development plans, support and foster teacher leadership, and pedagogical content skills via summer leadership institutes and academic year follow up. The teachers will also generate and fulfill fee-for-service contracts with Orange County.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Ratify the renewal of the service agreement with The Regents of the University of California Center for Educational Partnerships Irvine Math Project 2013-14 school year.

DM:lr 

SERVICE AGREEMENT  
BETWEEN  
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  
AND  
Santa Ana Unified School District

This Agreement to furnish services is by and between THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a California constitutional corporation, on behalf of The Center for Educational Partnerships at University of California, Irvine (hereinafter called "the University") and Santa Ana Unified School District hereinafter called ("Contractor") located at 1601 East Chestnut, Santa Ana, CA 92701-6322.

I. SCOPE OF WORK

A. The Contractor shall furnish to the University the following described services:

1. Irvine Math Project (IMP) Co-Director Kristine Houston - 50% time
2. Irvine Math Project (IMP) Co-Director Janna Canzone- 80% time

Contractors will serve as the mathematics staff developer supervising the secondary level of professional development for the Irvine Mathematics Project, which is housed in the UCI Center for Educational Partnerships (CFEP).

- Work in close collaboration with district leadership to develop and coordinate professional development plans.
- Support and foster teacher leadership and pedagogical content skills via summer leadership institutes and academic year follow up.
- Generate and fulfill fee-for-service contracts with Orange County and LA school districts.
- Attend statewide meetings and possible national workshops.
- Support elementary director in the design and implementation of elementary programs.

EVALUATION & ANALYSIS:

The Contractor will be responsible for evaluation of the development and implementation of the teacher professional development programs, and will gather, analyze, and report feedback to the IMP Director.

B. If applicable, Contractor agrees that Kristine Houston & Janna Canzone shall be assigned to perform the work set forth herein. No reassignment of work to any other individual shall be made without the written approval of the University.

C. Reports:

The Contractor shall provide reports as described:

Status reports will be provided to the individuals specified in Article V. as requested.

D. If applicable, the services of the Contractor will assist the University in the performance of contract/grant no. \_\_\_\_\_ sponsored by \_\_\_\_\_ dated \_\_\_\_\_.

Applicable portions of contracts are attached.

II. TERM OF AGREEMENT

A. The period of performance for this Agreement shall be from 08/22/13 through 06/20/14.

B. Either the University or the Contractor may terminate this Agreement for convenience at any time by giving the other 30 calendar days' written notice of such action.

- C. If one party gives 10 days' notice to the other of a breach of this Agreement and the breaching party fails to cure said breach within said 10 day period, this Agreement may be terminated immediately by the non-breaching party.

III. COMPENSATION AND REIMBURSEMENT OF EXPENSES

- A. The University will pay the following to the Contractor for services performed:

For Irvine Math Project work specified in Article I. A. 1.:

- 1) \$51,888.96 per academic year (\$5,188.89/month for 10 months). Cost represents 50% of salary and Other benefits + 3.7% indirect costs for Kristine Houston.
- 2) \$68,855.56 per academic year (\$6,885.56/month for 10 months). Cost represents 80% of salary and Other benefits + 3.7% indirect costs for Janna Canzone.
- 3) \$2,000 for potential cost increase in benefits

MAXIMUM AMOUNT TO BE PAID UNDER THIS AGREEMENT IS: \$122,744.52.

- B. Payments.

Payment will be made upon submission of an invoice by the Contractor setting forth charges in accordance with rates detailed in paragraph A above and the performance schedule in Article IV. below. The invoice must include the Contractor's taxpayer identification number. Contractors shall submit invoices to person named in V., below.

OR

Payments will be made on a monthly or periodic basis without invoice provided a schedule of specific payment has been made a part of this Agreement and is in accordance with the performance schedule set out in Article IV. below.

No payments shall be made in advance of work performed, except as specified in the Agreement.

IV. PERFORMANCE SCHEDULE

Performance of services shall be between August 22, 2013 and June 20, 2014.

\*SAUSD shall invoice Irvine Math Project twice, once mid-year for half contract and again at the end of the academic year (June 2014) for the other half of the contract. (Contact: Jacky Meyer)

V. REPORTING

In performing services hereunder, the Contractor shall report to:

Karajeon Hyde, California Math Project, Center for Educational Partnerships, 439 Social Science Tower, Irvine, CA 92697-2505

VI. NOTIFICATION

Any written notification required hereunder shall be personally served or mailed by certified mail, return receipt requested, to the following:

**For the University:**

Karajeon Hyde  
Irvine Math Project  
439 Social Science Tower  
Irvine, CA 92697-2505

**For the Contractor:**

Stefanie Phillips, Ed.D., CBO  
Deputy Superintendent, Operations  
Santa Ana Unified School District  
1601 East Chestnut, Santa Ana, CA 92701



VII. TAXES

The compensation stated in Article III. of this Agreement includes all applicable taxes and will not be changed hereafter as the result of Contractor's failure to include any applicable tax, or as the result of any changes in the Contractor's tax liabilities.

VIII. CONTRACTOR IDENTIFICATION

Each contractor who enters into a contract with the University of California for \$10,000 or more shall list their appropriate Taxpayer Number (EIN or SSN) on each contract. In addition, upon acceptance of this contract Contractor agrees to include their Taxpayer Identification Number on all future contracts regardless of the amount.

IX. ASSIGNMENT OR SUBCONTRACTING

The Contractor may not assign or transfer this Agreement, or any interest herein or claim hereunder, or subcontract any portion of the work hereunder, without the prior written approval of the University. If the University consents to such assignment or transfer, the terms and conditions of this Agreement shall be binding upon any assignee or transferee.

X. PATENTS

Whenever any invention or discovery is made or conceived by the Contractor in the course of or in connection with this Agreement, the Contractor shall promptly furnish the University complete information with respect thereto and the University shall have the sole power to determine whether and where a patent application shall be filed and to determine the disposition of title to and all rights under any application or patent that may result. The Contractor will, at University expense, execute all documents and do all things necessary or proper with respect to such patent applications. The Contractor is specifically subject to an obligation to assign all right, title and interest in any such patent rights to the University as well as all right, title and interest in tangible research products embodying such inventions whether the inventions are patentable or not.

XI. COPYRIGHT

The University shall own, solely and exclusively, the copyright and all copyright rights to any written or otherwise copyrightable material delivered under this Agreement. The Contractor warrants that all creators of copyrightable material delivered under this Agreement to the University are, at the time of the material's creation, bona fide employees or subcontractors of the Contractor, and that such creation is within the course and scope of the creator's employment.

XII. CONTRACTOR'S LIABILITY AND INSURANCE REQUIREMENTS

1. The Contractor shall defend, indemnify, and hold the University, its officers, employees, and agents harmless from and against any and all liability, loss, expense (including reasonable attorneys' fees), or claims for injury or damages that are caused by or result from the negligent or intentional acts or omissions of the Contractor, its officers, agents, employees, or subcontractors.
2. The Contractor, at its sole cost and expense, shall insure its activities in connection with the work under this Agreement and obtain, keep in force, and maintain insurance as follows:

- a. Comprehensive or Commercial Form General Liability Insurance (contractual liability included) with limits as follows:

(1) Each Occurrence	\$ <u>1,000,000</u>
(2) Products/Completed Operations Aggregate	\$ <u>1,000,000</u>
(3) Personal and Advertising Injury	\$ <u>1,000,000</u>
(4) General Aggregate (Not applicable to the Comprehensive Form)	\$ <u>1,000,000</u>

If the above insurance is written on a claims-made form, it shall continue for three years following termination of this Agreement. The insurance shall have a retroactive date of placement prior to or coinciding with the effective date of this Agreement.

- b. Business Automobile Liability Insurance for owned, scheduled, non-owned, or hired automobiles with a combined single limit not less than \$1,000,000 per occurrence. (REQUIRED ONLY IF THE CONTRACTOR DRIVES ON THE UNIVERSITY PREMISES IN THE COURSE OF PERFORMING WORK FOR UNIVERSITY.)
- c. Professional Liability Insurance with a limit of \$1,000,000 per occurrence. If this insurance is written on a claims-made form, it shall continue for three years following termination of this Agreement. The insurance shall have a retroactive date of placement prior to or coinciding with the effective date of this Agreement.
- d. Workers' Compensation as required by California State law.

It should be expressly understood, however, that the coverage and limits referred to under a., b., and c. above shall not in any way limit the liability of the Contractor. The Contractor shall furnish the University with certificates of insurance evidencing compliance with all requirements prior to commencing work under this Agreement. Such certificates shall:

- (1) Provide for thirty (30) days advance written notice to the University of any modification, change, or cancellation of any of the above insurance coverage.
- (2) Indicate that The Regents of the University of California has been endorsed as an insured under the coverage referred to under a. and b.
- (3) Include a provision that the coverage will be primary and will not participate with nor be excess over any valid and collectible insurance or program of self-insurance carried or maintained by the University.

It should be further understood that the provisions under (2) and (3) above shall only apply in proportion to and to the extent of the negligent act or omissions of the Contractor, its officers, agents, or employees.

### XIII. RECORDS ABOUT INDIVIDUALS

The State of California Information Practices Act of 1977, as well as University policy, sets forth certain requirements and safeguards regarding records pertaining to individuals, including the rights of access by the subject individual and by third parties.

If the Contractor creates records about an individual of a confidential or personal type, including notes or tape recordings, the information shall be collected to the greatest extent practicable directly from the individual who is the subject of the information. When collecting the information, the Contractor shall inform the individual that the record is being made and the purpose of the record. Use of recording devices in discussions with employees is permitted only as specified in this Agreement.

### XIV. OWNERSHIP AND ACCESS TO RECORDS

All confidential or personal information about individuals provided to the Contractor or derived from the performance of the services under this Agreement shall remain the property of the University and subject to state law and University policies governing privacy and access to files. The Contractor shall not use such information except as required in the performance of the services under this Agreement.

### XV. EXAMINATION OF RECORDS

The University, and if the applicable contract or grant so provides, the other contracting party or grantor (and if that be the United States, or an agency or instrumentality thereof, then the Controller General of the United States) shall have access to and the right to examine any pertinent books, documents, papers, and records of the Contractor involving transactions and work related to this Agreement until the expiration of five years after final

payment hereunder. The Contractor shall retain project records for a period of five years from the date of final payment.

#### XVI. CONFLICT OF INTEREST

1. The Contractor shall not hire any officer or employee of the University to perform any service covered by this Agreement. If the work is to be performed in connection with a Federal contract or grant, the Contractor shall not hire any employee of the United States government to perform any service covered by this Agreement.
2. The Contractor affirms that to the best of his/her knowledge there exists no actual or potential conflict between the Contractor's family, business, or financial interests and the services provided under this Agreement, and in the event of change in either private interests or service under this Agreement, any question regarding possible conflict of interest which may rise as a result of such change will be raised with the University.
3. The Contractor shall not be in a reporting relationship to a University employee who is a near relative, nor shall the near relative be in a decision-making position with respect to the Contractor.

#### XVII. AFFIRMATIVE ACTION

The Contractor recognizes that as a federal and state government contractor or subcontractor, the University of California is obligated to comply with certain laws and regulations of the federal and state government regarding equal opportunity and affirmative action. When applicable, the Contractor agrees that, as a government subcontractor, the following are incorporated herein as though set forth in full: the non-discrimination and affirmative action clauses contained in Executive Order 11246, as amended by Executive Order 11375, relative to equal employment opportunity for all persons without regard to race, color, religion, sex or national origin, and the implementing rules and regulations contained in Title 41, part 60 of the Code of Federal Regulations, as amended; the non-discrimination and affirmative action clause contained in the Rehabilitation Act of 1973, as amended, as well as the Americans With Disabilities Act relative to the employment and advancement in employment of qualified individuals with disabilities, and the implementing rules and regulations in Title 41, part 60-741 and 742 of the Code of Federal Regulations; the non-discrimination and affirmative action clause of the Vietnam Era Veterans Readjustment Assistance Act of 1974 relative to the employment and advancement in employment of qualified special disabled veterans and Vietnam era veterans without discrimination, and the implementing rules and regulations in Title 41, part 60-250 of the Code of Federal Regulations; and the non-discrimination clause required by California Government Code Section 12900 relative to equal employment opportunity for all persons without regard to race, religion, color, national origin, ancestry, physical handicap, medical condition, marital status, age, or sex, and the implementing rules and regulations of Title 2, Division 4, Chapter 5 of the California Code of Regulations. The Contractor, as a government subcontractor, further agrees that when applicable it shall provide the certification of non-segregated facilities required by Title 41, part 60-1.8(b) of the Code of Federal Regulations.

#### XVIII. CONFIDENTIALITY

The Contractor shall use his or her best efforts to keep confidential any information provided by the University and marked "Confidential Information," or any oral information conveyed to the Contractor by the University and followed by a written communication within thirty (30) days that said information shall be considered Confidential Information. This non-disclosure provision shall not apply to any of the following:

1. Information which the Contractor can demonstrate by written records was known to him or her prior to the effective date of this Agreement;
2. Is currently in, or in the future enters, the public domain other than through a breach of this Agreement or through other acts or omissions of Contractor;
3. Is obtained lawfully from a third party; or
4. Releases as required by law.

**XIX. NON-WAIVER**

Waiver or non-enforcement by either party of a term or condition shall not constitute a waiver or a non-enforcement of any other term or condition or of any subsequent breach of the same or similar term or condition.

**XX. NO THIRD-PARTY RIGHTS**

Nothing in this Agreement is intended to make any person or entity who is not signatory to the Agreement a third-party beneficiary of any right created by this Agreement or by operation of law.

**XXI. STANDARD FOR PERFORMANCE**

The parties acknowledge that the University, in selecting the Contractor to perform the services hereunder, is relying upon the Contractor's reputation for excellence in the performance of the services required hereunder. The Contractor shall perform the services in the manner of one who is a recognized specialist in the types of services to be performed. All deadlines set forth in the Agreement are binding and may be modified only by subsequent written agreement of the parties. The Contractor shall devote such time to performance of its, her, or his duties under this Agreement as is reasonably necessary for the satisfactory performance of such duties within the deadlines set forth herein. Nothing in the foregoing shall be construed to alter the requirement that time is of the essence in this Agreement.

**XXII. INDEPENDENT CONTRACTOR**

Contractor in the performance of this Agreement, shall be and act as an independent contractor. Contractor understands and agrees that its employees, contractors, subcontractors, and agents shall not be considered officers, employees, or agents of University, and are not entitled to benefits of any kind or nature normally provided to employees. Contractor assumes the full responsibility for the acts and/or omissions of its employees or agents as they relate to the services to be provided under this Agreement. Contractor shall assume full responsibility for payment of all federal, state, and local taxes or contributions, including unemployment insurance (as applicable), social security, and income tax withholding with respect to Contractor employees

**XXIII. DISPUTE RESOLUTION**

Any dispute arising regarding the interpretation or implementation of this Agreement, including any claims for breach of this Agreement, shall be resolved by submitting the claim for arbitration to the American Arbitration Association in accordance with its rules and procedures applicable to commercial disputes. The location of any arbitration hearing shall be Orange County, California, and any enforcement of the arbitrator's decision shall be brought in the Superior Court Orange County, California.

**XXIV. ATTORNEY'S FEES**

In any action brought by a party to enforce the terms of this Agreement, the prevailing party shall be entitled to reasonable attorney's fees and costs. The prevailing party shall be entitled to the reasonable value of any services provided to it by in-house counsel. The reasonable value of services provided by in-house counsel shall be calculated by applying an hourly rate commensurate with prevailing market rates charged by attorneys in private practice for such services.

**XXV. AMENDMENTS**

Any amendments to this Agreement must be made, in writing, and approved by the authorized representatives of the Contractor and the University.

**XXVI. ENTIRE AGREEMENT**

This Agreement contains the entire agreement between the parties and supersedes all prior written or oral agreements with respect to the subject matter herein.

**XXVII. APPLICABLE LAW**

This Agreement shall be construed in accordance with the laws of the State of California without regard to its conflicts of laws rules.

**CONTRACTOR**

**THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA**

\_\_\_\_\_  
(Signature) (Date)

\_\_\_\_\_  
(Department Signature) (Date)

Name: \_\_\_\_\_

Name: Dr. Stephanie Reyes-Tuccio

Title: \_\_\_\_\_

Title: Director, Ctr for Educational Partnerships

Tax ID No. \_\_\_\_\_  
(Social Security or Employer ID No.\*)

\_\_\_\_\_  
Harry Gunther  
Director, Materiel and Risk Management

\* If the Employer Identification Number is not used, the Social Security Number must be shown. Pursuant to Federal Privacy Act of 1974, you are hereby notified that disclosure of your Social Security Number is required pursuant to Sections 6011 and 6051 of Subtitle F of the Internal Revenue Code and Regulation 4, Section 404, 1256, Code of Federal Regulations, under Section 218, Title II of the Social Security Act, as amended. The Social Security Number is to verify your identity. The principal use of the number shall be to report payments you have received to federal and state governments.

Rev. 4/2008

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Submission of American Heart Association Teaching Gardens Grant Application for 2013-16 School Year

**ITEM:** Consent

**SUBMITTED BY:** Michelle Rodriguez, Ed.D., Chief Academic Officer

**PREPARED BY:** Roxanna S. Owings, Coordinator, Special Projects

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval to submit a grant application for the American Heart Association (AHA) Teaching Gardens grant to be implemented at Adams, Esqueda, Jackson, King, Monroe, Santiago, and Sepulveda elementary schools.

As part of the Building Healthy Communities Initiative, the AHA in collaboration with the California Endowment, has been given funding to build the Teaching Gardens Program. This program provides hands-on garden learning experiences to understand the nutritional value of fruits and vegetables. The Teaching Gardens Program will serve as a real life laboratory where students will learn how to plant seeds, nurture the growing plants, harvest the food, and ultimately understand the value of good eating habits, good nutritional choices, and the importance of physical activity.

**RATIONALE:**

Today, nearly one in three children and adolescents in the United States are overweight or obese. With this knowledge the District is encouraging healthy diets in our students and their families and this grant would complement those efforts. The cross-curricular and standards-based gardening and nutrition-education curriculum will also integrate into the Common Core State Standards. Students will be engaged and excited when the Teaching Gardens Program will make healthy food fun and provide opportunities for children to sample and enjoy healthy foods.

Schools selected will receive instructional resources, along with volunteer and evaluation support. In addition, schools will receive family educational materials for students to take home.

**FUNDING:**

American Heart Association: \$200,000 over three years (2013-16 school years)

**RECOMMENDATION:**

Approve the submission of the American Heart Association Teaching Gardens grant application for the 2013-16 school year.

  
MR:ez

## GRANT SUMMARY

<b>Title:</b>	American Heart Association Teaching Gardens grant
<b>Funding Source:</b>	American Heart Association
<b>Due Date:</b>	November 1, 2013
<b>Contact Person:</b>	Roxanna S. Owings
<b>Amount/Duration:</b>	\$200,000 over three years (2013-16 school years)
<b>Grade Level/Target Population:</b>	Elementary schools grades K-8
<b>Budget Impact:</b>	None
<b>Indirect Rate:</b>	None
<b>Personnel Impact:</b>	None
<b>Survey Questions:</b>	<i>None without prior District approval and parent consent</i>
<b>Grant Program Description</b>	
<p>The American Heart Association (AHA) has established an aggressive health impact goal for the year 2020: to improve the cardiovascular health of all American, by 20% while reducing deaths from cardiovascular diseases and stroke by 20%. To achieve its 2020 goal, the AHA has created the Teaching Gardens Program to educate elementary students about the importance of fruits and vegetables, to energize and excite them about produce, and to introduce fresh produce into the diets of students and their families.</p>	
<b>Goals/Objectives:</b>	<ul style="list-style-type: none"> <li>• Ensure a heart healthy diet</li> <li>• To help students understand the value of good eating habits</li> <li>• To introduce fresh produce into the diets of students and their families</li> <li>• Provide access to a variety of resources and support</li> <li>• Provide opportunities for team building and leadership development</li> </ul>
<b>Activities:</b>	<ul style="list-style-type: none"> <li>• Students will learn how to plant seeds, nurture growing plants, and harvest produce</li> <li>• Students will experience garden-themed lessons in nutrition, math, science, and other subjects</li> <li>• Students will also practice approximately 100 minutes weekly of physical activity (working outside and working with their hands)</li> </ul>



**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Memorandum of Understanding Between Learning for Life Program and Carver, Davis, Diamond, Edison, Franklin, Garfield, Jefferson, Kennedy, Lowell, Monte Vista, Pio Pico, Romero-Cruz, Taft, and Washington Elementary Schools for 2013-14 School Year

**ITEM:** Consent

**SUBMITTED BY:** Michelle Rodriguez, Ed.D., Chief Academic Officer

**PREPARED BY:** Michelle Rodriguez, Ed.D., Chief Academic Officer

**BACKGROUND INFORMATION:**

The purpose of this agenda is to seek Board approval for the Learning for Life program to provide instructional services to students for the 2013-14 school year. Learning for Life will provide limited services to schools at no cost. Schools are free to add additional sessions based on their own fiscal resources. The Learning for Life Program has provided 15 years of service to District students.

**RATIONALE:**

The Learning for Life program is designed to support schools and other youth serving organizations to help youth develop social and life skills, assists in character development, and helps students formulate positive personal values. It prepares youth to make positive decisions that will help them achieve their full potential. The participating schools are: Carver, Davis, Diamond, Edison, Franklin, Garfield, Jefferson, Kennedy, Lowell, Monte Vista, Pio Pico, Romero-Cruz, Taft, and Washington elementary schools. The program will be offered to 1<sup>st</sup> through 5<sup>th</sup> grade students who will receive eight Learning for Life lessons.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve the Memorandum of Understanding between the Learning for Life Program at Carver, Davis, Diamond, Edison, Franklin, Garfield, Jefferson, Kennedy, Lowell, Monte Vista, Pio Pico, Romero-Cruz, Taft, and Washington elementary schools for the 2013-14 school year.

  
MR:sz



School Year: 2013-14

## MEMORANDUM OF UNDERSTANDING

The *Santa Ana Unified School District* organization has read and understands the following conditions for participation in the curriculum-based program operated and maintained by Learning for Life, a District of Columbia nonprofit corporation ("Learning for Life"), and desires to enter into this agreement regarding its participation in the curriculum-based program. The responsibilities of the organization administration include:

1. Learning for Life will conduct criminal background checks, including finger printing on all Learning for Life Instructors who will be working with the students in the classroom.
2. Provision of the program at the following schools – approximate number of students that will be participating at each school:

- |                  |             |            |
|------------------|-------------|------------|
| - Pio Pico 90    | - Taft 90   | - Davis 90 |
| - Romero-Cruz 70 | - Carver 90 |            |

3. Provision of the program at the following proposed schools – approximate number of students that will be participating at each school:

- |               |                  |                 |
|---------------|------------------|-----------------|
| - Diamond 120 | - Edison 60      | - Franklin 60   |
| - Garfield 90 | - Jefferson 90   | - Kennedy 60    |
| - Lowell 90   | - Monte Vista 90 | - Washington 90 |

4. There is no charge to the District or School for the first eight Learning for Life lessons. Individual schools that choose to add an additional six lessons will pay a fee of \$1,250. (based on 250 students participating). Fees will be adjusted based on number of students participating at the rate of \$5 per student.
5. Learning for Life will ensure that the youth participating receive incentive achievement recognitions for the completion of classroom themes in the form of character education stickers to place on individual sticker charts.
6. The schools' classroom teachers will participate in an evaluation with the Learning for Life representative at the end of the sessions to evaluate the effectiveness of the program.

This Annual Memorandum of Understanding shall remain in effect for the 2013-2014 school year. Either organization may discontinue the program at any time upon written notice to the other organization or the participating administration hereby agrees that no Learning for Life program materials will be used after the program is discontinued.

Date: \_\_\_\_\_

By: \_\_\_\_\_  
Signature of Organization Head

By: \_\_\_\_\_  
Learning for Life Representative

Dr. Rick Miller, Ph.D., Superintendent

E-Mail Address

Address

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Agreement with Amplify Education, Inc., Formerly Intel-Asses, Inc., for Development and Creation of Assessments for 2013-14 School Year

**ITEM:** Consent

**SUBMITTED BY:** Michelle Rodriguez, Ed.D., Chief Academic Officer

**PREPARED BY:** Michelle Rodriguez, Ed.D., Chief Academic Officer

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval of the agreement with Amplify Education, Inc., formerly Intel-Asses, Inc., to provide access to and use of assessment test banks for the development of benchmark assessment and instructional materials for the 2013-14 school year.

**RATIONALE:**

At the July 23, 2013 meeting, the Board of Education approved the agreement with Intel-Asses, Inc., for the development and creation of assessments aligned to the Common Core State Standards (CCSS). All public school districts in the State of California are required to monitor student achievement utilizing frequently administered standards-based assessments in language arts and mathematics. The District has used this company for the past four years.

Through collaboration with teachers, it was determined that additional testing items needed to be created resulting in an amendment of the contract.

**FUNDING:**

Title I: \$13,700.00

**RECOMMENDATION:**

Approve the agreement with Amplify Education, Inc., formerly Intel-Asses, Inc., for the development and creation of assessments for the 2013-14 school year.

  
MR:rz



55 Washington Street, Suite 900  
 Brooklyn, NY 11201-1071  
 T: 800.823.1969 F: 347.983.9440  
[www.amplify.com](http://www.amplify.com)

### Amplify Price Quote



Date: 11/7/2013

**Prepared For:**  
 Ms. Michelle Rodriguez  
 Director of Student Achievement  
 Santa Ana Unified School District  
 Santa Ana, CA 92701-6322

**Prepared By:**  
 Terry Ingram  
 Contract Manager, Intel-Assess  
 (415) 287-0705  
 tingham@amplify.com

**On Behalf of:**  
 Sheridan Doerr  
 Senior Assessment Manager  
 (512) 818-3531  
 sheridan.doerr@intel-assess.com

Products & Services	Quantity	Unit Price	Total Price
Intel-Assess CCSS Assessment Creation Service Fee (per assessment created) [see Scope of Work attached] <ul style="list-style-type: none"> <li>• ELA grades 2-5 [1 each per grade]</li> </ul>	4	\$500.00	\$2,000.00
Intel-Assess Passage Set Creation Service Fee (per set created) <ul style="list-style-type: none"> <li>• 7 Science Sets</li> <li>• 2 Social Studies Sets</li> </ul>	9	\$500.00	\$4,500.00
Intel-Assess Passage Set Creation Service Fee (per set created) <ul style="list-style-type: none"> <li>• 7 Science Spring Benchmarks</li> <li>• 2 Social Studies Sets</li> </ul>	9	\$800.00	\$7,200.00
<b>GRAND TOTAL</b>			<b>\$13,700.00</b>

**Scope and duration of engagement:**

- Grades: 2-5
- Participating Schools: District

**Other information:**

- Quote ID: PQ# 131003-54165
- This Proposal is valid for thirty (30) days.
- Prices do not include sales tax, if applicable.
- Amplify Education's Federal Tax ID # is 13-4125483.
- Payment terms, net 30 days.

***This Price Quote is subject to the Standard Terms & Conditions of Amplify Education, Inc. Issuance of a purchase order or payment pursuant to this Price Quote shall be deemed acceptance of such Terms & Conditions.***

## TERMS & CONDITIONS

1. **Scope.** Amplify Education, Inc. ("Amplify") and Customer wish to enter into the agreement created by the price quote, proposal, order form or renewal letter associated with this purchase (the "Quote") and these Terms & Conditions, including any addendums hereto (this "Agreement") pursuant to which Amplify will deliver one or more of the following products or services, as specified on the Quote: assessment software and content, digital and print curriculum and instructional materials, hardware devices, and professional development materials and services (collectively, the "Products").

2. **License.** Subject to the terms and conditions of this Agreement, Amplify grants to Customer a non-exclusive, non-transferable, non-sublicensable license to access and use, and permit Authorized Users to access and use the Products solely in the U.S. during the Term for the number of Authorized Users specified in the Quote for whom Customer has paid the applicable fees to Amplify. "Authorized User" means an individual teacher or other personnel employed by Customer, or an individual student registered for instruction at Customer's school(s), whom Customer permits to access and use the Products subject to the terms and conditions of this Agreement, and solely while such individual is so employed or so registered. Each Authorized User's access and use of the Products shall be subject to Amplify's standard end user license agreement, in addition to the terms and conditions of this Agreement.

3. **Restrictions.** Customer shall access and use the Products solely for non-commercial instructional and administrative purposes of Customer's school(s). Further, Customer shall not, except as expressly authorized or directed by Amplify: (i) copy, modify, translate, distribute, disclose or create derivative works based on the contents of, or sell, the Products, or any part thereof; (ii) decompile, disassemble or otherwise reverse engineer the Products or otherwise use the Products to develop functionally similar products or services; (iii) modify, alter or delete any of the copyright, trademark or other proprietary notices in or on the Product; (iv) rent, lease or lend the Products or use the Products for the benefit of any third party; (v) avoid, circumvent or disable any security device, procedure, protocol or mechanism in the Product; or (vi) permit any Authorized User or third party to do any of the foregoing. Customer also agrees that any works created in violation of this section are derivative works, and, as such, Customer agrees to assign, and hereby does assign, all right, title and interest therein to Amplify. The Products and derivatives thereof may be subject to export laws and regulations of the U.S. and other jurisdictions. Customer may not export any Product outside of the U.S. Further, Customer will not permit Authorized Users to access or use any Product in a U.S.-embargoed country or otherwise in violation of any U.S. export law or regulation. The software and associated documentation portions of the Products are "commercial items" (as defined at 48 CFR 2.101), comprising "commercial computer software" and "commercial computer software documentation," as those terms are used in 48 CFR 12.212. Accordingly, if Customer is the U.S. Government or a U.S. Government contractor, Customer will receive only those rights set forth in this Agreement in accordance with 48 CFR 227.7201-227.7204 (with respect to the Department of Defense and their contractors) or 48 CFR 12.212 (with respect to all other U.S. Government licensees and their contractors).

4. **Reservation of Rights.** SUBSCRIPTION PRODUCTS ARE LICENSED, NOT SOLD. Subject to the limited rights expressly granted hereunder, all rights, title and interest in and to all Products, including all related IP Rights, are and shall remain the sole and exclusive property of Amplify or its third-party licensors. "IP Rights" means, collectively, rights under patent, trademark, copyright and trade secret laws, and any other intellectual property or proprietary rights recognized in any country or jurisdiction worldwide. Customer shall notify Amplify of any violation of Amplify's IP Rights in the Products, and shall reasonably assist Amplify as necessary to remedy any such violation. Amplify Products are protected by patents (see <http://www.amplify.com/virtual-patent-marking>).

5. **Payments.** In consideration of the Products provided hereunder, Customer will pay to Amplify the fees specified in the Quote in full within 30 days of the date of invoice, except as otherwise agreed by the parties or for those amounts that are subject to a good faith dispute of which Customer has notified Amplify in writing. Customer shall be responsible for all state or local sales, use or gross receipts taxes, and federal excise taxes unless Customer provides Amplify with a then-current tax exemption certificate in advance of (as applicable) the delivery, license or performance of any Product. Products are deemed accepted by Customer upon receipt.

6. **Account Information.** For subscription Products, the authentication of Authorized Users with regard to access and use of those Products is based in part upon information supplied by Customer or Authorized Users, as applicable. Customer will and will cause its Authorized Users to (a) provide accurate information to Amplify and promptly report any changes to such information, (b) not share or allow others to use their account, (c) maintain the confidentiality and security of their account information, and (d) use the Products solely via such authorized accounts. Customer agrees to notify Amplify immediately of any unauthorized use of its or its Authorized Users' accounts or related authentication information. Amplify will not be responsible for any losses arising out of the unauthorized use of accounts created by or for Customer and its Authorized Users.

7. **Confidentiality.** Customer acknowledges that, in connection with this Agreement, Amplify has provided and/or will provide to Customer and its Authorized Users certain sensitive and/or proprietary information, including software, source code, assessment instruments, research, designs, methods, processes, customer lists, training materials, product documentation, know-how and trade secrets, in whatever form ("Confidential Information"). Customer agrees (a) not to use Confidential Information for any purpose other than use of the Products in accordance with this Agreement and (b) to take all steps reasonably necessary to maintain and protect the Confidential Information of Amplify in the strictest confidence. Confidential Information shall not include information that, as evidenced by Customer's contemporaneous written records: (i) is as of the time of its disclosure or thereafter becomes publicly available through no fault of the Customer; (ii) is rightfully known to Customer prior to the time of its disclosure; (iii) has been independently developed by Customer without any use of the Confidential Information, or (iv) is subsequently learned from a third party not under any confidentiality obligation.

8. **Student Data.** Amplify understands and agrees that Customer is subject to federal and local rules relating to the protection of personally identifiable information ("PII") of students and parents, including the Family Educational Rights and Privacy Act ("FERPA"). Subject to the terms and conditions of this Agreement, Amplify will not take any action to cause Customer to be out of compliance with FERPA or other applicable laws relating to PII. The parties acknowledge that this engagement constitutes a study under FERPA (the "Study") for or on behalf of Customer in order to allow educators to instruct, monitor and assess students and their educational progress (the "Purpose"). The scope and

duration of the Study will be as set forth on the Quote. For research and development purposes, whether or not related to the Study, Amplify may use internally or share with researchers non-PII data collected hereunder, including data that has been de-identified in accordance with FERPA. In addition, Customer and Amplify will cooperate from time to time to obtain appropriate permissions to use de-identified student work as samples for training materials and other legitimate purposes.

9. **Customer Content and Data.** Customer represents, warrants and covenants that it has all the necessary rights, including consents and IP Rights, in connection with any data, information, content and other materials provided to or collected by Amplify on behalf of Customer and/or its Authorized Users using the Products or otherwise in connection with this Agreement ("Customer Materials"), and that Amplify has the right to use such Customer Materials as contemplated hereunder. Customer is solely responsible for the accuracy, integrity, completeness, quality, legality and safety of such Customer Materials.

10. **Warranty Disclaimer.** PRODUCTS ARE PROVIDED "AS IS" AND WITHOUT WARRANTY OF ANY KIND BY AMPLIFY. AMPLIFY EXPRESSLY DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING ANY WARRANTY AS TO TITLE, NON-INFRINGEMENT, MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE OR USE. CUSTOMER ASSUMES RESPONSIBILITY FOR SELECTING THE PRODUCTS TO ACHIEVE CUSTOMER'S INTENDED RESULTS AND FOR THE ACCESS AND USE OF THE PRODUCTS, INCLUDING THE RESULTS OBTAINED FROM THE PRODUCTS. WITHOUT LIMITING THE FOREGOING, AMPLIFY MAKES NO WARRANTY THAT THE PRODUCTS WILL BE ERROR-FREE OR FREE FROM INTERRUPTIONS OR OTHER FAILURES OR WILL MEET CUSTOMER'S REQUIREMENTS. AMPLIFY IS NEITHER RESPONSIBLE NOR LIABLE FOR ANY THIRD PARTY CONTENT OR SOFTWARE INCLUDED IN PRODUCTS, INCLUDING THE ACCURACY, INTEGRITY, COMPLETENESS, QUALITY, LEGALITY, USEFULNESS OR SAFETY OF, OR IP RIGHTS RELATING TO, SUCH THIRD PARTY CONTENT AND SOFTWARE. ANY ACCESS TO OR USE OF SUCH THIRD PARTY CONTENT AND SOFTWARE MAY BE SUBJECT TO THE TERMS AND CONDITIONS AND INFORMATION COLLECTION, USAGE AND DISCLOSURE PRACTICES OF THIRD PARTIES. THIS AGREEMENT DOES NOT CREATE ANY RELATIONSHIP BETWEEN CUSTOMER AND ANY PROVIDER OF THIRD PARTY CONTENT AND SOFTWARE, AND NOTHING IN THIS AGREEMENT SHALL BE DEEMED TO BE A REPRESENTATION OR WARRANTY BY AMPLIFY WITH RESPECT TO ANY THIRD PARTY CONTENT OR SOFTWARE.

11. **Limitation of Liability.** IN NO EVENT SHALL AMPLIFY BE LIABLE TO CUSTOMER OR TO ANY AUTHORIZED USER FOR ANY INCIDENTAL, SPECIAL, CONSEQUENTIAL, PUNITIVE, RELIANCE OR COVER DAMAGES, DAMAGES FOR LOST PROFITS, LOST DATA OR LOST BUSINESS, OR ANY OTHER INDIRECT DAMAGES, EVEN IF AMPLIFY HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. TO THE EXTENT PERMITTED BY APPLICABLE LAW, AMPLIFY'S ENTIRE LIABILITY TO CUSTOMER OR ANY AUTHORIZED USER ARISING OUT OF PERFORMANCE OR NONPERFORMANCE BY AMPLIFY OR IN ANY WAY RELATED TO THE SUBJECT MATTER OF THIS AGREEMENT, REGARDLESS OF WHETHER THE CLAIM FOR SUCH DAMAGES IS BASED IN CONTRACT, TORT, STRICT LIABILITY OR OTHERWISE, SHALL NOT EXCEED THE AGGREGATE OF CUSTOMER'S OR ANY AUTHORIZED USER'S DIRECT DAMAGES UP TO THE FEES PAID BY CUSTOMER TO AMPLIFY FOR THE AFFECTED PORTION OF THE PRODUCTS IN THE PRIOR 12 MONTH-PERIOD. UNDER NO CIRCUMSTANCES SHALL AMPLIFY BE LIABLE FOR ANY CONSEQUENCES OF ANY UNAUTHORIZED USE OF THE PRODUCTS THAT VIOLATES ANY APPLICABLE LAW OR REGULATION.

12. **Term; Termination.** This Agreement will be in effect for the duration specified in the Quote and may be renewed or extended by mutual agreement of Amplify and Customer. Without prejudice to any rights either party may have under this Agreement, in law, equity or otherwise, a party shall have the right to terminate this Agreement if the other party (or in the case of Amplify, an Authorized User of Customer) materially breaches any term, provision, warranty or representation under this Agreement and fails to correct the breach within 30 days of its receipt of written notice of such breach. Upon termination, Customer will: (a) cease using the Products, (b) return, purge or destroy (as directed in writing by Amplify) all copies of any Products and, if so requested, certify to Amplify in writing that such surrender or destruction has occurred, (c) pay Amplify any fees due and owing hereunder, and (d) not be entitled to a refund of any fees previously paid, unless such fees were paid in advance for services not yet rendered at the time of termination, provided however that Customer will be responsible the cost of any continued use of Products following such termination. Upon termination, Amplify will return or destroy any PII of students provided to Amplify hereunder. Notwithstanding the foregoing, nothing shall require Amplify to return or destroy any data that does not include PII, including de-identified information or data that is derived from access to PII but which does not contain PII. Sections 3-13 shall survive the termination of this Agreement.

13. **Miscellaneous.** This Agreement, including all addendums, attachments and the Quote, as applicable, constitutes the entire agreement between the parties relating to the subject matter hereof. The provisions of this Agreement shall supersede any conflicting terms and conditions in any Customer purchase order, other correspondence or verbal communication and shall supersede and cancel all prior agreements, written or oral, between the parties relating to the subject matter hereof. This Agreement may not be modified except in writing signed by both parties. All defined terms in this Agreement shall apply to their singular and plural forms, as applicable. The word "including" means "including without limitation." This Agreement shall be governed by and construed and enforced in accordance with the laws of the state of New York, without giving effect to the choice of law rules thereof. This Agreement will be binding upon and inure to the benefit of the parties and their respective successors and assigns. The parties expressly understand and agree that their relationship is that of independent contractors. Nothing in this Agreement shall constitute one party as an employee, agent, joint venture partner or servant of another. Each party is solely responsible for all of its employees and agents and its labor costs and expenses arising in connection herewith. Neither this Agreement nor any of the rights, interests or obligations hereunder may be assigned or delegated by Customer or any Authorized User without the prior written consent of Amplify. If one or more of the provisions contained in this Agreement shall for any reason be held to be unenforceable at law, such provision(s) shall be construed by the appropriate judicial body to limit or reduce such provision or provisions so as to be enforceable to the maximum extent compatible with applicable law. Amplify shall have no liability to Customer or to third parties for any failure or delay in performing any obligation under this Agreement due to circumstances beyond its reasonable control, including acts of God or nature, fires, floods, strikes, civil disturbances or terrorism or interruptions in power, communications, satellites, the Internet or any other network.

## Scope of Work Assessment Creation

### Overview

The Assessment Creation Service includes the following components:

1. Based on the Blueprints (see below) created by the Customer, Amplify will choose the most appropriate item set from within the Item Bank.
2. Where there are gaps between what is on the Blueprints and what is available in the Item Bank, Amplify will create new items. Such items will follow similar formats to those in the Item Bank unless other models are included in the Scope of Work.
3. Amplify will prepare finished documents to reflect The Customer options, to minimize page count, and to ensure appearance of documents does not impact the validity of the assessment.
4. Amplify will provide project management services to ensure all work is progressing on schedule.

### Blueprints

The Customer will provide Amplify with a specification for each set of assessments for each course that indicates the number of items required for each standard, as well as the specific skills and requirements for the skills being assessed (the "Blueprint"). These Blueprints will be provided through Amplify's on-line tools for entry and management of Blueprints (the "Blueprint Tool"). Amplify will work with the Customer to ensure the full requirements of the assessment program are captured at the time of implementation.

### Blueprint Limitations

Blueprints must conform to the following limitations:

- Each Blueprint may include up to 6 assessments, and each assessment within that Blueprint will contain unique items and passages.
- Each Blueprint may include up to 20 items for any given standard throughout all the assessments on the given Blueprint.
- Each assessment within a Blueprint may contain up to 50 items.
- If the Blueprints for two or more courses overlap (e.g., Algebra and Algebra Readiness), then the items and passages may be used once on each Blueprint.

### Deliverables

Amplify shall generate both a student document and a rationale document for each assessment to be created. Documents will be provided in .pdf format or other electronic format of Amplify's choice for each Assessment Amplify creates for the Customer. Assessments, once created, will be maintained and accessed via Amplify's website. The Customer will have the right to use these assessments subject to the terms of and for the duration of this Agreement.

### The Customer Review of Assessments

To develop assessments that meet the needs of the Customer, careful review and input are required of the Customer. The Assessment Creation Service allows The Customer the following types of input:

1. Directing Amplify in the selection of alternate items from Amplify's item bank.
2. Responding to Amplify input and recommendations regarding appropriate item choices.
3. Directing the Amplify in the development or modification of items.

Amplify understands that the Customer must control costs, and to keep costs of this service as low as possible, all Customer reviews must be completed in the form prescribed by Amplify (the "Online Review System" or "ORS"). The ORS is continually evolving and developing, however it includes the following core elements:

1. All reviews will be documented electronically through an online system.
2. Amplify provides access to PDF documents of all drafts to be downloaded from a password protected Web site.
3. All assessment review must be synthesized by an individual to serve as a single point of contact for the Customer to ensure Amplify has consistent and uniform feedback on which to act. This single point of contact will also assist Amplify in answering questions, clarifying feedback, and resolving any issues.

If the Customer requires Amplify staff to conduct reviews with the Customer on-site, this can be purchased for an additional fee.

### Project Management

In order to ensure proper management of the many details and reviews required to complete dozens of assessments, Amplify employs a project management approach that establishes a schedule, a project manager, and specific individual owners from Amplify and the Customer to be accountable for steps required for the completion of all assessments. The process employs the concept of "Gates," in which the Customer approves the certain decisions before Amplify will begin the next stage of work. For example, Amplify will not begin formatting assessment documents until the Customer has approved all content on the assessment. Once an approval is provided for a given set of decisions, any request to revise such approved decisions will result in a change in schedule. Further, for each assessment that requires modification, a fee equivalent to the price of one (1) assessment to be created through the Assessment Creation Service will be due.

Amplify's project manager will serve as the coordinator for all activities and will provide The Customer with status information as needed.

The Customer will establish a single point of contact for assessment reviews. The Customer may, however, assign different points of contact for different assessments, provided Amplify is informed of and in direct contact with exactly one individual decision maker for each assessment.



55 Washington Street, Suite 900  
 Brooklyn, NY 11201-1071  
 T: 800.823.1969 F: 347.983.9440  
[www.amplify.com](http://www.amplify.com)

**Project Management Schedule**

Schedules can vary significantly based on the type and frequency of assessment being conducted. Amplify will meet with the Customer's team to gather requirements and to establish a mutually agreeable schedule. Following is a typical schedule for a more complex project, reflecting a conservative time estimate.

Task	Duration	Owner
Complete Blueprints	2 weeks	District
Complete Draft 0 (Choosing all items and passages available from item bank).	1 week	Intel-Assess
Complete Custom Development (if included in Agreement)	3 weeks	Intel-Assess
Deliver Draft 1 of Assessment	1 week	Intel-Assess
Review Draft 1	1 week	District
<b>Approval Gate 1: All items upon which comments are not made are considered approved. Future comments on approved items will be honored with a revision of schedule as well as a fee.</b>		
Select New Items from item bank if required, complete minor wording edits.	1 week	Intel-Assess
Complete Custom Development (if included in contract), and deliver Draft 2	2 weeks	Intel-Assess
Review Draft 2	1 week	District
<b>Approval Gate 2: All items upon which comments are not made are considered approved. Future comments on approved items will be honored with a revision of schedule as well as a fee.</b>		
Final item replacements and revisions, and deliver Content Complete Draft	1 week	Intel-Assess
Final Content Complete Draft Review (final review of items and content)	2 days	District
<b>Approval Gate 3: All content on the assessments are approved, and only formatting and layout adjustments will be made beyond this point. Amplify will apply all documented layout rules to assessments at this point. Documented layout rules are considered approved at this Gate. Requests to change such rules will be honored in future stages with a revision of schedule as well as a fee.</b>		
Document Formatting (adding sections, optimizing item order for document length and assessment validity issues, randomizing answer choices, etc), and deliver Print Candidate	1 week	Intel-Assess
Review Print Candidate	1 day	District
<b>Approval Gate 4: Review and approval at this stage is limited to correct application of the layout rules or unforeseeable formatting issues due to the uniqueness of content layout. Other input will be honored with a revision of schedule as well as a fee.</b>		
Publishing and delivery of Print Ready Documents	1 day	Intel-Assess
<b>Final Approval Gate: This approval indicates that the student document and teacher rationale document are complete for each approved assessment.</b>		
<b>Total Duration</b>	<b>Approx 15 weeks</b>	



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## How to Order Our Products

Amplify would like to process your order as quickly as possible. In order for us to assist you, please help us by following these instructions:

### Please include these four documents with your order:

- Authorized purchase order
- A copy of your Price Quote

### Paying via purchase order:

- To expedite your order, please email all documents to [tingram@amplify.com](mailto:tingram@amplify.com) or
- Fax to **347.983.9440**
- Purchase Orders can also be mailed to our Order Management Department, attn: Terry Ingram

Our Order Management Department is located at 55 Washington Street, Suite 900, Brooklyn NY 11201. Please note that mailing any documents can result in delays of up to two weeks. For faster processing of your order, we recommend you submit a purchase order via fax or email.

**We are delighted to work with you and we thank you for your order!**



**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Expulsion of Students for Violation of California Education Code Sections 48900, 48900.2, 48900.3, 48900.4, 48900.7, and/or 48915(c) According to Board Policy 5144.1

**ITEM:** Consent

**SUBMITTED BY:** Doreen Lohnes, Assistant Superintendent, Support Services

**PREPARED BY:** Sonia Rodarte-Llamas, Ed.D., Director, School Climate

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval of student expulsions in violation of Education Code Sections 48900, 48900.2, 48900.3, 48900.4, 48900.7, and/or 48915(c).

**RATIONALE:**

The following students were recommended for expulsion from the District for various terms. The students received a hearing before the administrative hearing panel, which found students to have received due process and to be guilty of the charges brought forth. The panel has recommended the respective expulsion terms and remediation conditions for Board approval.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve expulsion of students for violation of California Education Code Sections 48900, 48900.2, 48900.3, 48900.4, 48900.7, and/or 48915(c) according to Board Policy 5144.1.

# Recommendations for Expulsions

Board Meeting: December 10, 2013

	<u>Student Name</u>	<u>School/Grade</u>	<u>Charges</u>	<u>Recomm. Options</u>	<u>Placement</u>	<u>Date Eligible to Reapply</u>
1	318503	Saddleback/9	B	2A	Special Education	12/10/14
2	194850	Santa Ana/11	C	2	Community Day HS	06/19/14

## SUMMARY LIST OF SUBDIVISIONS UNDER THE CALIFORNIA EDUCATION CODE, SECTION 48900

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>(A) Caused, attempted, or threatened to cause physical injury</li> <li>(B) Possessed, sold, furnished a weapon, dangerous object, explosives</li> <li>(C) Possessed, used, sold, furnished, or under the influence of any controlled substance (e.g. marijuana, cocaine, alcohol, intoxicants).</li> <li>(D) Offered, arranged, or negotiated to sell any controlled substance and then either sold, delivered or otherwise furnished to any person another liquid, substance, or material and represented the liquid, substance, or material as a controlled substance</li> <li>(E) Committed or attempted to commit robbery or extortion</li> <li>(F) Caused or attempted to cause damage to school or private property</li> <li>(G) Stole or attempted to steal school or private property</li> <li>(H) Possessed or used tobacco or tobacco products</li> <li>(I) Committed an obscene act or engaged in habitual profanity or vulgarity</li> <li>(J) Possessed, offered, or arranged to sell paraphernalia</li> <li>(K) Disrupted school activities or willfully defied valid authority</li> <li>(L) Knowingly received stolen school or private property</li> <li>(M) Possessed an imitation firearm</li> </ul> | <ul style="list-style-type: none"> <li>(N) Committed or attempted to commit a sexual assault as defined by PC 261 or sexual battery PC 243.4</li> <li>(O) Harassed, threatened or intimidated a student who is a complaining witness in a school disciplinary proceeding for the purpose of either preventing that student by being a witness or retaliating against that student by being a witness</li> <li>(P) Offering to sell or selling SOMA</li> <li>(Q) Hazing</li> <li>(R) Engaged in the act of bullying, included but not limited to, bullying committed by means of an electronic act, as defined in subdivisions (f) and (g) of section 32261, directed specifically toward a pupil or school personnel</li> <li>(T) Aids or abets in physical injury</li> <li>(.2) Engaged in sexual harassment (Grades 4-12 only), vulgarity</li> <li>(.3) Engaged in hate crime (Grades 4-12 only)</li> <li>(.4) Harassment, threat, intimidation (Grades 4-12 only)</li> <li>(.7) Terrorist threats against school officials, school property or both</li> </ul> |
|--|---|

## EXPULSION RECOMMENDATIONS

- Option 1 to expel for one semester
- Option 1A to expel for one semester and suspend enforcement of the expulsion order
- Option 2 to expel for two semesters
- Option 2A to expel for one calendar year (from the date of the Board meeting)
- Option 3 to expel for two semesters and suspend enforcement of the entire expulsion order
- Option 4 to expel for two semesters and suspend enforcement of the second semester of the expulsion order
- Option 5 to reject the Findings of Fact and not expel (only the Board can recommend this Option)

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                   **Approval of Payment and Reimbursement of Costs Incurred for Designated Instructional Services for Students with Disabilities for 2013-14 School Year**

**ITEM:**                   **Consent**

**SUBMITTED BY:** **Doreen Lohnes, Assistant Superintendent, Support Services**

**PREPARED BY:** **Doreen Lohnes, Assistant Superintendent, Support Services**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval of payment and reimbursement of costs incurred for designated instructional services for students with disabilities.

**RATIONALE:**

In accordance with the students' Individualized Education Program (IEP) the parties on the attached list require reimbursement for designated instructional services or participated in a resolution session, settlement conference and/or mediation following the filing of a due process hearing and agreed to resolve the dispute by reimbursing those applicable for costs incurred.

**FUNDING:**

Special Education: Not to Exceed \$16,500

**RECOMMENDATION:**

Approve the payment and reimbursement of costs incurred for designated instructional services for students with disabilities for the 2013-14 school year.

**Reimbursement of Costs Incurred for Designated Instructional Services for Students with Disabilities for 2013-14 School Year**

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**Board Meeting: December 10, 2013**

Student ID#:	Amount:	Expenditure:	Parent of:
326331	\$4,500	Mileage	326331

Student ID#:	Amount:	Expenditure:	Law Office of:
370038	\$12,000	Attorney Fees	Augustin Egelsee L.L.P

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                   **Ratification of Purchase Order Summary and Listing of Orders \$25,000 and Over for Period of October 23, 2013 through November 27, 2013**

**ITEM:**                   **Consent**

**SUBMITTED BY:**       **Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO**

**PREPARED BY:**       **Jonathan Geiszler, Director, Purchasing and Stores**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board ratification of the Purchase Order Summary and Listing of Orders \$25,000 and over for the period of October 23, 2013 through November 27, 2013.

**RATIONALE:**

The Purchase Order Summary consists of all orders created during the period of October 23, 2013 through November 27, 2013. A detailed listing is also included for orders \$25,000 and over for various items and services. These are new or revised purchase orders that have been previously approved on the contracts report.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Ratify Purchase Order Summary and Listing of Orders \$25,000 and over for the period of October 23, 2013 through November 27, 2013.



# Santa Ana Unified School District

Stefanie P. Phillips, Ed.D.  
Deputy Superintendent,  
Operations, CBO

Richard L. Miller, Ph.D., Superintendent

Date: November 26, 2013  
To: Richard L. Miller, Ph.D., Superintendent  
From: Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO  
Subject: Purchase Order Summary: From 23-OCT-2013 through 27-NOV-2013

Fund 01 General Fund	\$3,052,830.65
Fund 12 Child Development	\$509.76
Fund 13 Cafeteria Fund	\$894,863.99
Fund 14 Deferred Maintenance Fund	\$71,953.37
Fund 24 SAUSD GO Bond, 2008 Election, Series A Building Fund	\$60,833.62
Fund 25 Capital Facilities Fund	\$206,832.05
Fund 29 Measure G	\$424,055.53
Fund 35 County School Facilities Fund	\$1,061,055.02
Fund 40 Special Reserve Fund	\$556,286.14
Fund 68 Workers' Compensation	\$401,725.58
Fund 69 Health & Welfare	\$151,207.92
Fund 81 Property & Liability	\$24,667.16
Grand Total:	\$6,589,190.19

Prepared By: Jonathan Geiszler, Director, Purchasing and Stores

1601 East Chestnut Avenue, Santa Ana, CA 92701-6322, (714) 558-5501

## BOARD OF EDUCATION

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SAUSD Board of Education Purchase Order Listing \$25,000 and Over

From 23-OCT-2013 through 27 NOV-2013

Page: 1 of 9

PO No.	Vendor			BOA Date
Funding	Description	Location	Amount	
90108	ORBACH, HUFF AND SUAREZ LLP			
Capital Facilities Fund	Plans All Other Printing, etc.	MONTE VISTA ELEMENTARY SCHOOL	\$96.00	
Measure G Series E	Plans All Other Printing, etc.	REMINGTON ELEMENTARY SCHOOL	\$1,723.50	
OPSC School Facilities Bond	Plans All Other Printing, etc.	SPURGEON INTERMEDIATE SCHOOL	\$552.00	
OPSC School Facilities Bond	Plans All Other Printing, etc.	CENTURY HIGH SCHOOL	\$96.00	
SCE CA Solar Initiative CSI	Plans All Other Printing, etc.	FACILITIES/GOVERNMENTAL RELATIONS	\$197,532.50	
290594	LOEWY ENTERPRISES, INC. dba SUNRISE PRODUCE COMPANY			
Child Nutrition: School Programs	Food Inventory Site Distribution	LORIN GRISET ACADEMY	\$2,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	SIERRA PREPARATORY ACADEMY	\$20,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL	\$10,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	LATHROP INTERMEDIATE SCHOOL	\$20,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	SANTA ANA HIGH SCHOOL	\$50,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	VALLEY HIGH SCHOOL	\$15,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	CARR INTERMEDIATE SCHOOL	\$25,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	WILLARD INTERMEDIATE SCHOOL	\$10,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	MCFADDEN INTERMEDIATE SCHOOL	\$20,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	SADDLEBACK HIGH SCHOOL	\$15,000.00	
Child Nutrition: School Programs	Food Inventory Site Distribution	SPURGEON INTERMEDIATE SCHOOL	\$20,000.00	

SAUSD Board of Education Purchase Order Listing \$25,000 and Over

From 23-OCT-2013 through 27 NOV-2013

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PO No.	Vendor			BOA Date
Funding	Description	Location	Amount	
290594	LOEWY ENTERPRISES, INC. dba SUNRISE PRODUCE COMPANY			
Child Nutrition:	Food Inventory	VILLA FUNDAMENTAL	\$10,000.00	
School Programs	Site Distribution	INTERMEDIATE SCHOOL		
Child Nutrition:	Food Inventory	CENTURY HIGH SCHOOL	\$15,000.00	
School Programs	Site Distribution			
Child Nutrition:	Food Inventory	GODINEZ FUNDAMENTAL	\$25,000.00	
School Programs	Site Distribution	HIGH SCHOOL		
Child Nutrition:	Food Inventory	MENDEZ FUNDAMENTAL	\$15,000.00	
School Programs	Site Distribution	INTERMEDIATE SCHOOL		
Child Nutrition:	Food Inventory	SEGERSTROM HIGH	\$40,000.00	
School Programs	Site Distribution	SCHOOL		
290798	MICHAEL A. PERRY dba POSITIVE YOUTH INTERVENTIONS			
Special Ed: Mental	Sub-Agreements for	SPECIAL EDUCATION	\$6,000.00	
Health Services	Services			
Special Ed: Mental	Consultants	SPECIAL EDUCATION	\$25,000.00	
Health Services	Instructional			
290803	ALLIANT INSURANCE SERVICES, INC.			
Health & Welfare	Consultant	DISTRICT-WIDE	\$148,500.00	
	Noninstructional			
291332	LAUREL ADLER LLC			
Unrestricted	Consultant	BUSINESS SERVICES	\$38,000.00	
Discretionary	Noninstructional	DIVISION		
Accounts				
293562	PLAYWORKS dba CHRISTINA WALLESTEIN			
Economic Impact Aid	Sub-Agreements for	DIAMOND ELEMENTARY	\$2,000.00	
	Services	SCHOOL		
Economic Impact Aid	Consultants	DIAMOND ELEMENTARY	\$25,000.00	
	Instructional	SCHOOL		
293680	PRESSED PAPERBOARD TECHNOLOGIES, LLC			
Child Nutrition:	Stores	FOOD 4 THOUGHT	\$34,726.32	
School Programs				
294011	HMC ARCHITECTS			
Measure G Series E	Building Architect	SANTA ANA HIGH	\$94,250.00	
		SCHOOL		
294100	NEXUS IS, INC.			
OPSC School		WILSON ELEMENTARY	\$53,466.61	
Facilities Bond		SCHOOL		



SAUSD Board of Education Purchase Order Listing \$25,000 and Over

From 23-OCT-2013 through 27 NOV-2013

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PO No.	Vendor			BOA Date
Funding	Description	Location	Amount	
294105	NEXUS IS, INC. OPSC School Facilities Bond	WILSON ELEMENTARY SCHOOL	\$59,657.25	
294108	USA SHADE & FABRIC STRUCTURES, INC. Measure G Series E	SPURGEON INTERMEDIATE SCHOOL	\$62,415.10	
294205	MARSH RISK & INSURANCE SERVICES Workers' Compensation	Other Insurance RISK MANAGEMENT	\$383,520.00	
294206	PRINT & FINISHING SOLUTIONS Unrestricted Discretionary Accounts	Other Equipment PUBLICATIONS	\$47,300.00	
294312	THE FRUITGUYS, LLC Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution PIO PICO ELEMENTARY SCHOOL	\$1,855.00	
	Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution ESQUEDA ELEMENTARY SCHOOL	\$2,980.00	
	Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution DAVIS ELEMENTARY SCHOOL	\$2,205.00	
	Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution ADAMS ELEMENTARY SCHOOL	\$1,720.00	
	Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution DIAMOND ELEMENTARY SCHOOL	\$1,720.00	
	Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution EDISON ELEMENTARY SCHOOL	\$1,855.00	
	Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution FREMONT ELEMENTARY SCHOOL	\$2,235.00	
	Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution HARVEY ELEMENTARY SCHOOL	\$1,395.00	

SAUSD Board of Education Purchase Order Listing \$25,000 and Over

From 23-OCT-2013 through 27 NOV-2013

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PO No.	Vendor			BOA Date
Funding	Description	Location	Amount	
294312	THE FRUITGUYS, LLC			
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	HOOVER ELEMENTARY SCHOOL	\$1,395.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	JACKSON ELEMENTARY SCHOOL	\$3,280.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	LINCOLN ELEMENTARY SCHOOL	\$3,060.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	LOWELL ELEMENTARY SCHOOL	\$2,520.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	MADISON ELEMENTARY SCHOOL	\$3,160.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	FRANKLIN ELEMENTARY SCHOOL	\$1,435.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	MARTIN ELEMENTARY SCHOOL	\$2,180.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	MONROE ELEMENTARY SCHOOL	\$1,435.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	MONTE VISTA ELEMENTARY SCHOOL	\$1,940.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	ROOSEVELT ELEMENTARY SCHOOL	\$2,330.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	SEPULVEDA ELEMENTARY SCHOOL	\$1,315.00	
Child Nutrition: Fresh Fruit and Vegetable Program	Food Inventory Site Distribution	WASHINGTON ELEMENTARY SCHOOL	\$2,775.00	

SAUSD Board of Education Purchase Order Listing \$25,000 and Over

From 23-OCT-2013 through 27 NOV-2013

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PO No.	Vendor			BOA Date
Funding	Description	Location		Amount
294312	THE FRUITGUYS, LLC			
Child Nutrition:	Food Inventory	WILSON ELEMENTARY		\$1,990.00
Fresh Fruit and	Site Distribution	SCHOOL		
Vegetable Program				
Child Nutrition:	Food Inventory	REMINGTON		\$1,015.00
Fresh Fruit and	Site Distribution	ELEMENTARY SCHOOL		
Vegetable Program				
Child Nutrition:	Food Inventory	KENNEDY ELEMENTARY		\$2,330.00
Fresh Fruit and	Site Distribution	SCHOOL		
Vegetable Program				
Child Nutrition:	Food Inventory	HENINGER ELEMENTARY		\$2,655.00
Fresh Fruit and	Site Distribution	SCHOOL		
Vegetable Program				
Child Nutrition:	Food Inventory	GARFIELD ELEMENTARY		\$2,100.00
Fresh Fruit and	Site Distribution	SCHOOL		
Vegetable Program				
294312	THE FRUITGUYS, LLC			
Child Nutrition:	Food Inventory	CARVER ELEMENTARY		\$1,855.00
Fresh Fruit and	Site Distribution	SCHOOL		
Vegetable Program				
Child Nutrition:	Food Inventory	HEROES ELEMENTARY		\$2,140.00
Fresh Fruit and	Site Distribution	SCHOOL		
Vegetable Program				
Child Nutrition:	Food Inventory	WALKER ELEMENTARY		\$1,720.00
Fresh Fruit and	Site Distribution	SCHOOL		
Vegetable Program				
Child Nutrition:	Food Inventory	KING ELEMENTARY		\$2,445.00
Fresh Fruit and	Site Distribution	SCHOOL		
Vegetable Program				
Child Nutrition:	Food Inventory	ROMERO-CRUZ		\$790.00
Fresh Fruit and	Site Distribution	ELEMENTARY SCHOOL		
Vegetable Program				
294314	DJ CO OPS			
Child Nutrition:	Freight-In-Donated	FOOD 4 THOUGHT		\$45,000.00
School Programs	Food			
294337	NTD ARCHITECTURE			
Fund 40 QZAB Solar	Building Architect	CENTURY HIGH SCHOOL		\$45,696.58
Energy Savings 2012				

SAUSD Board of Education Purchase Order Listing \$25,000 and Over

From 23-OCT-2013 through 27 NOV-2013

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PO No.	Vendor			BOA Date
Funding	Description	Location		Amount
294344	TJ JANCA CONSTRUCTION, INC. Measure G Series E	SANTA ANA HIGH SCHOOL		\$37,300.00
294355	NINYO & MOORE GEOTECHNICAL & ENVIROMENTAL SCIENCE SAUSD GO Bond, 2008 Election, Series A	Building Lab Tests Construction	GARFIELD ELEMENTARY SCHOOL	\$60,833.62
	City Santa Ana Redevelopment	Building Lab Tests Construction	GARFIELD ELEMENTARY SCHOOL	\$92,434.00
294382	DOJA, INC. OPSC School Facilities Bond	Building Contractor	WILSON ELEMENTARY SCHOOL	\$110,545.79
294420	ALI ZANDI Special Ed: Mental Health Services	Sub-Agreements for Services	SPECIAL EDUCATION	\$6,532.00
	Special Ed: Mental Health Services	Consultants Instructional	SPECIAL EDUCATION	\$25,000.00
294421	MARY KATHLEEN O'LEARY Special Ed: Mental Health Services	Sub-Agreements for Services	SPECIAL EDUCATION	\$6,532.00
	Special Ed: Mental Health Services	Consultants Instructional	SPECIAL EDUCATION	\$25,000.00
294421	MARY KATHLEEN O'LEARY Special Ed: Mental Health Services	Sub-Agreements for Services	SPECIAL EDUCATION	\$6,532.00
	Special Ed: Mental Health Services	Consultants Instructional	SPECIAL EDUCATION	\$25,000.00
294422	CHRISTINA ZANDI Special Ed: Mental Health Services	Sub-Agreements for Services	SPECIAL EDUCATION	\$6,532.00
	Special Ed: Mental Health Services	Consultants Instructional	SPECIAL EDUCATION	\$25,000.00
294429	SHELLEY ZEIGLER, MFT Special Ed: Mental Health Services	Sub-Agreements for Services	SPECIAL EDUCATION	\$6,532.00
	Special Ed: Mental Health Services	Consultants Instructional	SPECIAL EDUCATION	\$25,000.00

SAUSD Board of Education Purchase Order Listing \$25,000 and Over

From 23-OCT-2013 through 27 NOV-2013

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PO No.	Vendor			BOA Date
Funding	Description	Location		Amount
294434	PLAYWORKS EDUCATION ENERGIZED			
	Economic Impact Aid Sub-Agreements for Services	DIAMOND ELEMENTARY SCHOOL		\$2,000.00
	Economic Impact Aid Consultants Instructional	DIAMOND ELEMENTARY SCHOOL		\$25,000.00
294437	E.A.P. TECHNOLOGY, INC.			
	Unrestricted Discretionary Accounts	Other Equipment INFORMATION TECHNOLOGY CENTER		\$60,195.14
294489	AREY JONES EDUCATIONAL SOLUTIONS			
	Unrestricted Discretionary Accounts	Other Contracts INFORMATION TECHNOLOGY CENTER		\$36,000.00
	Unrestricted Discretionary Accounts	Other Equipment INFORMATION TECHNOLOGY CENTER		\$12,900.00
294548	MCGRATH RENTCORP dba MOBILE MODULAR MANAGEMENT, CO.			
	Capital Facilities Fund	Rental Contracts PIO PICO ELEMENTARY SCHOOL		\$6,578.00
	Capital Facilities Fund	Rental Contracts TAFT ELEMENTARY SCHOOL		\$3,289.00
	Capital Facilities Fund	Rental Contracts SADDLEBACK HIGH SCHOOL		\$35,187.00
294579	ORANGE COUNTY DEPARTMENT OF EDUCATION			
	Special Ed: Mental Health Services	Sub-Agreements for Services PUPIL SUPPORT SERVICES		\$744,594.00
	Special Ed: Mental Health Services	Consultants Instructional PUPIL SUPPORT SERVICES		\$25,000.00
294581	THE PLANNING CENTER/ DC&E			
	Fundd 25 Community	Day Plans All Other Printing, etc. COMMUNITY DAY HIGH SCHOOL		\$10,000.00
	Special Reserve Fund	Plans All Other Printing, etc. FACILITIES/GOVERNMENTAL RELATIONS		\$80,000.00
	Special Reserve Fund	Plans All Other Printing, etc. VILLA FUNDAMENTAL INTERMEDIATE SCHOOL		\$10,000.00

SAUSD Board of Education Purchase Order Listing \$25,000 and Over

From 23-OCT-2013 through 27 NOV-2013

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PO No.	Vendor			BOA Date
Funding	Description	Location		Amount
294584	ATKINSON ANDELSON LOYA RUUD & Special Education	Legal Audit and Election Contracts	ROMO SPECIAL EDUCATION	\$192,000.00
294592	CONTINENTAL FLOORING, INC. OPSC School Facilities Bond	Building Contractor	SPURGEON INTERMEDIATE SCHOOL	\$59,958.15
294716	THE PLANNING CENTER/ DC&E Fund 25 Community Day Plans All Other	Printing, etc.	COMMUNITY DAY HIGH SCHOOL	\$10,000.00
	Special Reserve Fund	Plans All Other Printing, etc.	FACILITIES/GOVERNMENTAL RELATIONS	\$80,000.00
	Special Reserve Fund	Plans All Other Printing, etc.	VILLA FUNDAMENTAL INTERMEDIATE SCHOOL	\$10,000.00
294761	NEXUS IS, INC. OPSC School Facilities Bond		HARVEY ELEMENTARY SCHOOL	\$36,246.75
294763	NEXUS IS, INC. OPSC School Facilities Bond		HARVEY ELEMENTARY SCHOOL	\$43,571.27
294768	AT&T CALIFORNIA OPSC School Facilities Bond		LOWELL ELEMENTARY SCHOOL	\$54,688.57
294770	AT&T CALIFORNIA OPSC School Facilities Bond		TAFT ELEMENTARY SCHOOL	\$120,682.70
294806	PARADIGM HEALTHCARE SERVICES Medi-Cal Billing Option	Sub-Agreements for Services	PUPIL SUPPORT SERVICES	\$175,000.00
	Medi-Cal Billing Option	Consultants Instructional	PUPIL SUPPORT SERVICES	\$25,000.00
294821	NEXUS IS, INC. OPSC School Facilities Bond		FREMONT ELEMENTARY SCHOOL	\$42,030.72
294829	HMC ARCHITECTS Measure G Series E	Building Architect	LATHROP INTERMEDIATE SCHOOL	\$29,332.48

SAUSD Board of Education Purchase Order Listing \$25,000 and Over

From 23-OCT-2013 through 27 NOV-2013

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PO No.	Vendor			BOA Date
Funding	Description	Location		Amount
294840	HMC ARCHITECTS			
	OPSC School	Building Architect	FREMONT ELEMENTARY	\$29,203.24
	Facilities Bond		SCHOOL	
294889	TOMARK SPORTS, INC.			
	Special Reserve		VALLEY HIGH SCHOOL	\$26,962.00
	Fund			
294904	VISTA HIGHER LEARNING			
	Lottery:	Textbooks	STATE TEXTBOOKS	\$44,362.50
	Instructional			
	Materials			
294928	NEXUS IS, INC.			
	OPSC School		REMINGTON	\$56,315.94
	Facilities Bond		ELEMENTARY SCHOOL	
294929	NEXUS IS, INC.			
	OPSC School		FREMONT ELEMENTARY	\$39,326.45
	Facilities Bond		SCHOOL	
294994	NEXUS IS, INC.			
	OPSC School		REMINGTON	\$59,178.02
	Facilities Bond		ELEMENTARY SCHOOL	

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                   **Ratification of Expenditure Summary and Warrant Listing for Period of October 23, 2013 through November 27, 2013**

**ITEM:**                   **Consent**

**SUBMITTED BY:**   **Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO**

**PREPARED BY:**   **Christeen Betz, Director, Accounting**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board ratification of the Expenditure Summary and Warrant Listing for the expenditures \$25,000 and over on a bi-monthly basis.

**RATIONALE:**

The Expenditure Summary consists of all warrants created during the period of October 23, 2013 through November 27, 2013. A detailed listing for expenditures \$25,000 and over is also included. These items have already been submitted in the prior month's Purchase Order report.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Ratify Expenditure Summary and Warrant Listing of expenditures over \$25,000 for the period of October 23, 2013 through November 27, 2013.





# Santa Ana Unified School District

**Stefanie P. Phillips, Ed.D.**  
*Deputy Superintendent,  
Operations, CBO*

**Richard L. Miller, Ph.D., Superintendent**

Date: November 27, 2013  
To: Richard L. Miller, Ph.D., Superintendent  
From: Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations  
Subject: Expenditures Summary: From 23-OCT-2013 through 27-NOV-2013

Fund 01 General Fund	\$9,395,323.03
Fund 09 Charter School Fund	\$444,172.13
Fund 12 Child Development	\$5,131.02
Fund 13 Cafeteria Fund	\$3,096,919.54
Fund 14 Deferred Maintenance Fund	\$178,068.01
Fund 24 SAUSD GO Bond, 2008 Election, Series A Building Fund	\$107,720.50
Fund 25 Capital Facilities Fund	\$612,887.65
Fund 26 Measure G Bond	\$56,725.80
Fund 29 Measure G	\$308,150.71
Fund 35 County School Facilities Fund	\$2,898,007.19
Fund 40 Special Reserve Fund	\$746,211.87
Fund 49 Capital Project Fund for Blended Component	\$7,328.53
Fund 68 Workers' Compensation	\$835,109.64
Fund 69 Health & Welfare	\$10,653,923.05
Fund 81 Property & Liability	\$66,908.96
Total Expenditures:	\$29,412,587.63

Prepared By: Christeen Betz, Director, Accounting

1601 East Chestnut Avenue, Santa Ana, CA 92701-6322, (714) 558-5501

## BOARD OF EDUCATION

José Alfredo Hernández, J.D., President • Rob Richardson, Vice President  
Audrey Yamagata-Noji, Ph.D., Clerk • John Palacio, Member • Cecilia "Ceci" Iglesias, Member

# SAUSD Board of Education Warrant Listing

October 23, 2013

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<u>Check #</u>	<u>Vendor</u>	<u>Location</u>	<u>Amount</u>
<b>Fund 01 General Fund</b>			
84186629	CITY OF SANTA ANA Unrestricted Discretionary Accounts	DISTRICT-WIDE	\$78,058.61
84186645	PIVOT LEARNING PARTNERS IASA: Title I Basic Grants Low-Income and Neglected, Part A	STAFF DEVELOPMENT	\$61,250.00
84186659	AREY JONES EDUCATIONAL SOLUTIONS Carl D Perkins Section 131 Career and Technical Education act of 1998	VOCATIONAL EDUCATION	\$61,300.07

# SAUSD Board of Education Warrant Listing

October 23, 2013

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<u>Check #</u>	<u>Vendor</u>	<u>Location</u>	<u>Amount</u>
84186665	CDW GOVERNMENT, INC.		\$58,862.46
	ARRA Title 1 School Improvement Grant (SIG) PLAS	CENTURY HIGH SCHOOL	
		SADDLEBACK HIGH SCHOOL	
		SANTA ANA HIGH SCHOOL	
		VALLEY HIGH SCHOOL	
		WILLARD INTERMEDIATE SCHOOL	
	Donations (Miscellaneous)	MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL	
	Economic Impact Aid	ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
		ESQUEDA ELEMENTARY SCHOOL	
		HEROES ELEMENTARY SCHOOL	
		LINCOLN ELEMENTARY SCHOOL	
		WASHINGTON ELEMENTARY SCHOOL	
	Fund 01 General Fund	ACCOUNTING DEPARTMENT	
	Head Start	CHILD DEVELOPMENT	
	Special Ed: IDEA Early Intervention Grants	TAFT ELEMENTARY SCHOOL	
	Special Ed: Infant Discretionary Fund (3330)	TAFT ELEMENTARY SCHOOL	
	Special Education	SPEECH & LANGUAGE	
	Unrestricted - Regional Occupational Centers/Program (ROC/P 6350)	REGIONAL OCCUPATIONAL PROGRAM	
	Unrestricted Discretionary Accounts	BOARD OF EDUCATION	
		DIAMOND ELEMENTARY SCHOOL	
		ESQUEDA ELEMENTARY SCHOOL	
		GREENVILLE FUNDAMENTAL ELEMENTARY SCHOOL	
		INSTRUCTIONAL MEDIA CENTER	
		PUPIL SUPPORT SERVICES	
		RESEARCH AND EVALUATION	
		TAFT ELEMENTARY SCHOOL	

# SAUSD Board of Education Warrant Listing

October 23, 2013

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<u>Check #</u>	<u>Vendor</u>	<u>Location</u>	<u>Amount</u>
84186667	CDW GOVERNMENT, INC.		\$55,621.62
	ARRA Title 1 School Improvement Grant (SIG) PLAS	CENTURY HIGH SCHOOL	
		SADDLEBACK HIGH SCHOOL	
		SANTA ANA HIGH SCHOOL	
		VALLEY HIGH SCHOOL	
		WILLARD INTERMEDIATE SCHOOL	
	Economic Impact Aid	COMMUNITY DAY HIGH SCHOOL	
		ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
		ESQUEDA ELEMENTARY SCHOOL	
		MADISON ELEMENTARY SCHOOL	
		REMINGTON ELEMENTARY SCHOOL	
		THORPE FUNDAMENTAL ELEMENTARY SCHOOL	
	Economic Impact Aid-LEP	LATHROP INTERMEDIATE SCHOOL	
	Fund 01 General Fund	ACCOUNTING DEPARTMENT	
	IASA: Title I Basic Grants Low-Income and Neglected, Part A	ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
	Special Education	SPECIAL EDUCATION	
		SPEECH & LANGUAGE	
	Unrestricted - Community Day Schools (2430)	COMMUNITY DAY HIGH SCHOOL	
	Unrestricted Discretionary Accounts	ACCOUNTING DEPARTMENT	
		CARR INTERMEDIATE SCHOOL	
		ESQUEDA ELEMENTARY SCHOOL	
		GREENVILLE FUNDAMENTAL ELEMENTARY SCHOOL	
		HENINGER ELEMENTARY SCHOOL	
		LATHROP INTERMEDIATE SCHOOL	
		THORPE FUNDAMENTAL ELEMENTARY SCHOOL	
		VILLA FUNDAMENTAL INTERMEDIATE SCHOOL	

# SAUSD Board of Education Warrant Listing

October 23, 2013

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<u>Check #</u>	<u>Vendor</u>	<u>Location</u>	<u>Amount</u>
84186750	<b>SAMYS CAMERA</b>		<b>\$29,255.25</b>
	ARRA Title 1 School Improvement Grant (SIG) PLAS	CENTURY HIGH SCHOOL	
	Fund 01 General Fund	ACCOUNTING DEPARTMENT	
	Unrestricted - Regional Occupational Centers/Program (ROC/P 6350)	REGIONAL OCCUPATIONAL PROGRAM	
84186756	<b>VISTA HIGHER LEARNING</b>		<b>\$26,082.00</b>
	ARRA Title 1 School Improvement Grant (SIG) PLAS	SANTA ANA HIGH SCHOOL	
	IASA: Title I Basic Grants Low-Income and Neglected, Part A	ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
84186783	<b>DURHAM SCHOOL SERVICES, L.P.</b>		<b>\$892,507.04</b>
	30-R2002-653 Before and After School Learning & Safe Neighborhood Partnerships	HOOVER ELEMENTARY SCHOOL	
	Transportation-Home to School	TRANSPORTATION DEPARTMENT	
	Transportation-Special Education	TRANSPORTATION DEPARTMENT	
84186809	<b>APPLE, INC.</b>		<b>\$34,455.27</b>
	ARRA Title 1 School Improvement Grant (SIG) PLAS	SANTA ANA HIGH SCHOOL	
		SIERRA PREPARATORY ACADEMY	
		WILLARD INTERMEDIATE SCHOOL	
	Donations (Miscellaneous)	CARVER ELEMENTARY SCHOOL	
	Fund 01 General Fund	ACCOUNTING DEPARTMENT	
	Unrestricted Discretionary Accounts	SEGERSTROM HIGH SCHOOL	
		SIERRA PREPARATORY ACADEMY	
		SUPERINTENDENT'S OFFICE	

# SAUSD Board of Education Warrant Listing

October 23, 2013

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<u>Check #</u>	<u>Vendor</u>	<u>Location</u>	<u>Amount</u>
84186627	U S BANK - CAL CARD		\$178,072.11
	ARRA Title 1 School Improvement Grant (SIG) PLAS	CENTURY HIGH SCHOOL	
		SADDLEBACK HIGH SCHOOL	
		SANTA ANA HIGH SCHOOL	
		VALLEY HIGH SCHOOL	
		WILLARD INTERMEDIATE SCHOOL	
	Child Nutrition: Healthy Active Families	SPECIAL PROJECTS/WELLNESS	
	Donations (Miscellaneous)	BUILDING SERVICES	
		DAVIS ELEMENTARY SCHOOL	
		DIAMOND ELEMENTARY SCHOOL	
		FRANKLIN ELEMENTARY SCHOOL	
		GARFIELD ELEMENTARY SCHOOL	
		HOOVER ELEMENTARY SCHOOL	
		LINCOLN ELEMENTARY SCHOOL	
		LOWELL ELEMENTARY SCHOOL	
		MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL	
		MADISON ELEMENTARY SCHOOL	
		MARTIN ELEMENTARY SCHOOL	
		SANTA ANA HIGH SCHOOL	
		SEPULVEDA ELEMENTARY SCHOOL	
	Economic Impact Aid	COMMUNITY DAY HIGH SCHOOL	
		HEROES ELEMENTARY SCHOOL	
		LOWELL ELEMENTARY SCHOOL	
		MADISON ELEMENTARY SCHOOL	
		MCFADDEN INTERMEDIATE SCHOOL	
		MONTE VISTA ELEMENTARY SCHOOL	
		PIO PICO ELEMENTARY SCHOOL	
		SANTA ANA HIGH SCHOOL	

# SAUSD Board of Education Warrant Listing

October 23, 2013

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<u>Check #</u>	<u>Vendor</u>	<u>Location</u>	<u>Amount</u>
	Economic Impact Aid-LEP	LINCOLN ELEMENTARY SCHOOL	
		WILSON ELEMENTARY SCHOOL	
	Fitness for All	SPECIAL PROJECTS/WELLNESS	
	Fund 01 General Fund	ACCOUNTING DEPARTMENT	
		PUBLICATIONS	
	Head Start	CHILD DEVELOPMENT	
	IASA: Title I Basic Grants Low-Income and Neglected, Part A	CARR INTERMEDIATE SCHOOL	
		CENTURY HIGH SCHOOL	
		EARLY CHILDHOOD EDUCATION	
		ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
		KING ELEMENTARY SCHOOL	
		LINCOLN ELEMENTARY SCHOOL	
		MARTIN ELEMENTARY SCHOOL	
		MENDEZ FUNDAMENTAL INTERMEDIATE SCHOOL	
		MONTE VISTA ELEMENTARY SCHOOL	
		STAFF DEVELOPMENT	
	Kinder Readiness Program II	EARLY CHILDHOOD EDUCATION	
	Lottery: Instructional Materials	MIDDLE COLLEGE HIGH SCHOOL	
		STATE TEXTBOOKS	
	NJROTC	SANTA ANA HIGH SCHOOL	
	Ongoing & Major Maintenance Account	BUILDING SERVICES	
	Parent Involvement/School Climate Grant	CONSTITUENCY SERVICES	
	QZAB Solar Energy	CARR INTERMEDIATE SCHOOL	
		CENTURY HIGH SCHOOL	
		SADDLEBACK HIGH SCHOOL	
		SANTA ANA HIGH SCHOOL	
		VALLEY HIGH SCHOOL	
	Recognition Programs	DEPUTY SUPERINTENDENT'S OFFICE	

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	S.D. Bechtel, Jr. Foundation	STAFF DEVELOPMENT	
	Special Ed: Early Ed Individuals with Exceptional Needs Infant Program	MITCHELL CHILD DEVELOPMENT CENTER	
	Special Ed: IDEA Early Intervention Grants	MITCHELL CHILD DEVELOPMENT CENTER	
	Special Education	GODINEZ FUNDAMENTAL HIGH SCHOOL	
		MCFADDEN INTERMEDIATE SCHOOL	
		SPECIAL EDUCATION	
	Title II-Part A Improving Teacher Quality	ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
	Two-Way Digital ITFS Licensee Revenue	TECHNOLOGY	
	Unrestricted - CalSafe (6091/6092)	EARLY CHILDHOOD EDUCATION	
	Unrestricted - Regional Occupational Centers/Program (ROC/P 6350)	REGIONAL OCCUPATIONAL PROGRAM	
	Unrestricted Discretionary Accounts	ADAMS ELEMENTARY SCHOOL	
		BOARD OF EDUCATION	
		BUDGET	
		BUILDING SERVICES	
		BUSINESS SERVICES DIVISION	
		CARR INTERMEDIATE SCHOOL	
		CARVER ELEMENTARY SCHOOL	
		CENTURY HIGH SCHOOL	
		COMMUNICATIONS	
		CONSTRUCTION	
		DAVIS ELEMENTARY SCHOOL	
		DIAMOND ELEMENTARY SCHOOL	
		FACILITIES/GOVERNMENTAL RELATIONS	
		FREMONT ELEMENTARY SCHOOL	
		GODINEZ FUNDAMENTAL HIGH SCHOOL	
		GREENVILLE FUNDAMENTAL ELEMENTARY SCHOOL	
		HEROES ELEMENTARY SCHOOL	
		HOOVER ELEMENTARY SCHOOL	



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HUMAN RESOURCES DIVISION  
INFORMATION TECHNOLOGY CENTER  
INSTRUCTIONAL MEDIA CENTER  
JACKSON ELEMENTARY SCHOOL  
KENNEDY ELEMENTARY SCHOOL  
LINCOLN ELEMENTARY SCHOOL  
LOWELL ELEMENTARY SCHOOL  
MADISON ELEMENTARY SCHOOL  
MARTIN ELEMENTARY SCHOOL  
MIDDLE COLLEGE HIGH SCHOOL  
MONROE ELEMENTARY SCHOOL  
PAYROLL DEPARTMENT  
PUBLICATIONS  
PUPIL SUPPORT SERVICES  
PURCHASING DEPARTMENT  
REMINGTON ELEMENTARY SCHOOL  
RESEARCH AND EVALUATION  
SANTIAGO ELEMENTARY SCHOOL  
SCHOOL POLICE SERVICES  
SEGERSTROM HIGH SCHOOL  
SIERRA PREPARATORY ACADEMY  
SPURGEON INTERMEDIATE SCHOOL  
SUPERINTENDENT'S OFFICE  
VALLEY HIGH SCHOOL  
WALKER ELEMENTARY SCHOOL  
WAREHOUSE AND DELIVERY  
WASHINGTON ELEMENTARY SCHOOL

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84186632	ATKINSON, ANDELSON, LOYA, RUUD & ROMO Unrestricted Discretionary Accounts	BUSINESS SERVICES DIVISION HUMAN RESOURCES DIVISION	\$191,288.56
84186643	MCKENNA LONG & ALDRIDGE, LLP Unrestricted Discretionary Accounts	BUSINESS SERVICES DIVISION	\$41,473.76
84186631	SOUTHERN CALIFORNIA EDISON Unrestricted Discretionary Accounts	DISTRICT-WIDE	\$92,856.22
<b>Fund 09 Charter School Fund</b>			
84186820	NOVA ACADEMY EARLY COLLEGE HIGH SCHOOL Fund 09 Charter School Fund	CASH ACCOUNT	\$160,360.29

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<b>84186824</b>	<b>A &amp; R WHOLESALE DISTRIBUTORS</b> Child Nutrition: School Programs	FOOD 4 THOUGHT SADDLEBACK HIGH SCHOOL SANTA ANA HIGH SCHOOL VILLA FUNDAMENTAL INTERMEDIATE SCHOOL	<b>\$38,059.26</b>
<b>84186826</b>	<b>A &amp; R WHOLESALE DISTRIBUTORS</b> Child Nutrition: School Programs	CENTURY HIGH SCHOOL CHAVEZ CONTINUATION HIGH SCHOOL FOOD 4 THOUGHT GODINEZ FUNDAMENTAL HIGH SCHOOL LATHROP INTERMEDIATE SCHOOL LORIN GRISET ACADEMY MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL MCFADDEN INTERMEDIATE SCHOOL SADDLEBACK HIGH SCHOOL SIERRA PREPARATORY ACADEMY VALLEY HIGH SCHOOL WILLARD INTERMEDIATE SCHOOL	<b>\$41,306.74</b>
<b>84186830</b>	<b>DRIFTWOOD DAIRY</b> Child Nutrition: School Programs	FOOD 4 THOUGHT	<b>\$47,166.66</b>
<b>84186834</b>	<b>GOLD STAR FOODS</b> Child Nutrition: School Programs	FOOD 4 THOUGHT	<b>\$69,054.12</b>
<b>84186836</b>	<b>GOLD STAR FOODS</b> Child Nutrition: School Programs	FOOD 4 THOUGHT	<b>\$94,009.66</b>

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<b>Fund 25 Capital Facilities Fund</b>			
84186854	BALFOUR BEATTY CONSTRUCTION Fund 25 Willard Joint Use	WILLARD INTERMEDIATE SCHOOL	\$47,732.85
84186861	TOMARK SPORTS, INC. Fund 25 Capital Facilities Fund	SADDLEBACK HIGH SCHOOL	\$42,188.04
<b>Fund 26 Measure G Bond</b>			
84186863	GHATAODE BANNON ARCHITECTS, LLP Fund 26 Measure G Bond Series B	SPURGEON INTERMEDIATE SCHOOL	\$50,422.93
<b>Fund 29 Measure G</b>			
84186865	BARNHART-BALFOUR BEATTY, INC. Fund 29 Measure G Series E	WILLARD INTERMEDIATE SCHOOL	\$34,245.01
<b>Fund 35 County School Facilities Fund</b>			
84186872	DALKE & SONS CONSTRUCTION, INC. Fund 35 OPSC School Facilities Bond Projects	DIAMOND ELEMENTARY SCHOOL	\$728,902.24
84186878	NINYO & MOORE GEOTECHNICAL & ENVIROMENTAL Fund 35 OPSC School Facilities Bond Projects  Fund 35 OPSC School Facilities Bond Projects-Second Issuance	GREENVILLE FUNDAMENTAL ELEMENTARY SCHOOL WILLARD INTERMEDIATE SCHOOL  WILSON ELEMENTARY SCHOOL	\$46,307.50
84186882	THE HANOVER INSURANCE GROUP Fund 35 OPSC School Facilities Bond Projects-Second Issuance	SPURGEON INTERMEDIATE SCHOOL	\$240,707.50

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<b>Fund 40 Special Reserve Fund</b>			
84186888	SUNPOWER CORPORATION, SYSTEMS Fund 40 QZAB Solar Energy Savings 2012	MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL	\$163,390.26
84186889	SUNPOWER CORPORATION, SYSTEMS Fund 40 QZAB Solar Energy Savings 2012	HENINGER ELEMENTARY SCHOOL	\$132,439.74
84186886	AREY JONES EDUCATIONAL SOLUTIONS Fund 40 QZAB Solar Energy Savings 2012	CENTURY HIGH SCHOOL	\$55,667.32
<b>Fund 81 Property &amp; Liability</b>			
84186897	CORVEL CORPORATION Fund 81 Property & Liability	RISK MANAGEMENT	\$37,801.05
<b>Grand Total:</b>			<b>\$3,830,844.14</b>

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<b>Fund 01 General Fund</b>			
84187064	<b>WESTERN POWER SYSTEMS</b> Ongoing & Major Maintenance Account	BUILDING SERVICES	<b>\$33,270.00</b>
84187093	<b>NOETIX CORPORATION</b> Unrestricted Discretionary Accounts	INFORMATION TECHNOLOGY CENTER	<b>\$83,400.00</b>
84187058	<b>UNISOURCE WORLDWIDE, INC.</b> Fund 01 General Fund	ACCOUNTING DEPARTMENT WAREHOUSE AND DELIVERY	<b>\$37,415.76</b>
84186997	<b>PRB CONSTRUCTION</b> Ongoing & Major Maintenance Account	BUILDING SERVICES	<b>\$38,575.00</b>
84186994	<b>ORANGE COUNTY SANITATION DISTRICT</b> Unrestricted Discretionary Accounts	DISTRICT-WIDE	<b>\$129,495.00</b>
84186904	<b>FOLLETT EDUCATIONAL SERVICES</b> ARRA Title 1 School Improvement Grant (SIG) PLAS  Child Nutrition: Healthy Active Families  Lottery: Instructional Materials	SANTA ANA HIGH SCHOOL  SPECIAL PROJECTS/WELLNESS  STATE TEXTBOOKS	<b>\$43,638.70</b>
84186913	<b>XEROX CORPORATION</b> Unrestricted Discretionary Accounts	DISTRICT-WIDE	<b>\$101,926.90</b>
84187061	<b>WARE DISPOSAL, INC.</b> Unrestricted Discretionary Accounts	DISTRICT-WIDE	<b>\$54,527.98</b>
<b>Fund 09 Charter School Fund</b>			
84187126	<b>NOVA ACADEMY EARLY COLLEGE HIGH SCHOOL</b> Education Protection Account  Fund 9 Prop 20 Lottery  Fund 9 State Lottery	CASH ACCOUNT  ACCOUNTING DEPARTMENT  ACCOUNTING DEPARTMENT	<b>\$123,699.25</b>

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<b>84187141</b>	<b>GOLD STAR FOODS</b> Child Nutrition: School Programs	CARR INTERMEDIATE SCHOOL FOOD 4 THOUGHT SEGERSTROM HIGH SCHOOL VALLEY HIGH SCHOOL	<b>\$61,282.48</b>
<b>84187136</b>	<b>DRIFTWOOD DAIRY</b> Child Nutrition: School Programs	FOOD 4 THOUGHT MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL	<b>\$75,443.23</b>
<b>84187131</b>	<b>A &amp; R WHOLESALE DISTRIBUTORS</b> Child Nutrition: School Programs	CARR INTERMEDIATE SCHOOL CENTURY HIGH SCHOOL CHAVEZ CONTINUATION HIGH SCHOOL FOOD 4 THOUGHT MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL MCFADDEN INTERMEDIATE SCHOOL MENDEZ FUNDAMENTAL INTERMEDIATE SCHOOL SANTA ANA HIGH SCHOOL SIERRA PREPARATORY ACADEMY VILLA FUNDAMENTAL INTERMEDIATE SCHOOL WILLARD INTERMEDIATE SCHOOL	<b>\$53,372.67</b>
<b>84187143</b>	<b>GOLD STAR FOODS</b> Child Nutrition: School Programs	FOOD 4 THOUGHT GODINEZ FUNDAMENTAL HIGH SCHOOL MCFADDEN INTERMEDIATE SCHOOL SEGERSTROM HIGH SCHOOL	<b>\$59,503.04</b>

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84187144	GOLD STAR FOODS Child Nutrition: School Programs	FOOD 4 THOUGHT	\$49,865.89
84187146	GOLD STAR FOODS Child Nutrition: School Programs	CARR INTERMEDIATE SCHOOL FOOD 4 THOUGHT SEGERSTROM HIGH SCHOOL VALLEY HIGH SCHOOL	\$31,170.20
 <b>Fund 25 Capital Facilities Fund</b>			
84187170	BALFOUR BEATTY CONSTRUCTION Fund 25 City Santa Ana Redevelopment	GARFIELD ELEMENTARY SCHOOL	\$225,269.70
 <b>Fund 29 Measure G</b>			
84187176	HMC ARCHITECTS Fund 29 Measure G Series E	SANTA ANA HIGH SCHOOL	\$94,250.00
 <b>Fund 35 County School Facilities Fund</b>			
84187179	BALFOUR BEATTY CONSTRUCTION Fund 35 OPSC School Facilities Bond Projects	GARFIELD ELEMENTARY SCHOOL	\$184,736.05
84187188	PERFECTION GLASS, INC. Fund 35 OPSC School Facilities Bond Projects-Second Issuance	GREENVILLE FUNDAMENTAL ELEMENTARY SCHOOL	\$49,745.80
84187192	VERNE'S PLUMBING, INC. Fund 35 OPSC School Facilities Bond Projects  Fund 35 OPSC School Facilities Bond Projects-Second Issuance	GARFIELD ELEMENTARY SCHOOL TAFT ELEMENTARY SCHOOL LOWELL ELEMENTARY SCHOOL	\$33,067.93
 <b>Fund 40 Special Reserve Fund</b>			
84187194	NEXUS IS, INC. Fund 40 Special Reserve Fund	SEGERSTROM HIGH SCHOOL	\$60,826.32



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<b>Fund 68 Workers' Compensation</b>			
84187203	SANTA ANA UNIFIED SCHOOL DISTRICT WORKERS' Fund 68 Workers' Compensation	RISK MANAGEMENT	\$102,679.99
<b>Fund 69 Health &amp; Welfare</b>			
84187208	BLUE SHIELD OF CALIFORNIA Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	\$3,350,994.39
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
84187215	DELTACARE USA Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	\$46,384.94
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
84187220	KAISER FOUNDATION HEALTH PLAN Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	\$1,240,961.21
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
84187241	VISION SERVICE PLAN Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	\$38,982.28
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
84187235	SANTA ANA UNIFIED SCHOOL DISTRICT Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	\$500,000.00
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
<b>Grand Total:</b>			<b>\$6,904,484.71</b>

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<b>Fund 01 General Fund</b>			
84187472	<b>KOCE TV FOUNDATION</b> Lottery: Instructional Materials	TECHNOLOGY	<b>\$59,814.00</b>
84187247	<b>EL SOL SCIENCE AND ARTS ACADEMY</b> Fund 01 General Fund	CASH ACCOUNT	<b>\$84,983.00</b>
84187248	<b>NOVA ACADEMY EARLY COLLEGE HIGH SCHOOL</b> Fund 01 General Fund	CASH ACCOUNT	<b>\$44,284.00</b>
84187249	<b>ORANGE COUNTY EDUCATIONAL ARTS ACADEMY</b> Fund 01 General Fund	CASH ACCOUNT	<b>\$62,719.00</b>
84187250	<b>ORANGE COUNTY HIGH SCHOOL OF THE ARTS</b> Fund 01 General Fund	CASH ACCOUNT	<b>\$201,494.00</b>
84187254	<b>SOUTHERN CALIFORNIA EDISON</b> Unrestricted Discretionary Accounts	DISTRICT-WIDE	<b>\$52,194.87</b>
84187257	<b>ATKINSON, ANDELSON, LOYA, RUUD &amp; ROMO</b> Unrestricted Discretionary Accounts	BUSINESS SERVICES DIVISION HUMAN RESOURCES DIVISION	<b>\$36,670.16</b>
84187286	<b>REVOLVING CASH FUND</b> Donations-ASB Transportation Economic Impact Aid  Fund 01 General Fund  Head Start  IASA: Title I Basic Grants Low-Income and Neglected, Part A	SEGERSTROM HIGH SCHOOL DIAMOND ELEMENTARY SCHOOL KING ELEMENTARY SCHOOL LORIN GRISET ACADEMY SANTA ANA HIGH SCHOOL VALLEY HIGH SCHOOL ACCOUNTING DEPARTMENT CHILD DEVELOPMENT LOWELL ELEMENTARY SCHOOL SANTA ANA HIGH SCHOOL STAFF DEVELOPMENT	<b>\$59,770.48</b>

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	Risk Management - Undesignated	RISK MANAGEMENT	
	Title II-Part A Improving Teacher Quality	NONPUBLIC SCHOOLS	
	Unrestricted - Regional Occupational Centers/Program (ROC/P 6350)	REGIONAL OCCUPATIONAL PROGRAM	
	Unrestricted Discretionary Accounts	BOARD OF EDUCATION	
		CENTURY HIGH SCHOOL	
		ELEMENTARY DIVISION	
		GODINEZ FUNDAMENTAL HIGH SCHOOL	
		MIDDLE COLLEGE HIGH SCHOOL	
		SADDLEBACK HIGH SCHOOL	
		SANTA ANA HIGH SCHOOL	
		SEGERSTROM HIGH SCHOOL	
		VALLEY HIGH SCHOOL	
<b>84187355</b>	<b>CDW GOVERNMENT, INC.</b>		<b>\$31,153.14</b>
	ARRA Title 1 School Improvement Grant (SIG) PLAS	CENTURY HIGH SCHOOL	
		SADDLEBACK HIGH SCHOOL	
		SANTA ANA HIGH SCHOOL	
		VALLEY HIGH SCHOOL	
	Donations (Miscellaneous)	THORPE FUNDAMENTAL ELEMENTARY SCHOOL	
	Economic Impact Aid	ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
		MADISON ELEMENTARY SCHOOL	
		MARTIN ELEMENTARY SCHOOL	
	Economic Impact Aid-LEP	GODINEZ FUNDAMENTAL HIGH SCHOOL	
		SPURGEON INTERMEDIATE SCHOOL	
	Fund 01 General Fund	ACCOUNTING DEPARTMENT	
	IASA: Title I Basic Grants Low-Income and Neglected, Part A	CARR INTERMEDIATE SCHOOL	
		ESQUEDA ELEMENTARY SCHOOL	
		JACKSON ELEMENTARY SCHOOL	

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	Special Ed: Infant Discretionary Fund	TAFT ELEMENTARY SCHOOL	
	Special Education	SPECIAL EDUCATION	
		SPEECH & LANGUAGE	
		TAFT ELEMENTARY SCHOOL	
	Unrestricted Discretionary Accounts	ACCOUNTING DEPARTMENT	
		CENTURY HIGH SCHOOL	
		CHAVEZ CONTINUATION HIGH SCHOOL	
		DAVIS ELEMENTARY SCHOOL	
		GODINEZ FUNDAMENTAL HIGH SCHOOL	
		HOOVER ELEMENTARY SCHOOL	
		INFORMATION TECHNOLOGY CENTER	
		KING ELEMENTARY SCHOOL	
		MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL	
		MUIR FUNDAMENTAL ELEMENTARY SCHOOL	
		SANTIAGO ELEMENTARY SCHOOL	
		SEGERSTROM HIGH SCHOOL	
		SIERRA PREPARATORY ACADEMY	
<b>84187382</b>	<b>PEARSON ASSESSMENTS</b>		<b>\$66,336.07</b>
	Medi-Cal Billing Option	SPEECH & LANGUAGE	
	Special Education	SPEECH & LANGUAGE	
	Unrestricted Discretionary Accounts	RESEARCH AND EVALUATION	
<b>84187246</b>	<b>EDWARD B. COLE, SR. ACADEMY</b>		<b>\$37,534.00</b>
	Fund 01 General Fund	CASH ACCOUNT	
<b>84187453</b>	<b>AREY JONES EDUCATIONAL SOLUTIONS</b>		<b>\$27,089.07</b>
	ARRA Title 1 School Improvement Grant (SIG) PLAS	VALLEY HIGH SCHOOL	
	Fund 01 General Fund	ACCOUNTING DEPARTMENT	

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	Risk Management - Undesignated	RISK MANAGEMENT	
	Special Ed: Infant Discretionary Fund	MITCHELL CHILD DEVELOPMENT CENTER	
<b>84187497</b>	<b>UNISOURCE WORLDWIDE, INC.</b>		<b>\$35,090.19</b>
	Fund 01 General Fund	ACCOUNTING DEPARTMENT	
		PUBLICATIONS	
		WAREHOUSE AND DELIVERY	
<b>84187506</b>	<b>INTERCOM CLOCKS &amp; SIGNAL SERVICE</b>		<b>\$54,130.04</b>
	Civic Center Rental Fees	RISK MANAGEMENT	
	COPS 2006 Secure Our Schools	RISK MANAGEMENT	
<b>84187420</b>	<b>INTEL-ASSESS, INC.</b>		<b>\$219,900.00</b>
	Economic Impact Aid	STUDENT ACHIEVEMENT	
 <b>Fund 13 Cafeteria Fund</b>			
<b>84187525</b>	<b>A &amp; R WHOLESALE DISTRIBUTORS</b>		<b>\$53,043.31</b>
	Child Nutrition: School Programs	CHAVEZ CONTINUATION HIGH SCHOOL	
		FOOD 4 THOUGHT	
		LATHROP INTERMEDIATE SCHOOL	
		MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL	
		SADDLEBACK HIGH SCHOOL	
		SANTA ANA HIGH SCHOOL	
		VILLA FUNDAMENTAL INTERMEDIATE SCHOOL	
<b>84187530</b>	<b>DRIFTWOOD DAIRY</b>		<b>\$47,067.84</b>
	Child Nutrition: School Programs	CHAVEZ CONTINUATION HIGH SCHOOL	
		FOOD 4 THOUGHT	
<b>84187535</b>	<b>GOLD STAR FOODS</b>		<b>\$70,155.11</b>
	Child Nutrition: School Programs	FOOD 4 THOUGHT	

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84187537	GOLD STAR FOODS Child Nutrition: School Programs	FOOD 4 THOUGHT	\$98,858.39
84187538	GOLD STAR FOODS Child Nutrition: School Programs	FOOD 4 THOUGHT	\$63,024.45
84187542	LOEWY ENTERPRISES, INC. dba SUNRISE PRODUCE Child Nutrition: School Programs	FOOD 4 THOUGHT	\$81,312.41

## Fund 24 SAUSD GO Bond, 2008 Election, Series A Building Fund

84187549	NINYO & MOORE GEOTECHNICAL & ENVIROMENTAL Fund 24 SAUSD GO Bond, 2008 Election, Series A Building Fund	GARFIELD ELEMENTARY SCHOOL	\$80,220.25
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## Fund 25 Capital Facilities Fund

84187552	NINYO & MOORE GEOTECHNICAL & ENVIROMENTAL Fund 25 City Santa Ana Redevelopment	GARFIELD ELEMENTARY SCHOOL	\$73,047.37
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## Fund 68 Workers' Compensation

84187563	SANTA ANA UNIFIED SCHOOL DISTRICT WORKERS' COMP. Fund 68 Workers' Compensation	RISK MANAGEMENT	\$58,996.81
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**Grand Total:** **\$1,698,887.96**

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<b>Fund 01 General Fund</b>			
84187707	AMERICAN LOGISTICS COMPANY, LLC Transportation-Special Education	TRANSPORTATION DEPARTMENT	\$28,561.00
84187614	DURHAM SCHOOL SERVICES, L.P. Before and After School Learning & Safe Neighborhood Partnerships Transportation-Home to School Transportation-Special Education	HOOVER ELEMENTARY SCHOOL TRANSPORTATION DEPARTMENT TRANSPORTATION DEPARTMENT	\$1,022,415.32
84187597	SILICON VALLEY MATHEMATICS INITIATIVE, LLC. S.D. Bechtel, Jr. Foundation	STAFF DEVELOPMENT	\$39,488.26
<b>Fund 09 Charter School Fund</b>			
84187722	NOVA ACADEMY EARLY COLLEGE HIGH SCHOOL Fund 09 Charter School Fund	CASH ACCOUNT DISTRICT-WIDE	\$160,112.59
<b>Fund 13 Cafeteria Fund</b>			
84187738	LOEWY ENTERPRISES, INC. dba SUNRISE PRODUCE Child Nutrition: School Programs	FOOD 4 THOUGHT	\$42,370.46
84187735	GOLD STAR FOODS Child Nutrition: School Programs	FOOD 4 THOUGHT GODINEZ FUNDAMENTAL HIGH SCHOOL SEGERSTROM HIGH SCHOOL	\$29,911.20
84187730	DRIFTWOOD DAIRY Child Nutrition: School Programs	FOOD 4 THOUGHT	\$52,344.66
84187725	A & R WHOLESALE DISTRIBUTORS Child Nutrition: School Programs	FOOD 4 THOUGHT MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL SANTA ANA HIGH SCHOOL VALLEY HIGH SCHOOL	\$33,295.81

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<b>Fund 25 Capital Facilities Fund</b>			
84187750	MCGRATH RENTCORP dba MOBILE MODULAR		<b>\$45,054.00</b>
	Fund 25 Capital Facilities Fund	PIO PICO ELEMENTARY SCHOOL	
		SADDLEBACK HIGH SCHOOL	
		TAFT ELEMENTARY SCHOOL	
<b>Fund 35 County School Facilities Fund</b>			
84187763	STATES LINK CONSTRUCTION, INC.		<b>\$78,993.95</b>
	Fund 35 OPSC School Facilities Bond Projects-Second Issuance	REMLINGTON ELEMENTARY SCHOOL	
84187758	CONTINENTAL FLOORING, INC.		<b>\$26,665.55</b>
	Fund 35 OPSC School Facilities Bond Projects-Second Issuance	SPURGEON INTERMEDIATE SCHOOL	
<b>Fund 68 Workers' Compensation</b>			
84187771	SANTA ANA UNIFIED SCHOOL DISTRICT WORKERS'		<b>\$103,096.58</b>
	Fund 68 Workers' Compensation	RISK MANAGEMENT	
<b>Fund 69 Health &amp; Welfare</b>			
84187774	SANTA ANA UNIFIED SCHOOL DISTRICT		<b>\$500,000.00</b>
	Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
84187773	ALAMEDA COUNTY SCHOOLS INSURANCE GROUP (ACSIG)		<b>\$303,453.81</b>
	Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
<b>Grand Total:</b>			<b>\$2,465,763.19</b>



# SAUSD Board of Education Warrant Listing

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<b>Fund 01 General Fund</b>			
84187786	<b>SOUTHERN CALIFORNIA EDISON</b> Unrestricted Discretionary Accounts	DISTRICT-WIDE	\$46,396.03
84187790	<b>CAL PERS SAFETY</b> Fund 01 General Fund	DISTRICT EMPLOYEE BENEFITS	\$52,027.85
84187800	<b>FOLLETT EDUCATIONAL SERVICES</b> Child Nutrition: Healthy Active Families Lottery: Instructional Materials	SPECIAL PROJECTS/WELLNESS STATE TEXTBOOKS	\$34,554.76
84187815	<b>ORANGE COUNTY DEPARTMENT OF EDUCATION</b> Special Ed: Mental Health Services	PUPIL SUPPORT SERVICES	\$161,429.05
84187826	<b>THINK TOGETHER</b> 21st Century ASSETS (roll-up 4124) 30-R2002-653 Before and After School Learning & Safe Neighborhood Partnerships	DEPUTY SUPERINTENDENT'S OFFICE DEPUTY SUPERINTENDENT'S OFFICE	\$789,454.62
84187978	<b>ROSSIER PARK JUNIOR/SENIOR HIGH SCHOOL</b> Special Education	SPECIAL EDUCATION	\$32,315.00
84187986	<b>WARE DISPOSAL, INC.</b> Unrestricted Discretionary Accounts	DISTRICT-WIDE	\$66,197.72
84187784	<b>CITY OF SANTA ANA</b> Unrestricted Discretionary Accounts	DISTRICT-WIDE	\$40,804.47
<b>Fund 13 Cafeteria Fund</b>			
84187993	<b>DRIFTWOOD DAIRY</b> Child Nutrition: School Programs	FOOD 4 THOUGHT	\$47,470.24
84187991	<b>DJ CO OPS</b> Child Nutrition: School Programs	FOOD 4 THOUGHT	\$33,655.29

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84188059	LOEWY ENTERPRISES, INC. dba SUNRISE PRODUCE Child Nutrition: School Programs	CARR INTERMEDIATE SCHOOL CENTURY HIGH SCHOOL FOOD 4 THOUGHT LATHROP INTERMEDIATE SCHOOL MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL MCFADDEN INTERMEDIATE SCHOOL MENDEZ FUNDAMENTAL INTERMEDIATE SCHOOL SADDLEBACK HIGH SCHOOL SEGERSTROM HIGH SCHOOL SIERRA PREPARATORY ACADEMY SPURGEON INTERMEDIATE SCHOOL VALLEY HIGH SCHOOL WILLARD INTERMEDIATE SCHOOL	\$699,513.17
84187995	DRIFTWOOD DAIRY Child Nutrition: School Programs	FOOD 4 THOUGHT	\$46,667.44
84187998	GOLD STAR FOODS Child Nutrition: School Programs	FOOD 4 THOUGHT SADDLEBACK HIGH SCHOOL WILLARD INTERMEDIATE SCHOOL	\$52,892.32
84188001	GOLD STAR FOODS Child Nutrition: School Programs	FOOD 4 THOUGHT MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL SANTA ANA HIGH SCHOOL VALLEY HIGH SCHOOL	\$70,884.22

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84188002	<b>GOLD STAR FOODS</b> Child Nutrition: School Programs	FOOD 4 THOUGHT SADDLEBACK HIGH SCHOOL WILLARD INTERMEDIATE SCHOOL	<b>\$45,420.38</b>
84188006	<b>PRESSED PAPERBOARD TECHNOLOGIES, LLC</b> Child Nutrition: School Programs	FOOD 4 THOUGHT	<b>\$40,771.51</b>
84187989	<b>A &amp; R WHOLESALE DISTRIBUTORS</b> Child Nutrition: School Programs	CENTURY HIGH SCHOOL FOOD 4 THOUGHT LORIN GRISET ACADEMY SADDLEBACK HIGH SCHOOL SEGERSTROM HIGH SCHOOL	<b>\$36,615.48</b>
<b>Fund 35 County School Facilities Fund</b>			
84188025	<b>AT&amp;T CALIFORNIA</b> Fund 35 OPSC School Facilities Bond Projects	LOWELL ELEMENTARY SCHOOL MADISON ELEMENTARY SCHOOL SADDLEBACK HIGH SCHOOL SANTA ANA HIGH SCHOOL	<b>\$243,154.86</b>
	Fund 35 OPSC School Facilities Bond Projects-Second Issuance	LOWELL ELEMENTARY SCHOOL MARTIN ELEMENTARY SCHOOL MONROE ELEMENTARY SCHOOL TAFT ELEMENTARY SCHOOL	
84188027	<b>DOJA, INC.</b> Fund 35 OPSC School Facilities Bond Projects-Second Issuance	WILSON ELEMENTARY SCHOOL	<b>\$84,253.74</b>

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84188031	NEXUS IS, INC. Fund 35 OPSC School Facilities Bond Projects-Second Issuance	FREMONT ELEMENTARY SCHOOL HARVEY ELEMENTARY SCHOOL MCFADDEN INTERMEDIATE SCHOOL WILSON ELEMENTARY SCHOOL	\$313,375.62
<b>Fund 40 Special Reserve Fund</b>			
84188037	NEXUS IS, INC. Fund 40 Special Reserve Fund	GODINEZ FUNDAMENTAL HIGH SCHOOL SEGERSTROM HIGH SCHOOL	\$196,271.06
<b>Fund 68 Workers' Compensation</b>			
84188046	SANTA ANA UNIFIED SCHOOL DISTRICT WORKERS' COMP. Fund 68 Workers' Compensation	RISK MANAGEMENT	\$101,525.64
84188043	MARSH RISK & INSURANCE SERVICES Fund 68 Workers' Compensation	RISK MANAGEMENT	\$383,520.00
<b>Grand Total:</b>			<b>\$3,619,170.47</b>

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<b>Fund 01 General Fund</b>			
84188088	XEROX CORPORATION Unrestricted One-time Funds	PUBLICATIONS	\$39,865.16
84188125	PARADIGM HEALTHCARE SERVICES Medi-Cal Billing Option	PUPIL SUPPORT SERVICES	\$54,755.97
84188137	U.S. BANK - CAL CARD AASA Urgent Need Mini Grant ARRA Title 1 School Improvement Grant (SIG) PLAS  AVID-OCDE Destination Graduation-High Schools Beginning Teacher-BTSA Carl D. Perkins Section 131 Career and Technical Education Act of 1998 Child Nutrition: Healthy Active Families Donations (Miscellaneous)  Economic Impact Aid	STAFF DEVELOPMENT SANTA ANA HIGH SCHOOL SIERRA PREPARATORY ACADEMY VALLEY HIGH SCHOOL WILLARD INTERMEDIATE SCHOOL CENTURY HIGH SCHOOL STAFF DEVELOPMENT VOCATIONAL EDUCATION SPECIAL PROJECTS/WELLNESS LINCOLN ELEMENTARY SCHOOL LOWELL ELEMENTARY SCHOOL MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL MADISON ELEMENTARY SCHOOL MARTIN ELEMENTARY SCHOOL REMINGTON ELEMENTARY SCHOOL SUPERINTENDENT'S OFFICE FREMONT ELEMENTARY SCHOOL GARFIELD ELEMENTARY SCHOOL HEROES ELEMENTARY SCHOOL JACKSON ELEMENTARY SCHOOL LINCOLN ELEMENTARY SCHOOL	\$127,080.35

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		LOWELL ELEMENTARY SCHOOL	
		MCFADDEN INTERMEDIATE SCHOOL	
		PIO PICO ELEMENTARY SCHOOL	
		WASHINGTON ELEMENTARY SCHOOL	
	Economic Impact Aid-LEP	CENTURY HIGH SCHOOL	
		ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
		MCFADDEN INTERMEDIATE SCHOOL	
		WILSON ELEMENTARY SCHOOL	
	Fitness for All	SPECIAL PROJECTS/WELLNESS	
	Fundraiser (Non ASB-PTA Deposits)	DAVIS ELEMENTARY SCHOOL	
	Head Start	CHILD DEVELOPMENT	
	High School Inc.	VALLEY HIGH SCHOOL	
	IASA: Title I Basic Grants Low-Income and Neglected, Part A	CARR INTERMEDIATE SCHOOL	
		CENTURY HIGH SCHOOL	
		EARLY CHILDHOOD EDUCATION	
		ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
		HENINGER ELEMENTARY SCHOOL	
		JEFFERSON ELEMENTARY SCHOOL	
		KENNEDY ELEMENTARY SCHOOL	
		KING ELEMENTARY SCHOOL	
		LINCOLN ELEMENTARY SCHOOL	
		MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL	
		MARTIN ELEMENTARY SCHOOL	
		MCFADDEN INTERMEDIATE SCHOOL	
		MENDEZ FUNDAMENTAL INTERMEDIATE SCHOOL	
		MONTE VISTA ELEMENTARY SCHOOL	

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		SEPULVEDA ELEMENTARY SCHOOL	
		STAFF DEVELOPMENT	
	Kinder Readiness Program II	EARLY CHILDHOOD EDUCATION	
	Lottery: Instructional Materials	MIDDLE COLLEGE HIGH SCHOOL	
	Ongoing & Major Maintenance Account	BUILDING SERVICES	
	QZAB Solar Energy	CENTURY HIGH SCHOOL	
		SANTA ANA HIGH SCHOOL	
		VALLEY HIGH SCHOOL	
	Recognition Programs	DEPUTY SUPERINTENDENT'S OFFICE	
	Risk Management - Undesignated	RISK MANAGEMENT	
	S.D. Bechtel, Jr. Foundation	STAFF DEVELOPMENT	
	Special Ed: IDEA Preschool Grants,	MITCHELL CHILD DEVELOPMENT CENTER	
	Special Education	MCFADDEN INTERMEDIATE SCHOOL	
		SPECIAL EDUCATION	
		SPEECH & LANGUAGE	
	Title III Limited English Proficiency LEP Student Program	ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
	Title II-Part A Improving Teacher Quality	ENGLISH LEARNER PROGRAMS & STUDENT ACHIEVEMENT	
	Two-Way Digital ITFS Licensee Revenue	TECHNOLOGY	
	Unrestricted - CalSafe (6091/6092)	EARLY CHILDHOOD EDUCATION	
	Unrestricted - Regional Occupational Centers/Program (ROC/P 6350)	REGIONAL OCCUPATIONAL PROGRAM	
	Unrestricted Discretionary Accounts	BOARD OF EDUCATION	
		BUSINESS SERVICES DIVISION	
		CENTURY HIGH SCHOOL	
		COMMUNICATIONS	
		CONSTRUCTION	
		DAVIS ELEMENTARY SCHOOL	
		DIAMOND ELEMENTARY SCHOOL	

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ELEMENTARY DIVISION  
FACILITIES/GOVERNMENTAL RELATIONS  
GODINEZ FUNDAMENTAL HIGH SCHOOL  
HUMAN RESOURCES DIVISION  
INFORMATION TECHNOLOGY CENTER  
JACKSON ELEMENTARY SCHOOL  
LATHROP INTERMEDIATE SCHOOL  
LINCOLN ELEMENTARY SCHOOL  
LOWELL ELEMENTARY SCHOOL  
MACARTHUR FUNDAMENTAL INTERMEDIATE  
SCHOOL  
MENDEZ FUNDAMENTAL INTERMEDIATE  
SCHOOL  
MIDDLE COLLEGE HIGH SCHOOL  
PUBLICATIONS  
PUPIL SUPPORT SERVICES  
PURCHASING DEPARTMENT  
REMINGTON ELEMENTARY SCHOOL  
RESEARCH AND EVALUATION  
ROMERO-CRUZ ELEMENTARY SCHOOL  
ROOSEVELT ELEMENTARY SCHOOL  
SADDLEBACK HIGH SCHOOL  
SANTA ANA HIGH SCHOOL  
SANTIAGO ELEMENTARY SCHOOL  
SCHOOL POLICE SERVICES  
SECONDARY DIVISION  
SEGERSTROM HIGH SCHOOL  
SEPULVEDA ELEMENTARY SCHOOL



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		SIERRA PREPARATORY ACADEMY	
		SPECIAL PROJECTS/WELLNESS	
		SPURGEON INTERMEDIATE SCHOOL	
		SUPERINTENDENT'S OFFICE	
		TELEVISION CENTER	
		VALLEY HIGH SCHOOL	
		VILLA FUNDAMENTAL INTERMEDIATE SCHOOL	
		WAREHOUSE AND DELIVERY	
		WASHINGTON ELEMENTARY SCHOOL	
		WILLARD INTERMEDIATE SCHOOL	
		WILSON ELEMENTARY SCHOOL	
<b>84188155</b>	<b>CERTIFIED TRANSPORTATION SERVICE, INC.</b>		<b>\$27,132.60</b>
	Donations (Miscellaneous)	COMMUNICATIONS	
	Economic Impact Aid	SEGERSTROM HIGH SCHOOL	
	Global Business Academy [0190] VHS	VALLEY HIGH SCHOOL	
	IASA: Title I Basic Grants Low-Income and Neglected, Part A	MONTE VISTA ELEMENTARY SCHOOL	
	Unrestricted Discretionary Accounts	CENTURY HIGH SCHOOL	
		GODINEZ FUNDAMENTAL HIGH SCHOOL	
		SADDLEBACK HIGH SCHOOL	
		SANTA ANA HIGH SCHOOL	
		VALLEY HIGH SCHOOL	
		VISUAL & PERFORMING ARTS	
<b>84188192</b>	<b>UNISOURCE WORLDWIDE, INC.</b>		<b>\$25,346.16</b>
	Fund 01 General Fund	ACCOUNTING DEPARTMENT	
		WAREHOUSE AND DELIVERY	

# SAUSD Board of Education Warrant Listing

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84188194	WARE DISPOSAL, INC. Unrestricted Discretionary Accounts	DISTRICT-WIDE	\$65,783.16
84188195	WAXIE SANITARY SUPPLY Fund 01 General Fund Unrestricted Discretionary Accounts	WAREHOUSE AND DELIVERY BUILDING SERVICES SANTA ANA HIGH SCHOOL VALLEY HIGH SCHOOL	\$85,268.89
84188095	ALLSTAR PAVING CO., INC. Ongoing & Major Maintenance Account	BUILDING SERVICES	\$29,834.00
84188080	SCHOLASTIC, INC. ARRA Title 1 School Improvement Grant (SIG) PLAS	VALLEY HIGH SCHOOL	\$34,283.00
84188093	SOUTHERN CALIFORNIA EDISON Unrestricted Discretionary Accounts	DISTRICT-WIDE	\$30,768.17
84188103	CASE & SONS CONSTRUCTION, INC. Ongoing & Major Maintenance Account	BUILDING SERVICES	\$25,596.00
 <b>Fund 13 Cafeteria Fund</b>			
84188224	GOLD STAR FOODS Child Nutrition: School Programs	CARR INTERMEDIATE SCHOOL FOOD 4 THOUGHT SANTA ANA HIGH SCHOOL	\$66,187.08
84188226	GOLD STAR FOODS Child Nutrition: School Programs	CARR INTERMEDIATE SCHOOL FOOD 4 THOUGHT SADDLEBACK HIGH SCHOOL VALLEY HIGH SCHOOL	\$50,919.58

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84188232	SAN DIEGO RESTAURANT SUPPLY Child Nutrition: School Programs	CARR INTERMEDIATE SCHOOL  CENTURY HIGH SCHOOL  GODINEZ FUNDAMENTAL HIGH SCHOOL  LATHROP INTERMEDIATE SCHOOL  MACARTHUR FUNDAMENTAL INTERMEDIATE SCHOOL MCFADDEN INTERMEDIATE SCHOOL  MENDEZ FUNDAMENTAL INTERMEDIATE SCHOOL SADDLEBACK HIGH SCHOOL  SANTA ANA HIGH SCHOOL  SEGERSTROM HIGH SCHOOL  SIERRA PREPARATORY ACADEMY  SPURGEON INTERMEDIATE SCHOOL  VALLEY HIGH SCHOOL  VILLA FUNDAMENTAL INTERMEDIATE SCHOOL  WILLARD INTERMEDIATE SCHOOL	\$83,349.00
84188235	THE FRUITGUYS, LLC Child Nutrition: Fresh Fruit and Vegetable Program	ADAMS ELEMENTARY SCHOOL  CARVER ELEMENTARY SCHOOL  DAVIS ELEMENTARY SCHOOL  DIAMOND ELEMENTARY SCHOOL  EDISON ELEMENTARY SCHOOL  ESQUEDA ELEMENTARY SCHOOL  FRANKLIN ELEMENTARY SCHOOL  FREMONT ELEMENTARY SCHOOL  GARFIELD ELEMENTARY SCHOOL	\$139,074.75

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		HARVEY ELEMENTARY SCHOOL	
		HENINGER ELEMENTARY SCHOOL	
		HEROES ELEMENTARY SCHOOL	
		HOOVER ELEMENTARY SCHOOL	
		JACKSON ELEMENTARY SCHOOL	
		KENNEDY ELEMENTARY SCHOOL	
		KING ELEMENTARY SCHOOL	
		LINCOLN ELEMENTARY SCHOOL	
		LOWELL ELEMENTARY SCHOOL	
		MADISON ELEMENTARY SCHOOL	
		MARTIN ELEMENTARY SCHOOL	
		MONROE ELEMENTARY SCHOOL	
		MONTE VISTA ELEMENTARY SCHOOL	
		PIO PICO ELEMENTARY SCHOOL	
		REMINGTON ELEMENTARY SCHOOL	
		ROMERO-CRUZ ELEMENTARY SCHOOL	
		ROOSEVELT ELEMENTARY SCHOOL	
		SEPULVEDA ELEMENTARY SCHOOL	
		WALKER ELEMENTARY SCHOOL	
		WASHINGTON ELEMENTARY SCHOOL	
		WILSON ELEMENTARY SCHOOL	

## Fund 14 Deferred Maintenance Fund

84188240	GEARY PACIFIC SUPPLY		\$80,880.96
	Fund 14 Deferred Maintenance Fund	BUILDING SERVICES	

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<b>Fund 25 Capital Facilities Fund</b>			
84188251	BALFOUR BEATTY CONSTRUCTION Fund 25 City Santa Ana Redevelopment	GARFIELD ELEMENTARY SCHOOL	\$45,606.65
<b>Fund 29 Measure G</b>			
84188265	HMC ARCHITECTS Fund 29 Measure G Series E	LATHROP INTERMEDIATE SCHOOL	\$26,168.32
<b>Fund 35 County School Facilities Fund</b>			
84188270	BALFOUR BEATTY CONSTRUCTION Fund 35 OPSC School Facilities Bond Projects	GARFIELD ELEMENTARY SCHOOL	\$41,127.40
84188279	INLAND BUILDING CONTRUCTION Fund 35 OPSC School Facilities Bond Projects-Second Issuance	EDISON ELEMENTARY SCHOOL	\$42,750.00
84188282	JRH CONSTRUCTION COMPANY, INC. Fund 35 OPSC School Facilities Bond Projects	WILLARD INTERMEDIATE SCHOOL	\$79,600.69
84188283	M.S. CONSTRUCTION MANAGEMENT GROUP Fund 35 OPSC School Facilities Bond Projects-Second Issuance	GREENVILLE FUNDAMENTAL ELEMENTARY SCHOOL	\$68,042.80
84188286	NEXUS IS, INC. Fund 35 OPSC School Facilities Bond Projects Fund 35 OPSC School Facilities Bond Projects-Second Issuance	GARFIELD ELEMENTARY SCHOOL FREMONT ELEMENTARY SCHOOL HARVEY ELEMENTARY SCHOOL REMINGTON ELEMENTARY SCHOOL	\$257,250.00
84188293	ZOLMAN CONSTRUCTION AND DEVELOPMENT, INC. Fund 35 OPSC School Facilities Bond Projects-Second Issuance	SPURGEON INTERMEDIATE SCHOOL	\$35,363.75
<b>Fund 68 Workers' Compensation</b>			
84188302	SANTA ANA UNIFIED SCHOOL DISTRICT WORKERS' COMP. Fund 68 Workers' Compensation	RISK MANAGEMENT	\$42,746.03

# SAUSD Board of Education Warrant Listing

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<b>Fund 69 Health &amp; Welfare</b>			
84188306	<b>KAISER FOUNDATION HEALTH PLAN</b>		<b>\$1,266,011.08</b>
	Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
84188303	<b>ALLIANT INSURANCE SERVICES, INC.</b>		<b>\$49,500.00</b>
	Fund 69 Health & Welfare	DISTRICT-WIDE	
84188305	<b>DELTACARE USA</b>		<b>\$46,473.38</b>
	Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
84188309	<b>VISION SERVICE PLAN</b>		<b>\$38,947.82</b>
	Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
84188304	<b>BLUE SHIELD OF CALIFORNIA</b>		<b>\$3,214,856.37</b>
	Health & Welfare - Active Employees	DISTRICT EMPLOYEE BENEFITS	
	Health & Welfare - Retired Employees	DISTRICT EMPLOYEE BENEFITS	
<b>Grand Total:</b>			<b>\$6,220,569.12</b>

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                   **Approval/Ratification of Listing of Agreements/Contracts with Santa Ana Unified School District and Various Consultants Submitted for Period of October 23, 2013 through November 27, 2013**

**ITEM:**                   **Consent**

**SUBMITTED BY:**   **Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO**

**PREPARED BY:**   **Jonathan Geiszler, Director, Purchasing and Stores**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval/ratification of the listing of agreements/contracts with Santa Ana Unified School District and various consultants submitted for the period of October 23, 2013 through November 27, 2013.

**RATIONALE:**

Consultants have been requested by school sites and District staff to enhance and support educational programs and provide professional development to improve student achievement.

The attached list identifies various consultants that will provide services throughout the District whose compensation is under \$250,000.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve/ratify the listing of agreements/contracts with the Santa Ana Unified School District and various consultants submitted for the period of October 23, 2013 through November 27, 2013.

**2013-14 LISTING OF CONSULTANTS/CONTRACTED SERVICES**  
**Submitting Division: Educational Services**  
**December 10, 2013**

NO.	NAME	IMPLICATIONS FOR THE DISTRICT/TYPE OF SERVICE	DATE	ANNUAL RENEWAL	FUNDING SOURCE	MAXIMUM NOT TO EXCEED	REQ. NO.
1.	Playworks	Diamond Elementary School: Increase to P.O. #293562. Will provide counseling to improve the health and well-being of children by increasing opportunities for physical activity and safe, meaningful play. Playworks contracts with schools to provide a high quality, multifaceted program to accomplish this mission during the school day and after school.	December 11, 2013 through June 30, 2014		EIA-SCE	\$3,000.00	152457
2.	Alley Cats Music, Inc. Ratification	Valley High School: Performed "The Alley Cats" assemblies, rewarding students for outstanding behavior.	October 24, 2013		General Fund	\$1,200.00	151697
3.	OC Human Relations Ratification	Valley High School: Provided implementation on a restorative justice practices training to staff, students, and parents, development of an on campus RJ Task Force, and plans for a school-wide implementation.	August 1, 2013 through September 30, 2013		SIG	\$12,000.00	150492
4.	Telena Mara Ratification	Visual Performing Arts: Will adjudicate the District art song festival on November 6, 2013 at Century High School.	November 6, 2013		General Fund	\$162.00	151990
5.	Ricardo Soto Ratification	Visual Performing Arts: Will adjudicate the District art song festival on November 6, 2013 at Century High School.	November 6, 2013		General Fund	\$162.00	151994



2013-14 LISTING OF CONSULTANTS/CONTRACTED SERVICES

Submitting Division: Educational Services

December 10, 2013

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NO.	NAME	IMPLICATIONS FOR THE DISTRICT/TYPE OF SERVICE	DATE	ANNUAL RENEWAL	FUNDING SOURCE	MAXIMUM NOT TO EXCEED	REQ. NO.
6.	Kid Healthy (OneOC) Ratification	Special Projects: Will continue to provide services of the Padres En Accion Structured Recess Program at Adams, Garfield, Heroes, Sepulveda, and Walker elementary schools.	November 1, 2013 through June 30, 2014		PEP Grant Fund	\$22,500.00	152838
7.	Pivot Learning Partners	Sierra Preparatory Academy: Will assist teachers and school leaders in developing instructional practices that address the needs of all learners and focuses on instructional decisions for underperforming students.	December 11, 2013 through June 30, 2014		CORE Waiver	\$29,669.00	152652
8.	The Regents of the University of California "Irvine Math Project"	Sierra Preparatory Academy: Will provide professional development for math teachers by developing mathematics strategies incorporating Common Core Standards practices for teachers to use in the classroom.	December 11, 2013 through June 30, 2014		CORE Waiver	\$28,875.00	152715
9.	The Regents of the University of California "Irvine California Reading and Literature Project"	Sierra Preparatory Academy: Will provide professional development to all new teachers. Will provide knowledge, tools and skills to support the academic language and literacy development of their students, including English language learners.	December 11, 2013 through June 30, 2014		CORE Waiver	\$66,000.00	152703
10.	Scholastic, Inc.	Century High School: Will provide training to teachers and administrators in the implementation of the System 44 and READ 180 intensive intervention programs.	December 11, 2013 through June 30, 2014		EIA-LEP	\$19,900.00	152289

2013-14 LISTING OF CONSULTANTS/CONTRACTED SERVICES

Submitting Division: Educational Services

December 10, 2013

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NO.	NAME	IMPLICATIONS FOR THE DISTRICT/TYPE OF SERVICE	DATE	ANNUAL RENEWAL	FUNDING SOURCE	MAXIMUM NOT TO EXCEED	REQ. NO.
11.	National Academy Foundation	Century High School: Will provide professional development services to teachers on up-to-date instruction, and content in their academy theme, in order to implement the essential components.	December 11, 2013 through June 30, 2014		Title I	\$1,000.00	152505
12.	International Center for Leadership in Education (ICLE)	Century High School: Will provide conduct institutional and department evaluation studies to assess performance and develop a school improvement plan for Century High School.	December 11, 2013 through June 30, 2014		CORE Funding	\$110,800.00	152359
13.	Santa Ana College	Century High School: Will provide after school instruction in college courses. The fall semester course will be International Business. The spring semester course will be Global Marketing.	December 11, 2013 through June 30, 2014		e-Business	\$4,000.00	152857
14.	NCS Pearson, Inc.	Saddleback High School: Will provide professional development in SIOP (Sheltered Instructional Observation Protocol) that is intended to change practice over time. Through multi-session courses, teachers gain a deeper understanding of content as well as research-based pedagogical approaches towards teaching English Language Learners.	February 1, 2014 through April 30, 2014		Title 1	\$40,000.00	152789

## 2013-14 LISTING OF CONSULTANTS/CONTRACTED SERVICES

### Submitting Division: Business Services

**December 10, 2013**

NO.	NAME	IMPLICATIONS FOR THE DISTRICT/TYPE OF SERVICE	DATE	ANNUAL RENEWAL	FUNDING SOURCE	MAXIMUM NOT TO EXCEED	REQ. NO.
15.	RCS Investigations and Consulting, LLC	Increase to P.O. #292092. Will conduct pre-employment background investigations for School Police Department staff.	December 11, 2013 through June 30, 2014		General Fund	\$6,000.00	152428
16.	Laurel Adler	Increase to P.O. #291332-1. Will provide services to review and analyze charter petitions against the specific related sections of the California Charter Law Requirements. Consultant will continue on an as needed basis.	December 11, 2013 through June 30, 2014		General Fund	\$20,000.00	152565
17.	Alliant Insurance Services, Inc.	Will provide services related to the District Health Benefits, and guidance on Health Care Reform as it relates to medical and dental plan.	December 11, 2013 through June 30, 2014	X	Fund 69	\$193,000.00	152662
18.	Premium Food Safety Ratification	Will provide services for ServServe Food Manager Certification classes and exams for staff development purposes to Food Services Employees in area of Food Safety. Upon successful completion of the course staff will possess a five year nationally recognized Food Manager certificate.	November 1, 2013 through June 30, 2014		Fund 13	\$7,000.00	152983

## 2013-14 LISTING OF CONSULTANTS/CONTRACTED SERVICES

Submitting Division: Human Resources

December 10, 2013

NO.	NAME	IMPLICATIONS FOR THE DISTRICT/TYPE OF SERVICE	DATE	ANNUAL RENEWAL	FUNDING SOURCE	MAXIMUM NOT TO EXCEED	REQ. NO.
19.	Atkinson, Andelson, Loya, Ruud & Romo	Increase to P.O. #291640. Will continue to provide legal expertise related to: Collective Bargaining, Employee Discipline and Dismissal as well as technical support will be provided, on an "as needed" basis.	December 11, 2013 through June 30, 2014		General Fund	\$250,000.00	152291

## 2013-14 LISTING OF CONSULTANTS/CONTRACTED SERVICES

### Submitting Division: Support Services

December 10, 2013

NO.	NAME	IMPLICATIONS FOR THE DISTRICT/TYPE OF SERVICE	DATE	ANNUAL RENEWAL	FUNDING SOURCE	MAXIMUM NOT TO EXCEED	REQ. NO.
20.	Walter S. Ernsdorf	Increase to P.O. #290305. To provide program support to Emotionally Disturbed programs at the secondary level.	December 11, 2013 through June 30, 2014		Mental Health Special Ed.	\$5,000.00	152759
21.	TCG Leadership Development Corporation dba The Cosca Group	Increase to P.O. #290299. To provide nonpublic school/residential treatment center case management and administrative support to the Emotionally Disturbed program at Adams Elementary School.	December 11, 2013 through June 30, 2014		Mental Health Special Ed.	\$10,000.00	152757
22.	Cindy Cottier	Will provide an Augmentative Alternative Communication Evaluation/Independent Educational Evaluation for a special education student.	December 11, 2013 through June 30, 2014		Special Ed.	\$5,000.00	152751

**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-12683 RV

**ITEM:** Consent

**SUBMITTED BY:** Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO

**PREPARED BY:** Camille Boden, Executive Director, Risk Management

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval to reject the Government Code §910 and §910.2 claim against the District, File No. 13-12683 RV.

**DESCRIPTION OF DAMAGE/INJURY:**

Claimant requests reimbursement for personal injuries.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve recommendation to reject Government Code §910 and §910.2 claim File No. 13-12683 RV against the District.

**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-13087 RV

**ITEM:** Consent

**SUBMITTED BY:** Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO

**PREPARED BY:** Camille Boden, Executive Director, Risk Management

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval to reject the Government Code §910 and §910.2 claim against the District, File No. 13-13087 RV.

**DESCRIPTION OF DAMAGE/INJURY:**

Claimant requests reimbursement for personal injuries.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve recommendation to reject Government Code §910 and §910.2 claim File No. 13-13087 RV against the District.

**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-13088 RV

**ITEM:** Consent

**SUBMITTED BY:** Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO

**PREPARED BY:** Camille Boden, Executive Director, Risk Management

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval to reject the Government Code §910 and §910.2 claim against the District, File No. 13-13088 RV.

**DESCRIPTION OF DAMAGE/INJURY:**

Claimant requests reimbursement for personal injuries.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve recommendation to reject Government Code §910 and §910.2 claim File No. 13-13088 RV against the District.



**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-13290 RV

**ITEM:** Consent

**SUBMITTED BY:** Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO

**PREPARED BY:** Camille Boden, Executive Director, Risk Management

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval to reject the Government Code §910 and §910.2 claim against the District, File No. 13-13290 RV.

**DESCRIPTION OF DAMAGE/INJURY:**

Claimant requests reimbursement for personal injury.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve recommendation to reject Government Code §910 and §910.2 claim File No. 13-13290 RV against the District.

**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Rejection of Government Code §910 and §910.2 Claim Against Santa Ana Unified School District – File No. 13-12196 RV

**ITEM:** Consent

**SUBMITTED BY:** Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO

**PREPARED BY:** Camille Boden, Executive Director, Risk Management

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval to reject the Government Code §910 and §910.2 claim against the District, File No. 13-12196 RV.

**DESCRIPTION OF DAMAGE/INJURY:**

Claimant requests reimbursement for personal injury.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve recommendation to reject Government Code §910 and §910.2 claim File No. 13-12196 RV against the District.

**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Acceptance of Completion of Contracts for Various Projects District-wide

**ITEM:** Consent

**SUBMITTED BY:** Joe Dixon, Assistant Superintendent, Facilities and Governmental Relations

**PREPARED BY:** Todd Butcher, Director, Construction

**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board acceptance of completion of contracts for various projects District-wide.

**RATIONALE:**

The District staff confirmed that the work has been completed in accordance with the terms of the contract. Public Contract Code Sections 9201-9203 require the local agency to withhold retention from the contract price until final completion and acceptance of the projects.

Project	Bid Package	Amount	Retention @ 5%	Change Order	Contractor
Edison ES Modernization	No. 13 General Construction	\$870,869.00	\$43,543.45	1	Inland Building Construction Companies, Inc.
Wilson ES Modernization	No.10 Triumph Painting	\$53,800.00	\$2,690.00	1	Triumph Painting
Spurgeon IS Modernization	No. 3 Framing, Metal Fabrication, Lath and Plaster, Rough Carpentry, Insulation, Doors, Frames, Hardware, Glazing, Gypsum Board Assemblies, and Structural Steel	\$1,258,343.00	\$62,917.15	1	Fast-Track Construction Corporation
Spurgeon IS Modernization	No. 8 Casework	\$99,700.00	\$4,985.00	0	Stolo Cabinets, Inc.
Spurgeon IS Modernization	No. 11 Plumbing	\$178,158.00	\$8,907.90	1	Pro-Craft Construction, Inc.
Spurgeon IS Modernization	No. 13 Electrical	\$1,489,000.00	\$74,450.00	0	Zolman

					Construction and Development
Spurgeon IS Modernization	No. 14 Fire Sprinklers	\$231,607.00	\$11,580.35	1	Daart Engineering Company, Inc.
Willard IS Modernization	No. 18 Sports Field Lighting	\$190,000.00	\$9,500.00	0	Ace Electric, Inc.
	<b>TOTAL:</b>	<b><u>\$4,371,477.00</u></b>	<b><u>\$218,573.85</u></b>		

**FUNDING:**

State School Facility Program/Measure G: \$218,573.85

**RECOMMENDATION:**

Accept the December 10, 2013, completion of contracts for various projects District-wide.

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Adoption of Resolution No. 13/14-2991 – Support of Applications for Funding Under Charter School Facility Program and State School Facility Program

**ITEM:** Consent

**SUBMITTED BY:** Joe Dixon, Assistant Superintendent, Facilities and Governmental Relations

**PREPARED BY:** Jessica Mears, Facilities Planner

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board adoption of Resolution No. 13/14-2991 in support of applications for funding under the Charter School Facility Program and State School Facility Program.

**RATIONALE:**

The District intends to submit eligibility and funding applications for programs including, but not limited to, the Charter School Facility Program, Modernization, New Construction, Career Technical Education, Joint Use, High Performance Incentive, and/or Overcrowding Relief Grant.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Adopt Resolution No. 13/14-2991 in support of applications for funding under the Charter School Facility Program and State School Facility Program.

1 RESOLUTION NO. 13/14-2991

2 BOARD OF EDUCATION

3 SANTA ANA UNIFIED SCHOOL DISTRICT

4 ORANGE COUNTY, CALIFORNIA

5  
6 SUPPORT OF APPLICATIONS FOR FUNDING UNDER THE  
7 CHARTER SCHOOL FACILITY PROGRAM AND STATE SCHOOL FACILITY PROGRAM  
8

9 WHEREAS, the Santa Ana Unified School District intends to file applications for  
10 funding under the Charter School Facility Program as provided in Chapter 12.5,  
11 Part 10, Division 1, commencing with Section 17078.50, et seq., of the Education  
12 Code; and  
13

14 WHEREAS, the Santa Ana Unified School District has filed and intends to continue  
15 to file applications under other State facility programs such as the School  
16 Facility Programs provided in Chapter 12.5, Part 10, Division 1, commencing with  
17 Section 17070.10, et seq., of the Education Code; and  
18

19 WHEREAS, a condition of processing the various applications under the above  
20 mentioned programs, including applications with the California Department of  
21 Education, is a resolution in support of those applications from the Santa Ana  
22 Unified School District Board of Education and signatures of the Santa Ana Unified  
23 School District Administration; and  
24

25 WHEREAS, the Santa Ana Unified School District intends to request additional  
26 grants for high performance incentive funding under the Charter School Facility  
27 Program and School Facility Program, when applicable; and  
28

29 WHEREAS, a condition of requesting high performance incentive grant funding is a  
30 resolution in support of the high performance incentive grant funding request and  
31 intent to incorporate high performance features in future facilities projects from  
32 the Santa Ana Unified School District Board of Education; and  
33

34 WHEREAS, the Santa Ana Unified School District wishes to submit applications for  
35 eligibility determination and funding for programs including, but not limited to,  
36 the Charter School Facility Program, Modernization, New Construction, Career  
37 Technical Education, Joint Use, and/or Overcrowding Relief Grant;  
38

39  
40 NOW, THEREFORE, THE BOARD OF EDUCATION OF THE SANTA ANA UNIFIED SCHOOL  
41 DISTRICT DOES HEREBY RESOLVE, DETERMINE AND ORDER AS FOLLOWS:  
42

43 Section 1. The Santa Ana Unified School District Board of Education is in  
44 support of necessary applications under the Charter School Facility Program and  
45 School Facility Program, including applications to the California Department of  
46 Education.  
47

48 Section 2. The Santa Ana Unified School District Board of Education is in  
49 support of requesting additional grants for high performance incentive funding and  
50 incorporate high performance features in future facilities projects, when  
51 applicable.  
52

53 Section 3. The Santa Ana Unified School District Board of Education  
54 designates the Assistant Superintendent of Facilities and Governmental Relations  
55 as a District Representative and authorizes him/her to file, on behalf of the

56 District, such applications for determination of construction eligibility and  
57 funding.  
58

59  
60 The foregoing resolution was considered, passed, and adopted by this Board at its  
61 regular meeting of December 10, 2013.  
62

63  
64  
65 \_\_\_\_\_  
66 President of the Governing Board for the Santa  
67 Ana Unified School District  
68

69 Upon motion of Member \_\_\_\_\_ and duly seconded, the foregoing  
70 Resolution was adopted by the following vote:

71 AYES:  
72 NOES:  
73 ABSENT  
74

75 STATE OF CALIFORNIA )  
76 ) ss:  
77 COUNTY OF \_\_\_\_\_ )  
78  
79  
80

81 I, Rob Richardson, Clerk of the Board of Education of the Santa Ana Unified School  
82 District of Orange County, California, hereby certify that the above and foregoing  
83 Resolution was duly adopted by the said Board at a regular meeting thereof held on  
84 the 10<sup>th</sup> day of December, 2013 and passed by a vote of \_\_\_\_\_ of said Board.  
85

86  
87 \_\_\_\_\_  
88 Clerk of the Board of Education of the Santa  
89 Ana Unified School District, State of  
California

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** 2013-14 Orange County Department of Education First Quarter Site Review Report

**ITEM:** Consent

**SUBMITTED BY:** Joe Dixon, Assistant Superintendent, Facilities and Governmental Relations

**PREPARED BY:** Joe Dixon, Assistant Superintendent, Facilities and Governmental Relations

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to present to the Board the results from the Orange County Department of Education's (OCDE) First Quarter Site Review Report, pursuant to the Williams Settlement Legislation as required by Education Code Section 1240(2)(H).

To ensure compliance, the OCDE is charged with the responsibility to conduct site reviews at deciles 1-3 schools. The reviews were conducted during July, August, and September 2013.

**Textbooks and Instructional Materials:** OCDE reviewed 22 schools the first quarter. The District is in compliance with the terms of the Williams Settlement Legislation concerning the sufficiency of textbooks and instructional materials and the Uniform Complaint Procedure (UCP) posting in the classrooms.

**Uniform Complaints:** For the period of April, May, and June 2013, the District reported that no complaints were filed.

**Facilities:** OCDE conducted three facility reviews on August 27, 2013 at Sepulveda Elementary, McFadden Intermediate, and Saddleback High schools. Deficiencies were noted; however, they were immediately corrected.

**RATIONALE:**

The District is required to report to the Board quarterly and annual reports provided by the OCDE on the overall findings for deciles 1-3 schools, pursuant to Williams Settlement Legislation.

**FUNDING:**

Not Applicable



**RECOMMENDATION:**

Presented for informational purposes.

## **EXECUTIVE SUMMARY**

### **2013-14 Orange County Department of Education First Quarter Site Review Report**

**The Williams Settlement Legislation First Quarter Site Review Report represents activity conducted by the Orange County Department of Education (OCDE) during the first quarter as follows:**

**Textbooks and Instructional Materials:** OCDE reviewed 22 schools the first quarter. The District is in compliance with the terms of the Williams Settlement Legislation concerning the sufficiency of textbooks and instructional materials and the Uniform Complaint Procedure posting in the classrooms.

**Uniform Complaints:** For the period of April, May, and June 2013 the District reported that no complaints were filed.

**Facilities:** OCDE conducted three facility reviews on August 27, 2013, at Sepulveda Elementary, McFadden Intermediate, and Saddleback High schools. Deficiencies were noted; however, they were immediately corrected.



**Williams Settlement Legislation**  
**Santa Ana Unified School District**  
**First Quarter Site Review Report**  
**Fiscal Year 2013-2014**

Prepared by the Orange County Department of Education

This report summarizes the results of Williams site reviews at decile 1 - 3 schools conducted from September 9-16, 2013.

**INSTRUCTIONAL MATERIALS**

For the 2013-2014 fiscal year, Santa Ana Unified School District is considered to be in compliance with the terms of the Williams Settlement Legislation concerning the sufficiency of textbooks and instructional materials.

School	Review Date	Subject	Textbook/Instructional Materials Insufficiencies Found	Grade	Room	Materials Needed	Correction Date
Carver Elementary	September 16, 2013		None				
Century High	September 12, 2013		None				
Davis Elementary	September 16, 2013		None				
Garfield Elementary	September 13, 2013		None				
Heroes Elementary	September 16, 2013		None				
Hoover Elementary	September 16, 2013		None				
Kennedy Elementary	September 12, 2013		None				
Lathrop Intermediate	September 16, 2013		None				
Lincoln Elementary	September 13, 2013		None				
Lowell Elementary	September 9, 2013		None				
McFadden Intermediate	September 13, 2013		None				
Pio Pico Elementary	September 9, 2013		None				
Roosevelt Elementary	September 13, 2013		None				
Saddleback High	September 9, 2013		None				
Santa Ana High	September 13, 2013		None				
Sepulveda Elementary	September 9, 2013		None				
Sierra Preparatory	September 12, 2013		None				
Spurgeon Intermediate	September 13, 2013		None				
Valley High	September 13, 2013		None				
Walker Elementary	September 13, 2013		None				



**Williams Settlement Legislation  
Santa Ana Unified School District  
First Quarter Site Review Report  
Fiscal Year 2013-2014**

Prepared by the Orange County Department of Education

**INSTRUCTIONAL MATERIALS Continued**

School	Review Date	Subject	Textbook/Instructional Materials Insufficiencies Found	Grade	Room	Materials Needed	Correction Date
Willard Intermediate	September 16, 2013		None				
Wilson Elementary	September 16, 2013		None				

"Sufficient textbooks and instructional materials" means every pupil, including English Language Learners, has a textbook in the four core subject areas of math, English language arts, science and history to use in class and to take home (middle and high schools include foreign language, health, and science laboratory equipment).

**FACILITIES**

All schools were reviewed with respect to the safety, cleanliness, and adequacy of school facilities. Any deficiencies were reported to school administrators for remediation.

School Site	Review Date	Room/Area	Facility Conditions Identified
McFadden Intermediate	8/27/2013	Theatron	Painted fiberglass panel above exterior door - cracked
Saddleback High	8/27/2013	Library	Fire extinguisher not mounted in back room
Saddleback High	8/27/2013	Kitchen	Electrical panel blocked
Saddleback High	8/27/2013	Room 145, 148, and 147	Exterior wall near doorway has the outlet cover missing - exposed wires
Saddleback High	8/27/2013	Room 131	Fire extinguisher missing service tag
Saddleback High	8/27/2013	Room 611	Fire extinguisher not mounted
Saddleback High	8/27/2013	Near 60's building	Asphalt - large cracks
Sepulveda Elementary	8/27/2013		None

Respectfully submitted,

*Ellin Chariton*

Ellin Chariton

Executive Director, School and Community Services

*10/29/13*  
Date

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Authorization to Award Contract for Bid Package No. 1 New Building and Sitework at Franklin Elementary School Under Overcrowding Relief Grant Program

**ITEM:** Consent

**SUBMITTED BY:** Joe Dixon, Assistant Superintendent, Facilities and Governmental Relations

**PREPARED BY:** Todd Butcher, Director, Construction

**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board authorization to award a contract for Bid Package No. 1 New Building and Sitework at Franklin Elementary School under the Overcrowding Relief Grant Program.

**RATIONALE:**

At its October 22, 2013 meeting, the Board authorized staff to obtain bids for Bid Package No. 1 New Building and Sitework at Franklin Elementary School. Legal advertisement of notice calling for bids was placed in the *Orange County Register* on October 17 and 24, 2013. Staff contacted 50 contractors, of which 31 picked up plans. On December 2, 2013, bid day, the District received eleven bids. Robert Clapper Construction Services, Inc., represents the lowest responsive bidder. This bid amount is within budget. The following is a list of all bids received:

<b>Contractors:</b>	<b>Bid Amounts:</b>
<i>Horizons Construction Company Co. International, Inc. (Withdrew Bid)</i>	\$5,247,000
<b>Robert Clapper Construction Services, Inc.</b>	<b>\$6,112,000</b>
G2K Construction, Inc.	\$6,145,000
Avi-Con, Inc. dba CA Construction	\$6,173,000
Plyco Corporation	\$6,400,000
Dalke & Sons Construction, Inc.	\$6,454,000
P.H. Hagopian Contractor, Inc.	\$6,576,000
USS Cal Builders, Inc.	\$6,820,000
T.B. Penick & Sons, Inc.	\$7,005,000
Inland Building Construction Companies, Inc.	\$7,040,000
Sanders Construction Services, Inc.	\$7,950,000

**FUNDING:**

State School Facility Program/Measure G: \$6,112,000

**RECOMMENDATION:**

Authorize staff to award a contract to Robert Clapper Construction Services, Inc., for Bid Package No. 1 New Building and Sitework at Franklin Elementary School in the amount of \$6,112,000 under the Overcrowding Relief Grant Program.



JD:rb

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Authorization to Award Contract for Bid Package No. 1 New Building and Sitework at King Elementary School Under Overcrowding Relief Grant Program

**ITEM:** Consent

**SUBMITTED BY:** Joe Dixon, Assistant Superintendent, Facilities and Governmental Relations

**PREPARED BY:** Todd Butcher, Director, Construction

**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board authorization to award a contract for Bid Package No. 1 New Building and Sitework at King Elementary School under the Overcrowding Relief Grant Program.

**RATIONALE:**

At its October 22, 2013 meeting, the Board authorized staff to obtain bids for Bid Package No. 1 New Building and Sitework at King Elementary School. Legal advertisement of notice calling for bids was placed in the *Orange County Register* on October 17 and 24, 2013. Staff contacted 50 contractors, of which 33 picked up plans. On December 2, 2013, bid day, the District received 16 bids. Robert Clapper Construction Services, Inc., represents the lowest responsive bidder. This bid amount is within budget. The following is a list of all bids received:

<b>Contractors:</b>	<b>Bid Amounts:</b>
<b>Robert Clapper Construction Services, Inc.</b>	<b>\$4,624,000</b>
Avi-Con, Inc. dba CA Construction	\$4,727,000
P.H. Hagopian Contractor, Inc.	\$4,923,000
Horizons Construction Company Co. International, Inc.	\$4,964,000
G2K Construction, Inc.	\$4,998,000
MS Construction	\$4,998,000
Dalke & Sons Construction, Inc.	\$5,087,000
Construct 1 One Corp	\$5,130,044
Sanders Construction Services	\$5,219,000
Inland Building Construction Companies, Inc.	\$5,300,000
Sea West Enterprises, Inc.	\$5,344,000
Harik Construction	\$5,596,000
Plyco Corporation	\$5,700,000
T.B. Penick & Sons, Inc.	\$5,800,000
USS Cal Builders, Inc.	\$5,827,000
Ian Thomas Group	\$6,888,000

**FUNDING:**

State School Facility Program/Measure G: \$4,624,000

**RECOMMENDATION:**

Authorize staff to award a contract to Robert Clapper Construction Services, Inc., for Bid Package No. 1 New Building and Sitework at King Elementary School in the amount of \$4,624,000 under the Overcrowding Relief Grant Program.



JD:rb



**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Authorization to Award Contract for Bid Package No. 1 New Building and Sitework at Wilson Elementary School Under Overcrowding Relief Grant Program

**ITEM:** Consent

**SUBMITTED BY:** Joe Dixon, Assistant Superintendent, Facilities and Governmental Relations

**PREPARED BY:** Todd Butcher, Director, Construction

**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board authorization to award a contract for Bid Package No. 1 New Building and Sitework at Wilson Elementary School under the Overcrowding Relief Grant Program.

**RATIONALE:**

At its October 22, 2013 meeting, the Board authorized staff to obtain bids for Bid Package No. 1 New Building and Sitework at Wilson Elementary School. Legal advertisement of notice calling for bids was placed in the *Orange County Register* on October 17 and 24, 2013. Staff contacted 150 contractors, of which 34 picked up plans. On December 2, 2013, bid day, the District received 14 bids. Horizons Construction Co. International, Inc., represents the lowest responsive bidder. This bid amount is within budget. The following is a list of all bids received:

<b>Contractors:</b>	<b>Bid Amounts:</b>
<b>Horizons Construction Co. International, Inc.</b>	<b>\$3,687,000</b>
Avi-Con, Inc., dba CA Construction	\$3,937,000
AP Construction Group Inc.	\$3,949,000
Robert Clapper Construction Services, Inc.	\$3,959,000
G2K Construction, Inc.	\$3,975,000
M.S. Construction Management Group	\$3,998,000
Sanders Construction Services, Inc.	\$4,049,000
Inland Building Construction Companies, Inc.	\$4,098,000
Dalke & Sons Construction, Inc.	\$4,176,000
P. H. Hagopian Contractor, Inc.	\$4,288,000
Plyco Corporation	\$4,347,000
T.B. Penick & Sons, Inc.	\$4,375,000
H.A. Nichols Co., Inc.	\$4,432,000
USS Cal Builders, Inc.	\$4,678,000

**FUNDING:**

State School Facility Program/Measure G: \$ 3,687,000

**RECOMMENDATION:**

Authorize staff to award a contract to Horizons Construction Co. International, Inc., for Bid Package No. 1 New Building and Sitework at Wilson Elementary School in the amount of \$3,687,000 under the Overcrowding Relief Grant Program.

  
JD:rb

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Adoption of Resolution No. 13/14-2992 – Acceptance of Findings of Annual and Five-Year Reportable Developer Fees Report for Fiscal Year 2012-13

**ITEM:** Consent

**SUBMITTED BY:** Joe Dixon, Assistant Superintendent, Facilities and Governmental Relations

**PREPARED BY:** Jessica Mears, Facilities Planner

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board adoption of Resolution No. 13/14-2992 to accept the findings of Annual and Five-Year Reportable Developer Fees Report for Fiscal Year 2012-13 as per Government Code Sections 66001 and 66006, which require that the District shall make available to the public certain information and adopt prescribed findings relative to statutory and alternative school facility fees collected (“Reportable Fees”) on an annual basis. The report entitled Annual and Five-Year Reportable Developer Fees Report for Fiscal Year 2012-13 (“Report”) contains information and findings related to Reportable Fees received, expended, or to be expended in connection with school facilities to accommodate additional students from new development if funded or partially funded with those fees.

Pursuant to Government Code Section 66006, the District posted notice in the District’s regular posting locations of the time and place of the Board meeting where the Report would be considered for adoption, and published notice in the *OC Register*, a general circulation publication within the District’s boundaries. The Report (Attachment A) was made available for public review not less than 15 days prior to the Board of Education meeting.

**RATIONALE:**

This Board of Education agenda item and the Report meet the reporting requirements of Government Code Section 66006.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Adopt Resolution No. 13/14-2992 to accept the findings of the Annual and Five-Year Reportable Fees Report for Fiscal Year 2012-13 in compliance with Government Code Sections 66001 and 66006.



JD:rb

1 RESOLUTION NO. 13/14-2992

2 BOARD OF EDUCATION

3 SANTA ANA UNIFIED SCHOOL DISTRICT

4 ORANGE COUNTY, CALIFORNIA

5 Findings of the Annual and Five-Year Reportable Developer Fees Report for Fiscal  
6 Year 2012-2013 in Compliance with Government Code Sections 66006 and 66001

7 WHEREAS, the Santa Ana Unified School District ("District") has received and  
8 expended statutory and/or alternative school facilities fees ("Reportable Fees")  
9 for the construction and/or modernization of the District's school facilities in  
10 order to accommodate students from new development; and

11  
12 WHEREAS, pursuant to Government Code Section 66006(a), the District has  
13 established and maintained a separate capital facilities account for the  
14 Reportable Fees ("Reportable Fees Account"); and

15  
16 WHEREAS, pursuant to Government Code Section 66006(a), the Reportable Fees  
17 have been deposited into the Reportable Fees Account in order to avoid any  
18 commingling of the Reportable Fees with other revenues and funds of the District,  
19 except for temporary investments, and has expended the Reportable Fees, along with  
20 any interest income earned, solely for the purpose(s) for which the Reportable  
21 Fees were originally collected; and

22  
23 WHEREAS, Government Code Section 66006(b)(1) provides that the District  
24 shall make a written report containing certain required information available to  
25 the public within one hundred eighty (180) days after the last day of each fiscal  
26 year; and

27  
28 WHEREAS, Government Code Section 66006(b)(2) requires that the Board of  
29 Education of the District ("Board") review the information made available to the  
30 public, including the report entitled "Annual and Five-Year Reportable Developer  
31 Fees Report for Fiscal Year 2012-2013" ("Report") at the next regularly scheduled  
32 public meeting, at least fifteen (15) days after the Report was made available to  
33 the public; and

34  
35 WHEREAS, the Report contains the requisite information and proposed findings  
36 concerning the collection and expenditure of Reportable Fees pursuant to  
37 Government Code Sections 66006 and 66001; and

38  
39 WHEREAS, Government Code Section 66001(d) provides that for the fifth fiscal  
40 year following the first deposit into the Reportable Fees Account, and every five  
41 years thereafter, the District shall make findings with respect to the portion of  
42 the Reportable Fees Account that remains unexpended; and

43  
44 WHEREAS, the District has complied with all of the foregoing provisions.

45  
46 NOW, THEREFORE, THE BOARD OF EDUCATION OF THE SANTA ANA UNIFIED SCHOOL  
47 DISTRICT DOES HEREBY RESOLVE, DETERMINE AND ORDER AS FOLLOWS:

48  
49 Section 1. The Board finds and determines that the foregoing recitals and  
50 determinations are correct.

51  
52 Section 2. Pursuant to Government Code Section 66006(a), the District has  
53 established and maintained a Reportable Fees Account during Fiscal Year 2012-2013.

54  
55       Section 3. Pursuant to Government Code Section 66006(a), the Reportable  
56 Fees collected during Fiscal Year 2012-2013 have been deposited into the  
57 Reportable Fees Account in order to avoid any commingling of the Reportable Fees  
58 with other revenues and funds of the District, except for temporary investments,  
59 and has expended the Reportable Fees, along with any interest income earned,  
60 solely for the purpose(s) for which the Reportable Fees were originally collected.  
61

62       Section 4. Pursuant to Government Code Section 66006(b)(1), the District  
63 made the Report available to the public within one hundred eighty (180) days after  
64 the last day of Fiscal Year 2012-2013.  
65

66       Section 5. Pursuant to Government Code Section 66006(b)(1), the Board  
67 reviewed the Report at the next regularly scheduled public meeting, at least  
68 fifteen (15) days, after the Report was made available to the public.  
69

70       Section 6. Pursuant to Government Code Sections 66006(b)(1) and (2), the  
71 Board reviewed the Report that is incorporated by this reference and contains the  
72 following information:  
73

- 74       (A) A brief description of the type of Reportable Fees in the Reportable  
75 Fees Account;
- 76       (B) The amount of the Reportable Fees;
- 77       (C) The beginning and ending balance of the Reportable Fees Account;
- 78       (D) The amount of Reportable Fees collected and the interest earned;
- 79       (E) An identification of each Project on which Reportable Fees were expended  
80 and the amount of the expenditures on each Project, including the total  
81 percentage of the cost of the Project that was funded with Reportable Fees;
- 82       (F) An identification of an approximate date by which the construction of  
83 the Project will commence if the District determines that sufficient funds  
84 have been collected to complete financing on an incomplete Project, as  
85 identified in Section 66001(a)(2), and the Project remains incomplete;
- 86       (G) A description of each interfund transfer or loan made from the  
87 Reportable Fees Account, including the Project on which the transferred or  
88 loaned Reportable Fees will be expended, and, in the case of an interfund  
89 loan, the date on which the loan will be repaid, and the rate of interest  
90 that the Reportable Fees Account will receive on the loan; and
- 91       (H) The amount of refunds made pursuant to Section 66001(e) and any  
92 allocations pursuant to Section 66001(f).  
93

94       Section 7. Pursuant to Government Code Section 66006(b)(2), Notice was  
95 mailed at least fifteen (15) days prior to the Board meeting, to any interested  
96 party who filed a written request with the District for mailed Notice of the Board  
97 meeting.  
98

99       Section 8. The District posted Notice in the District's regular posting  
100 locations and published Notice in a newspaper of general circulation within the  
101 District's boundaries.  
102

103       Section 9. Pursuant to Government Code Section 66001(d), the Board reviewed  
104 the Report which is incorporated by this reference and contains the following  
105 proposed findings:  
106

- 107       (1) Identification of the purposes to which the Reportable Fees are to be  
108 put;
- 109       (2) Demonstration of a reasonable relationship between the Reportable Fees  
110 and the purpose for which they are charged;

111 (3) Identification of all sources and amounts of funding anticipated to  
112 complete incomplete Projects of the District; and  
113 (4) Designation of the approximate dates on which the funding referred to in  
114 paragraph (3) is expected to be deposited into the respective District  
115 account(s).  
116

117 Section 10. When findings are required by Government Code Section 66001(d),  
118 these findings shall be made at the same time as the findings as that information  
119 required by Government Code Section 66006(b).  
120

121 Section 11. Pursuant to Government Code Section 66001(e) and (f), the  
122 District shall make certain findings when sufficient Reportable Fees have been  
123 collected to complete the financing of incomplete Projects, and the Projects  
124 remain incomplete.  
125

126 Section 12. The Board determines that the District is in compliance with  
127 Government Code Section 66000, et seq., regarding the receipt, deposit,  
128 investment, expenditure and/or refund of Reportable Fees received and expended  
129 relative to Projects for Fiscal Year 2012-2013.  
130

131 Section 13. The Board determines that no refunds and allocations of  
132 Reportable Fees, as required by Government Code Sections 66001(e) and  
133 66006(b) (1) (H), are deemed payable at this time for Fiscal Year 2012-2013.  
134

135 The foregoing resolution was considered, passed, and adopted by this Board at its  
136 regular meeting of December 10, 2013.  
137

138  
139  
140 \_\_\_\_\_  
141 President of the Governing Board for the Santa  
142 Ana Unified School District  
143

144 Upon motion of Member \_\_\_\_\_ and duly seconded, the foregoing  
145 Resolution was adopted by the following vote:

- 146 AYES:
- 147 NOES:
- 148 ABSENT

149  
150 STATE OF CALIFORNIA )  
151 ) ss:  
152 COUNTY OF \_\_\_\_\_ )  
153  
154  
155

156 I, Rob Richardson, Clerk of the Board of Education of the Santa Ana Unified School  
157 District of Orange County, California, hereby certify that the above and foregoing  
158 Resolution was duly adopted by the said Board at a regular meeting thereof held on  
159 the 10<sup>th</sup> day of December, 2013 and passed by a vote of \_\_\_\_\_ of said Board.  
160

161  
162 \_\_\_\_\_  
163 Clerk of the Board of Education of the Santa  
164 Ana Unified School District, State of  
165 California  
166  
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# SANTA ANA UNIFIED SCHOOL DISTRICT

1601 E. Chestnut Avenue, Santa Ana, CA 92701

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## Annual and Five-Year Reportable Developer Fees Report for Fiscal Year 2012/2013



November 25, 2013

Prepared by the Department of Facilities and Governmental Relations

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## **I. INTRODUCTION**

This report reflects developer fees collected by the Santa Ana Unified School District (District) from July 1, 2012 through June 30, 2013.

The District continues to operate over its school capacity and has determined that its school facilities and educational programs continue to be impacted by student population caused by new residential, commercial and industrial development within the boundaries of the District.

The District's October 2012 CBEDS enrollment was 53,493. During the school year, the District had 303 portable classrooms throughout the District (approximately 12% of total District classrooms), in order to house the excess number of students. The District is faced with overcrowded conditions at many of the 63 school sites. The average age of the District's schools is 37 years.

The District intends to utilize developer fees collected to assist in the funding of leased portable classrooms to accommodate student enrollment that has grown beyond the capacity of the permanent facilities. Other uses of developer fees may include construction of school facilities including interim housing, professional services necessary to construct facilities, studies to make findings, maintenance of school facilities, and administrative cost associated with developer fees.

### **A. Background**

Government Code Sections 66006 and 66001 provide that the District shall make available to the public certain information and adopt prescribed findings relative to school facility fees adopted pursuant to Education Code Section 17620 and Government Code Sections 65995 (Level 1 fees and commercial/industrial fees, collectively "Statutory School Facility Fees") and Government Code Sections 65995.5, 65995.6 and 65995.7 (Level 2 fees or "Alternative School Facility Fees"). The foregoing fees are collectively referred to as "Reportable Fees". The described information and findings contained in this Annual and Five-Year Reportable Developer Fees Report ("Report") relate to Reportable Fees that the District received, expended, or may expend in connection with school facilities in order to accommodate additional students from new and other residential development, as well as commercial and industrial construction (collectively referred to as "Development") if funded or partially funded with Reportable Fees. The Reportable Fees only include money in possession of the District and does not include letters of credit, bonds, or other instruments to secure payment of Reportable Fees at a future date. The Reportable Fees have not been levied, collected, or imposed for general revenue purposes.

## **B. Reporting Requirements**

Section 66000-66008 of the Government Code outlines when a school district can levy a fee and the annual reporting procedures that are required. In 1996, the legislature enacted two (2) changes related to the payment and reporting of school fees. The changes are outlined below:

### ***Assembly Bill (AB) 3081 (Olberg) – Right to Protest***

AB 3084 requires that notice of the right to protest payment be given by the school district before the applicable 180-day statute of limitation begins to run. The statute of limitation, if such notice is given, bars judicial review of school fees or mitigation amounts as well as payments.

### ***Senate Bill 1693 (Monteith) – Detail Reporting Requirements***

Effective January 1, 1997, SB 1693 requires more detailed reporting process to be complied with by December 31, 1997, and annually thereafter. It also requires certain findings to be made that may trigger a required refund by the school district of funds held to construct future school facilities.

This report and the associated Board of Education agenda item meet the reporting requirements of SB 1693 and Government Code Section 66006(b).

## **C. Use of Developer Fees**

School facilities fees levied against new residential, commercial, and industrial development will be used only to finance school facilities necessary to accommodate students generated from such new development, including but not limited to:

- All costs associated with the construction or reconstruction of school facilities.
- All costs associated with providing additional space or interim housing to accommodate additional students.
- The costs of performing studies or otherwise making the findings and determinations required by law.
- To pay any bonds, notes, loans, leases, or other installment agreements.
- To finance projects for one or more participating school districts or to an authority as authorized by law.
- Maintenance and Repair of school facilities which is not regular and routine.
- The reimbursement of administrative costs.

**II. ANNUAL REPORT**

In accordance with Government Code 66006(b)(1) and (2), the District hereby presents the following information for fiscal year 2012/2013:

**A. Description of the Type of Reportable Fees in the Account or Sub-account(s) of the School District**

The Reportable Fees of the School District for fiscal year 2012/2013 consist of Statutory School Fees and Alternative School Facility Fees. The foregoing fees are collectively referred to as "Reportable Fees".

**B. Amount of Reportable Fees**

The Reportable Fees were authorized to be levied on Development by the Board of Education of the District at the time the Reportable Fee was adopted. As shown in the District's Fee Justification Study ("FJS") and School Facilities Needs Analysis ("SFNA"), the Reportable Fees only partially mitigate the impacts to the District caused by Development because the Reportable Fee amounts do not adequately fund the District's school facilities needs resulting from additional development within the District (Government Code Section 66006(b) (1) (A)).

**Statutory School Facility Fees May 29, 2012 – Current (Government Code Section 66006(b) (1) (B))**

Level 1 Residential (Less than 500 square feet)	\$ 3.20 per square foot
Level 1 Commercial/Industrial	\$ 0.51 per square foot

As authorized by the State Allocation Board on January 25, 2012

**Alternative School Facilities Fees August 24, 2011 – August 23, 2012 (Government Code Section 66006(b) (1) (B))**

Level 2 Residential	\$4.75 per square foot
---------------------	------------------------

**C. Beginning and Ending Balance, Reportable Fees Collected, Interest Earned and Expenditures of the Fund (Government Code Section 66006(b) (1) (C) and (D)):**

	<b>Reportable Fees</b>
Beginning Balance (07/01/12)	\$ 3,087,582
Reportable Fees Collected	\$ 1,095,978
Community Development Fund	\$ 3,062,827
Interest Earned	\$ 32,027
<b>Total Assets</b>	<b>\$ 7,278,414</b>
<b>Expenditures</b>	<b>\$ (4,582,263)</b>
<b>Ending Balance (06/30/13):</b>	<b>\$ 2,696,151</b>

**D. Identification of Each District Project on Which Reportable Fees Were Expended Including the School Facilities Project ("Project") Total and Percentage of the Project Cost that was Funded with Reportable Fees (Government Code Section 66006(b)(1)(E)):**

<b>Type of Expenditures</b>	<b>Amount</b>	<b>Percent of Project Total Funded w/ Reportable Fees</b>
Travel and Conferences	\$ 20,585	1%
Rentals, Leases, Repairs and non-capitalized improvements	\$ 1,005,000	22%
Professional Consulting Services and Operating Expenditures	\$ 46,142	1%
Portable Returns and Disconnects	\$ 468,428	10%
New Building and Facility Improvements	\$ 3,042,107	66%
<b>TOTAL Expenditures</b>	<b>\$ 4,582,263</b>	<b>100%</b>



**E. When the District Accumulates Sufficient Funds to Complete Project(s) for Which It Previously Identified as Intending to Spend Reportable Fees, the District Needs to Identify the Approximate Date It Will Commence Construction on Such Project(s) (Government Code 66006(b)(1)(F)):**

The District has determined that at the close of fiscal year 2012/2013, Reportable Fees and other sources of funding were not sufficient to complete the financing of additional school facilities of the District.

**F. A description of each interfund transfer or loan made from the account or fund, including the public improvement on which the transferred or loaned fees will be expended, and in the case of an interfund loan, the date on which the loan will be repaid, and the rate of interest that the account or fund will receive on the loan.**

No interfund transfers were made to the account for fiscal year 2012/2013.

**G. The amount of refunds made pursuant to subdivision (e) of Section 66001 and any allocations pursuant to subdivision (f) of Section 66001.**

Per Section 66001(e)	None
Per Section 66001(f)	None

## II. FIVE-YEAR REPORT

For the fifth fiscal year following the first deposit into the Fund, and every five years thereafter, the District is required to make all of the following findings with respect to that portion of the Fund remaining unexpended, whether committed or uncommitted.

### A. Identification of All Projects for Which the Reportable Fees Will be Expended in the Future:

The purpose of the Reportable Fees imposed and collected on Development within the District is to fund additional school facilities required to serve the students of the District generated by Development within the District. Specifically, the Reportable Fees will be used for the construction and/or acquisition of additional school facilities, remodeling existing school facilities to accommodate new growth from new development including, but not limited to, adding additional classrooms, technology, and acquiring and installing additional portable classrooms (Government Code Section 66001(d)(1)(A)).

Further information regarding such Project(s) is set forth in the following chart:

Project Name	Source of Funds <sup>1</sup>	Amount of Anticipated Funding From Each Source <sup>2</sup>	Project Total <sup>3</sup>
Portable Classroom Purchases	Developer Fees	\$ 2,696,151*	\$ 2,167,503
High Priority Capital Facilities Outlay Projects	Developer Fees	\$ 900,000**	\$ 40,000,000

\*2012/13 remaining balance

\*\* Anticipated 2013/14 reportable fees

### B. Demonstration of a Reasonable Relationship Between the Reportable Fees and the Purposes for Which They are Charged:

As shown in the FJS and/or SFNA, there is a roughly proportional, reasonable relationship between the Development upon which the Reportable Fees are charged and the need for additional school facilities by reason of the fact that additional students will be generated by additional Development within the District, and the District does not have student capacity in its existing school facilities to accommodate these new students. Furthermore, the Reportable Fees charged on Development will be used to fund school facilities that will be used to serve the students generated from Development. The Reportable Fees do not exceed the costs of providing such school facilities for new students (Government Code Section (d)(1)(B)).

<sup>1</sup> See chart in Paragraph C below for applicable sources of funds.

<sup>2</sup> The total in this column should equal the total in Line 10 of the chart in paragraph C below.

<sup>3</sup> The total in this column should equal the total in Line 11 of the chart in paragraph C below.

**C. Identification of All Sources and Amounts of Funding Anticipated to Complete Incomplete Projects Identified by the District in Paragraph A Above and Approximate Date Funding Is Expected to Be Deposited. (Government Code Section 66001(d)(1)(C) and (D):**

Source of Funding	Amount of Funding Anticipated to Complete Incomplete Projects	Approximate Date Funding Expected to Be Deposited
1. State Funding Program Funds	\$ 0	
2. State Hardship Funds	\$ 0	
3. Community Facilities Districts	\$ 0	
4. General Obligation Bond Proceeds (Not Authorized, Only Issued)	\$ 0	
5. Redevelopment Pass-Through Agreements	\$ 0	
6. Reportable Fees	\$ 3,596,151	Over next year
7. Mitigation Payments	\$ 0	
8. Certificates of Participation	\$ 0	
9. SB-201 Fees (Government Code Section 65970, <i>et seq.</i> )	\$ 0	
10. Total Funding (Add Lines 1 – 9 above)	\$ 3,596,151	
11. Total Costs of All Incomplete Projects	\$ 42,167,503	
12. Minus Total of All Funding Sources (Enter from Line 10 above).	\$ 3,596,151	
13. Unfunded Balance (Line 11, minus Line 12)	\$ 38,571,352	

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                    **Ratification of Annual Organizational Meeting**

**ITEM:**                    **Consent**

**SUBMITTED BY:**        **Richard L. Miller, Ph.D., Superintendent**

**PREPARED BY:**        **Richard L. Miller, Ph.D., Superintendent**

---

**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board ratification of the Annual Organizational Meeting held November 12, 2013 and approval of Board meeting dates for calendar year 2014.

**RATIONALE:**

President

Mr. Richardson entered the nomination of Dr. Yamagata-Noji for President. Mr. Hernández seconded the nomination and the motion carried 5-0.

Newly-elected President Yamagata-Noji, will preside over the December 10, 2013 meeting.

Vice President

Nominations were opened for the office of Vice President. It was moved by Mr. Richardson, seconded by Dr. Yamagata-Noji, and carried 5-0, to elect Mr. Hernández to the position of Vice President.

Clerk

Dr. Yamagata-Noji nominated Mr. Richardson for the office of Clerk; Ms. Iglesias seconded the nomination and the motion carried 5-0.

District Representative

It was moved by Dr. Yamagata-Noji, seconded by Mr. Palacio, and carried 5-0, to appoint Ms. Iglesias to serve as District Representative for nominating members to the County Committee on School District Organization.

Political Action Group Effort (PAGE)

It was moved by Mr. Hernández, seconded by Mr. Richardson, and carried 5-0, to appoint Mr. Palacio and Ms. Iglesias to serve as Political Action Group Effort (PAGE).

Establishment of Regular Meeting Dates, Time, and Location

It was moved Mr. Richardson, seconded by Mr. Palacio, and carried 5-0, to retain the present schedule for regular Board meetings. Closed Session begins at 4:30 p.m.; most meetings will take place on the second and fourth Tuesdays of the month at 6:00 p.m.

	January 14 ( <b>Special Board Meeting</b> ) Fall/Winter Athletic Recognition and Meeting	<b>Cancel</b>	<del>July 8</del>
	January 28		July 22
	February 11	<b>Cancel</b>	<del>August 12</del>
	February 25		August 26
	March 11		September 9
<b>Saturday</b>	March 15 ( <b>Annual Planning Retreat</b> )		September 23
	March 25		October 14
<b>Cancel</b>	<del>April 8 (Spring Recess)</del>		October 28
	April 22	<b>Cancel</b>	<del>November 11 (Veteran's Day Holiday)</del>
	May 13	<b>New</b>	November 18 ( <b>Regular Board Mtg</b> )
	May 27 ( <b>Special Board Meeting</b> ) Spring/Summer Athletic Recognition and Meeting	<b>Cancel</b>	<del>November 25 (Thanksgiving Recess)</del>
	June 10		December 9
	June 24	<b>Cancel</b>	<del>December 23 (Winter Recess)</del>

Meetings will be held in the Board Room of the Administration Building, 1601 E. Chestnut Ave., Santa Ana (unless the meeting is moved to another site for space accommodation or cancelled by the Board of Education).

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Ratify the Annual Organizational Meeting and approve Board meeting dates for calendar year 2014.

**AGENDA ITEM BACKUP SHEET  
December 10, 2013**

**Board Meeting**

**TITLE:** Approval of Personnel Calendar

**ITEM:** Consent

**SUBMITTED BY:** Mark A. McKinney, Associate Superintendent, Human Resources

**PREPARED BY:** Mark A. McKinney, Associate Superintendent, Human Resources

---

**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval of the Personnel Calendar.

**RATIONALE:**

Board approval of the Personnel Calendar is required for all Certificated and Classified personnel reports, non-confidential leaves of absences, and effective dates of resignations and retirements.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve the Personnel Calendar.

  
MAM:nr

**CERTIFICATED PERSONNEL CALENDAR**

**Personnel Calendar  
Board Meeting - December 10, 2013**

<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>COMMENTS</b>
<b>NEW HIRES/RE-HIRES</b>					
Magdaleno, Saray	Teacher	Kennedy	November 15, 2013		New Hire - Temporary 44909
<b>RETIREMENTS</b>					
Proud, Ernest	Program Specialist	Special Education	October 31, 2013		Retirement - 1 year
<b>RESIGNATIONS</b>					
Conde, Henry	Teacher	Century	October 31, 2013		Other - 2 years
<b>ABSENCE (3 to 20 duty days) - Without Pay with Benefits</b>					
Cockrill, Kelly	Teacher	Mitchell	October 28, 2013	December 2, 2013	Child Care
<b>CALIFORNIA FAMILY RIGHTS ACT (3 to 20 duty days) - Paid with Benefits</b>					
Weaver-Posse, Rebecca	Teacher	Taft	December 2, 2013	December 19, 2013	Statutory
<b>CALIFORNIA FAMILY RIGHTS ACT (3 to 20 duty days) - Without Pay with Benefits</b>					
Cunningham, Katie	Teacher	Saddleback	October 28, 2013	November 29, 2013	Statutory
Pedroza, Alma S.	Teacher	Lowell	November 18, 2013	January 12, 2014	Statutory
Weaver-Posse, Rebecca	Teacher	Taft	December 20, 2013	December 20, 2013	Statutory

**CERTIFICATED PERSONNEL CALENDAR**

Personnel Calendar  
Board Meeting - December 10, 2013

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>FAMILY CARE AND MEDICAL LEAVE ABSENCE (3 to 20 duty days) - Paid with Benefits</b>					
Banuelos, Jeanette	Teacher	McFadden	December 2, 2013	January 11, 2014	Statutory
Cunningham, Katie	Teacher	Saddleback	October 28, 2013	November 29, 2013	Statutory
O'Brien, Dow	Teacher	MacArthur	October 29, 2013	November 20, 2013	Statutory
Weaver-Posse, Rebecca	Teacher	Taft	December 2, 2013	December 19, 2013	Statutory
<b>FAMILY CARE AND MEDICAL LEAVE ABSENCE (3 to 20 duty days) - Without Pay with Benefits</b>					
Pedroza, Alma S.	Teacher	Lowell	November 18, 2013	January 12, 2014	Statutory
Weaver-Posse, Rebecca	Teacher	Taft	December 20, 2013	December 20, 2013	Statutory
<b>FAMILY CARE AND MEDICAL LEAVE (21 duty days or more) - Paid with Benefits</b>					
Davidson, Charlotte	Teacher	Taft	October 25, 2013	March 19, 2014	Statutory
Perez, Sandra	Counselor	Valley	October 31, 2013	January 14, 2014	Statutory
<b>EXTENSION ON FAMILY CARE AND MEDICAL LEAVE (21 duty days or more) - Paid with Benefits</b>					
O'Connor, Kathleen	Teacher	Wilson	October 23, 2013	November 4, 2013	Statutory
Pedroza, Alma S.	Teacher	Lowell	November 14, 2013	November 15, 2013	Statutory
Zamudio, Amie	Teacher	Villa	December 2, 2013	December 20, 2013	Statutory
<b>LEAVE (21 duty days or more) - Without Pay and Without Benefits</b>					
De Oro, Mariano	Learning Director	Lathrop	November 18, 2013	June 30, 2014	Family Responsibilities

Mark A. McKinney, Associate Superintendent, Human Resources



**Personnel Calendar  
Board Meeting - December 10, 2013  
CERTIFICATED PERSONNEL CALENDAR**

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>39-MONTH REEMPLOYMENT</b>					
Enriquez, Arturo	Teacher	Century	November 8, 2013	February 8, 2017	
<b>SHARED CONTRACTS 2013-14</b>					
Cardenas, Jennifer	Teacher	Taft	August 26, 2013		20% Contract
Johnston, Colleen	Teacher	Taft	August 26, 2013		80% Contract
<b>EXTRA DUTY 2013-14</b>					
Baker, Judith	Retired Substitute	Special Projects/ Wellness	October 29, 2013	November 22, 2013	Retired Daily Rate
Baker, Judith	Retired Substitute	Special Projects/ Wellness	December 2, 2013	January 31, 2014	Retired Daily Rate
Conner, Christopher	Substitute	Special Projects/ Wellness	December 2, 2013	December 20, 2013	Regular Daily Rate
Gabaldon, Robert	Teacher	Valley	September 9, 2013	June 19, 2014	Extra Period
Martin, Roszena	Substitute	Special Projects/ Wellness	October 29, 2013	November 22, 2013	Long Term Daily Rate
Martin, Roszena	Substitute	Special Projects/ Wellness	December 2, 2013	January 31, 2014	Long Term Daily Rate
Moran, Danielle	Substitute	MacArthur	November 5, 2013	June 30, 2014	Regular Daily Rate
Prado, Rafael	Substitute	Special Projects/ Wellness	December 2, 2013	December 20, 2013	Long Term Daily Rate
Sanchez, Carlos	Substitute	Special Projects/ Wellness	December 2, 2013	December 20, 2013	Long Term Daily Rate

**CERTIFICATED PERSONNEL CALENDAR**

**Personnel Calendar  
Board Meeting - December 10, 2013**

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>CO-CURRICULAR 2013-14</b>					
Bomgren, Deborah		Lorin Griset	2013-14		Student Government Advisor
Gore, Dinesh		Segerstrom	2013-14		Mock Trial
Ochoa Ceja, Maritza		McFadden	2013-14		Pep Squad
<b>GRADE LEVEL LEADERS 2013-14</b>					
Chandler, Sharon		Esqueda	2013-14		
Galvis, Sandra		Esqueda	2013-14		
Goodis, Debbie		Esqueda	2013-14		
Kiwerski, Pamela		Esqueda	2013-14		
Mitchell, Theresa		Esqueda	2013-14		
Ponce, Maria I.		Esqueda	2013-14		
Puich, Jill		Esqueda	2013-14		
L'Heureux, Jennifer		Franklin	2013-14		
Boyer, Natasha		Fremont	2013-14		
Grotzky, Gina		Fremont	2013-14		
Holland, Tiffany		Fremont	2013-14		
Mouat, Amy		Fremont	2013-14		
Sneathen, Pamela		Fremont	2013-14		
Zamora, Esmeralda		Fremont	2013-14		

**CERTIFICATED PERSONNEL CALENDAR**

**Personnel Calendar  
Board Meeting - December 10, 2013**

<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>COMMENTS</b>
<b>GRADE LEVEL LEADERS 2013-14 (Continued)</b>					
Chhim, Chantal		Lowell	2013-14		
Lopez, Diane		Lowell	2013-14		
Mejia, Lilia		Lowell	2013-14		
Obillo, Kevin		Lowell	2013-14		
Valle, Olga		Lowell	2013-14		
Villa, Liza		Lowell	2013-14		
Andre, Michele		Madison	2013-14		
Esparza, Denise M.		Madison	2013-14		
Hanks, Bertha		Madison	2013-14		
Heneghan, Daniel		Madison	2013-14		
Hernandez, Peter		Madison	2013-14		
O'Connor-Ruiz, Patrick		Madison	2013-14		
Smirl, Christina		Madison	2013-14		
Yee, Lindsay		Madison	2013-14		
Damore, Christopher		Martin	2013-14		
Jansz-Martinez, Julie		Martin	2013-14		
Markel, Michele		Martin	2013-14		
Pappas, Mercedes		Martin	2013-14		
Venegas, Lucia		Martin	2013-14		
Westergard, Pamela		Martin	2013-14		
Andaya, Maribel		Sepulveda	2013-14		
Delgadillo, Jose		Sepulveda	2013-14		
Gupta, Deepika		Sepulveda	2013-14		

**Mark A. McKinney, Associate Superintendent, Human Resources**

**CERTIFICATED PERSONNEL CALENDAR**

**Personnel Calendar**  
**Board Meeting - December 10, 2013**

NAME	POSITION	SITE	EFF. DATE	END DATE	COMMENTS
<b>GRADE LEVEL LEADERS 2013-14 (Continued)</b>					
Horowitz, Eve		Sepulveda	2013-14		
Mendoza, Fabiola		Sepulveda	2013-14		
Tankersley, Jeffrey		Sepulveda	2013-14		
Vargas, Kristine		Sepulveda	2013-14		
Araujo, Donna		Washington	2013-14		
Carrillo, Joaquin		Washington	2013-14		
Hofmayer, Patricia		Washington	2013-14		
Holland, Monica		Washington	2013-14		
Lamb, Kevin		Washington	2013-14		
Oslanker, Rebecca		Washington	2013-14		
Raasch, Janice		Washington	2013-14		
<b>ELEMENTARY STUDENT GOVERNMENT/COUNSEL ADVISOR</b>					
Grotzky, Gina		Fremont	2013-14		
Kawaguchi, Robin		Esqueda	2013-14		
Su, Katy		Martin	2013-14		
<b>HOME TEACHERS 2013-14</b>					
Weiss, Debra	Home Teachers	Pupil Support Services	October 22, 2013	June 19, 2014	If and as needed basis

**CERTIFICATED PERSONNEL CALENDAR**

**Personnel Calendar  
Board Meeting - December 10, 2013**

<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>COMMENTS</b>
<b>WINTER SPORTS 2013-14</b>					
Doi, Trisha	Assistant Coach	Saddleback	2013-14		Basketball (Girls)
Mc Cord, Derek	Head Coach	Saddleback	2013-14		Water Polo (Girls)
Pesak, Rod	Assistant Coach	Saddleback	2013-14		Wrestling
Silva, Meliton	Head Coach	Saddleback	2013-14		Soccer (Boys)
Byers, Timothy	Head Coach	Santa Ana	2013-14		Wrestling (Girls)
Elmasry, Fareed	Head Coach	Santa Ana	2013-14		Basketball (Boys)
Glabb, Scott	Head Coach	Santa Ana	2013-14		Wrestling (Boys)
Goldby, Jessica	Head Coach	Santa Ana	2013-14		Basketball (Girls)
Leon, Jose	Assistant Coach	Santa Ana	2013-14		Wrestling (Boys)
Penaflo, Joe	Head Coach	Santa Ana	2013-14		Soccer (Boys)
Alonzo, Yvonne	Assistant Coach	Seegerstrom	2013-14		Basketball (Girls)
Altamirano, Michael	Assistant Coach	Seegerstrom	2013-14		Basketball (Boys)
Castanha, William	Assistant Coach	Seegerstrom	2013-14		Soccer (Girls)
Gomez, Adrian	Head Coach	Seegerstrom	2013-14		Basketball (Boys)
Hayes, Richard	Assistant Coach	Seegerstrom	2013-14		Basketball (Girls)
Salway, Andrew	Assistant Coach	Seegerstrom	2013-14		Water Polo
Stevenson, Neil	Head Coach	Seegerstrom	2013-14		Soccer (Boys)
Watts, Jeffrey	Head Coach	Seegerstrom	2013-14		Basketball (Girls)

**AGENDA ITEM REQUESTS  
CERTIFICATED  
2013-14**

TITLE OF ACTIVITY	SITE	FUNDING	NOT TO EXCEED	EFFECTIVE
5th Grade P.E. and Physical Fitness Test (PFT) Training (Ratification)	Special Projects/Wellness	PEP Grant	\$15,000	November 16, 2013
Additional Core Support	Santiago	EIA-SCE	\$15,000	December 11, 2013
Basal Alignment Project Trainings	Staff Development	Title I	\$25,000	December 11, 2013
CAHSEE & CST Program Planning	Valley	CAHSEE/CST Success	\$11,090	December 11, 2013
CAHSEE & CST Tutoring	Valley	CAHSEE/CST Success	\$10,072	December 11, 2013
CAHSEE Preparation/Tutorial	Century	CAHSEE	\$7,255	December 11, 2013
CAHSEE Tutors	Segerstrom	CAHSEE	\$20,000	December 11, 2013
Common Core Curriculum Writing	Educational Services K-12	Bechtel	\$29,400	January 29, 2014
Computer Classes - Certificated	Spurgeon	Title I	\$500	December 11, 2013
English Learner Writing Lab	Santa Ana	EIA-LEP	\$25,000	January 13, 2014
Higher Education Late Nights	Segerstrom	EIA-LEP	\$2,600	December 11, 2013
Intervention Substitutes	Valley	CAHSEE/CST Success	\$7,200	December 11, 2013
Intervention Substitutes	Thorpe	EIA-LEP	\$17,405	December 11, 2013
Intervention Substitutes (Ratification)	Franklin	EIA-LEP	\$11,000	December 2, 2013
Intervention Support	Remington	EIA-LEP	\$9,000	December 11, 2013
K-5 Intervention Tutor	Edison	EIA-LEP	\$5,000	January 11, 2014
Multi-Tiered System of Support (MTSS)				
Support Training (Correction, previously approved on July 23, 2013)	Support Services	Title I	Additional \$40,000	July 1, 2013
Nurse - Extra Help	English Learner Programs & Student Achievement	Title I	\$500	December 11, 2013

**Board Meeting  
December 10, 2013**

**AGENDA ITEM REQUESTS  
CERTIFICATED  
2013-14**

<b>TITLE OF ACTIVITY</b>	<b>SITE</b>	<b>FUNDING</b>	<b>NOT TO EXCEED</b>	<b>EFFECTIVE</b>
Parent Meetings	Segerstrom	Title I	\$4,500	December 11, 2013
Planning	Heroes	EIA-LEP	\$3,500	January 1, 2014
Saturday Tutoring (Ratification)	Mendez	EIA-SCE	\$2,000	September 3, 2013
Staff Development	Heroes	EIA-LEP	\$2,000	January 1, 2014
Strategic Instructional Goals Planning	Segerstrom	General Funds	\$5,000	December 11, 2013
Summer Football Camp (Ratification)	Godinez	Football Camp Fee	\$1,581	June 17, 2013
Summer Football Camp (Ratification)	Godinez	Football Camp Fee	\$1,581	July 1, 2013
Summer Freshman Camp (Ratification)	Godinez	Basketball Camp Fees	\$1,160	July 1, 2013
Summer Freshman League (Ratification)	Godinez	Basketball Camp Fees	\$290	July 1, 2013

**CLASSIFIED PERSONNEL CALENDAR**

**Personnel Calendar  
Board Meeting - December 10, 2013**

NAME	POSITION	SITE	EFF. DATE	END DATE	SALARY	COMMENTS
<b>RETIREMENT</b>						
Perez, Elvia	Custodian	Century	December 5, 2013			12 years, 4 months
<b>RESIGNATIONS</b>						
Avina, Evelyn	Activity Supervisor	Santiago	November 25, 2013			Personal - 1 month
Barrero-Orozco, Myriam	Activity Supervisor	Santiago	November 25, 2013			Personal - 2 years, 3 months
Brown, Kimberly	Instr. Asst. DHH	Transition Program	June 12, 2013			Personal - 1 year, 3 months
Castillo, Elizabeth	Site Clerk	Adams	November 26, 2013			Personal - 3 years, 10 months
Costello, Kristen	SSP Special Ed.	Esqueda	November 15, 2013			Personal - 7 months
Fernandez, Evelyn	Activity Supervisor	Santiago	November 25, 2013			Personal - 1 month
Giron, Alondra	Activity Supervisor	Villa	November 15, 2013			Personal 2 years, 5 months
MacDonald, Damien	SSP Special Ed.	Saddleback	November 19, 2013			Personal - 10 months
Marmolejo, Daniel	SSP Special Ed.	Valley	November 21, 2013			Personal - 7 months



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**Personnel Calendar**

**Board Meeting - December 10, 2013**

<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>SALARY</b>	<b>COMMENTS</b>
<b>RESIGNATIONS (Continuation)</b>						
Mendoza, Corina	SSP Special Ed.	McFadden	December 6, 2013			Personal - 1 month
Miramontes, Blanca	Activity Supervisor	Santiago	November 25, 2013			Personal - 11 months
Rodriguez, Angelica	SSP Special Ed.	Saddleback	November 1, 2013			Personal - 11 months
Vazquez-Saavedra, Lucina	Activity Supervisor	Santiago	November 25, 2013			Personal - 5 years, 8 months
<b>TERMINATION</b>						
ID # 27663	Autism Paraprofessional	Special Ed.	November 22, 2013			
<b>ABSENCE (3 to 20 duty days) - Without Pay</b>						
Rodriguez, Eleticia	Fd. Svc. Wkr.	Saddleback	December 9, 2013	December 13, 2013		Personal
Rodriguez, Jenna	Autism Paraprofessional	Mitchell	November 12, 2013	December 16, 2013		Personal
<b>CFRA (California Family Rights Act) - Paid</b>						
Velez, Wendy	Site Clerk	Esqueda	December 2, 2013	December 6, 2013		Statutory Leave

**CLASSIFIED PERSONNEL CALENDAR**

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**Board Meeting - December 10, 2013**

<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>SALARY</b>	<b>COMMENTS</b>
<b>CFRA (California Family Rights Act) - Without Pay</b>						
Velez, Wendy	Site Clerk	Esqueda	November 25, 2013	December 13, 2013		Statutory Leave
<b>FAMILY CARE &amp; MEDICAL LEAVES (3 to 20 duty days) - Paid</b>						
Colin, Nancy	Site Clerk	Mendez	November 6, 2013	November 25, 2013		Statutory Leave
Garcia, Olivia	Preschool Teacher	ECE	December 2, 2013	December 18, 2013		Statutory Leave/ Intermittent Basis
Kanally, Margaret	Head Start Teacher	Child Dev.	November 12, 2013	November 24, 2013		Statutory Leave
Velez, Wendy	Site Clerk	Esqueda	November 22, 2013	November 22, 2013		Statutory Leave
<b>EXTENSION FAMILY CARE &amp; MEDICAL LEAVES (3 to 20 duty days) - Paid</b>						
Cortez, Lisa	Sr. Admin. Clerk	Transition Program	October 30, 2013	November 26, 2013		Statutory Leave
<b>FAMILY CARE &amp; MEDICAL LEAVES (21 duty days or more) - Paid</b>						
Bruhl, Karla	Preschool Teacher	ECE	October 29, 2013	December 6, 2013		Statutory Leave
Diaz, Esther	Sch. Off. Mgr. Int.	MacArthur	November 12, 2013	January 6, 2014		Statutory Leave

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<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>SALARY</b>	<b>COMMENTS</b>
<b>PROBATIONARY APPOINTMENTS</b>						
Adornetto, Thomas	Instr. Asst. Computers	Muir	December 11, 2013		26/2	
Asis, Djoaine	SSP Special Ed.	Willard	November 12, 2013		19/1	
Cervantes, Libni	SSP Special Ed.	Godinez	November 13, 2013		19/1	
Curran, Courtney	SSP Special Ed.	Valley	November 4, 2013		19/1	
Edwards, Jamie	SSP Special Ed.	Century	December 2, 2013		19/1	
Galgos, Alexandra	SSP Special Ed.	Century	November 22, 2013		19/1	
Gaufman, Michelle	SSP Special Ed.	Spurgeon	November 4, 2013		19/1	
Guenzler, Christopher	SSP Special Ed.	Heninger	November 4, 2013		19/1	
Guevara, Maria	Preschool Teacher	ECE	November 13, 2013		Column IIA/12	
Koh, Augustine	Instr. Asst. Computers	Garfield	November 13, 2013		26/1	
Marquez, Ana	SSP Special Ed.	Godinez	November 19, 2013		19/1	
Mejia, Lucero	Preschool Teacher	ECE	October 30, 2013		Column IIIC/1	
Mendoza, Corina	SSP Special Ed.	McFadden	November 12, 2013		19/1	
Mireles, Christopher	Sch. Police Officer	School Police	November 26, 2013		40/1	
Pasillas, Antonio, Jr.	Vehicle Mechanic II	Bldg. Svcs.	November 25, 2013		36/1	
Ramirez, Verenice	SSP Special Ed.	Century	December 2, 2013		19/1	
Rubio, Alejandra	Instr. Asst. DHH	Taft	December 2, 2013		20/1	
Saito, Jill	Preschool Teacher	ECE	October 30, 2013		Column IIIC/1	
Schwieger, Erik	Instr. Asst. Computers	Fremont	December 2, 2013		26/1	

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<b>PROBATIONARY APPOINTMENTS (Continuation)</b>						
Singer, Brian	Sch. Police Officer	School Police	November 26, 2013		40/1	
Tapia, Alejandro	SSP Special Ed.	Villa	November 21, 2013		19/1	
Vidal, Edda	Preschool Teacher	ECE	November 4, 2013		Column IIA	
Villapando, Diana	Preschool Teacher	ECE	October 30, 2013		Column IIIC	
<b>PROMOTIONAL APPOINTMENTS</b>						
Camberos, Gabriel	Int. Ld. Custodian	Spurgeon	November 25, 2013		25/6 + Diff.	
Flores, Brenda	Instr. Asst. Sev. Dis.	Godinez	December 10, 2013		20/5	
Lozano, Meliza	Family Outreach Liaison	PSS	November 18, 2013		36/1	
Rodriguez, Lurdes	Instr. Asst. DHH	Taft	December 2, 2013		20/5 + Bil.	
<b>REASSIGNMENTS (Change of Work Site)</b>						
Cruz, Daicy	Teacher's Aide	Child Development	November 25, 2013		10/6	
Garcia, Jesus	Int. Ld. Custodian	Lathrop	November 25, 2013		25/6	
Garcia, Vanessa	Fd. Svc. Wkr.	Food 4 Thought	October 31, 2013		11/4	
Ortega, Edna	Instr. Asst. Sev. Dis.	Century	August 27, 2013		20/6 + Bil.	
Serrano, Daniel	Account Clerk	Purchasing Dept.	December 9, 2013		24/2	

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NAME	POSITION	SITE	EFF. DATE	END DATE	SALARY	COMMENTS
<b>TEMPORARY ASSIGNMENTS - Out of Class Compensation</b>						
Aguilar, Giovanni	Plant Custodian Inter.	Bldg. Svcs.	November 7, 2013	November 22, 2013	32/4	
Andrade, Santiago	Plant Custodian Inter.	Bldg. Svcs.	October 21, 2013	November 6, 2013	32/3	
Camberos, Gabriel	Inter. Ld. Custodian	Bldg. Svcs.	November 1, 2013	November 30, 2013	25/6	
Espidio, Martha	Sr. Fd. Svc. Wkr.	Food 4	August 27, 2013	June 19, 2014	13/6	
Garcia, Ana	Attendance Tech.	MacArthur	November 12, 2013	January 6, 2014	28/5 + Bil.	
Guerrero, Elizabeth	Sr. Fd. Svc. Wkr.	Food 4	August 27, 2013	June 19, 2014	13/6	
Guzman, Angel	Sr. Groundskeeper	Thought	November 18, 2013	November 22, 2013	30/5	
Lara Cruz, Adolfo	Rv. Ld. Custodian	Bldg. Svcs.	November 1, 2013	November 30, 2013	28/2	
Lopez, Jose, Jr.	Plant Custodian Elem.	Bldg. Svcs.	November 1, 2013	November 30, 2013	28/1	
Martinez, John	Manager of Grounds Maintenance	Bldg. Svcs.	September 4, 2013	January 31, 2014	Level 22/1	
Mejia, Gabriela	Sch. Off. Asst. Elem.	Washington	December 2, 2013	December 20, 2013	24/6 + Bil.	
Perez, Juan	Plant Custodian H.S.	Bldg. Svcs.	October 24, 2013	November 30, 2013	35/2	
Pulido, Daniel	Inter. Ld. Custodian	Bldg. Svcs.	November 1, 2013	November 22, 2013	25/6	
Sillerico, Vanusa	Sch. Off. Asst. Mgr.	Davis	December 2, 2013	December 6, 2013	28/5 + Bil.	
<b>ACTIVITY SUPERVISORS</b>						
Clemente, Ana	Activity Supervisor	Madison	November 26, 2013			
Cruz, Gabriela	Activity Supervisor	Edison	November 22, 2013			
Gomez, Sylvia	Activity Supervisor	Santiago	November 26, 2013			
Lopez, Maria	Activity Supervisor	Wilson	November 5, 2013			
Montano, Maria	Activity Supervisor	Washington	November 5, 2013			

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<b>ACTIVITY SUPERVISORS (Continuation)</b>						
Ramirez, Cesar	Activity Supervisor	Jefferson	November 13, 2013			
Salcedo, Marisela	Activity Supervisor	Roosevelt	November 26, 2013			
Villalta Cruz, Esperanza	Activity Supervisor	Lincoln	November 5, 2013			
<b>HOURLY APPOINTMENTS</b>						
Abordo, Marie	Instr. Provider	Willard	October 31, 2013			
Hooyman, Gnanamani	Instr. Provider	Spurgeon	November 1, 2013			
Lopez De La Luz, Basti	Instr. Provider	Lathrop	November 8, 2013			
Nazarian, Isa	Instr. Provider	Spurgeon	November 15, 2013			
Ramos, Kikey	Instr. Provider	Godinez	November 14, 2013			
Roman, Nancy	Instr. Provider	Valley	November 8, 2013			
Welc, Dylan	Instr. Provider	Willard	November 8, 2013			
Wilson, Jalecia	Instr. Provider	Godinez	October 28, 2013			
Zamani, Mena	Instr. Provider	Saddleback	November 8, 2013			
<b>SUBSTITUTES</b>						
Adornetto, Thomas	Instr. Asst. Computers		November 15, 2013		19/1	
Dalati, Obada	Preschool Teacher		November 1, 2013		\$105	
Hathotuwegama, Nadhira	Preschool Teacher		November 21, 2013		\$105	

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<b>SUBSTITUTES (Continuation)</b>						
Navarro, Armida	Clerical		December 2, 2013		20/1	
Ramirez, Maria	Preschool Teacher		November 15, 2013		\$105	
Rodriguez, Angelica	SSP Sp. Ed.		November 18, 2013		19/1	
Rodriguez, Sandra	SSP Sp. Ed.		November 7, 2013		19/1	
<b>ATHLETIC SPECIALIST</b>						
Aguilar, Maurice	Asst. Basketball	Saddleback	November 18, 2013		\$18.98	
Aguirre, Eric	Asst. Basketball	Saddleback	November 18, 2013		\$18.98	
Algarin, Edwin	Asst. Basketball	Saddleback	November 18, 2013		\$18.98	
Amezcuca, Carlos	Asst. Soccer	Saddleback	November 18, 2013		\$18.98	
Apodaca, Donald	Head Coach Wrestling	Segerstrom	November 18, 2013		\$23.73	
Barrera, Tony	Asst. Wrestling	Santa Ana	November 18, 2013		\$18.98	
Calderon, Gabriel	Asst. Basketball	Saddleback	November 18, 2013		18.98	
Casas, Guillermo	Asst. Soccer	Saddleback	November 18, 2013		\$18.98	
Chavez, Israel	Asst. Wrestling	Segerstrom	November 18, 2013		\$18.98	
Cisneros, Edgar	Asst. Wrestling	Santa Ana	November 18, 2013		\$18.98	
Cornejo, Edwin	Asst. Soccer	Segerstrom	November 18, 2013		\$18.98	
Cruz, Joel	Head Coach Soccer	Santa Ana	November 18, 2013		\$23.73	
Dixon, Steven, II	Asst. Basketball	Santa Ana	November 18, 2013		\$18.98	
Fonseca, Yesenia	Asst. Soccer	Santa Ana	November 18, 2013		\$18.98	
Forch, Denisha	Asst. Basketball	Santa Ana	November 18, 2013		\$18.98	
Fredericksen, Tim	Head Coach Waterpolo	Segerstrom	November 18, 2013		\$23.73	

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<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>SALARY</b>	<b>COMMENTS</b>
<b>ATHLETIC SPECIALIST (Continuation)</b>						
Fulford, Tracy	Asst. Basketball Boys	Segerstrom	November 18, 2013		\$18.98	
Fulford, Tracy	Asst. Basketball Girls	Segerstrom	November 18, 2013		\$18.98	
Garcia, Art	Head Coach Wrestling	Saddleback	November 18, 2013		\$23.73	
Godinez, Rodolfo, Jr.	Asst. Soccer	Saddleback	November 22, 2013		\$18.98	
Gonzalez, Francisco	Asst. Waterpolo	Saddleback	November 18, 2013		\$18.98	
Gonzalez, Samuel	Asst. Waterpolo	Saddleback	November 18, 2013		\$18.98	
Gutierrez, Danny	Asst. Soccer	Santa Ana	November 18, 2013		\$18.98	
Hall, Troy	Asst. Basketball	Santa Ana	November 18, 2013		\$18.98	
Heiland, Danielle	Head Coach Waterpolo	Santa Ana	November 18, 2013		\$23.73	
Heiland, Danielle	Asst. Waterpolo	Santa Ana	November 18, 2013		\$18.98	
Hernandez, Luis	Asst. Wrestling	Santa Ana	November 18, 2013		\$18.98	
Justo, Rodolfo	Asst. Wrestling	Santa Ana	November 18, 2013		\$18.98	
Kale, Cody	Asst. Basketball	Segerstrom	November 18, 2013		\$18.98	
Lara, Daniel	Head Coach Basketball Boys	Saddleback	November 18, 2013		\$23.73	
Levin, Daniel	Asst. Soccer	Segerstrom	November 18, 2013		\$18.98	
Lopez, David	Head Coach Track	Saddleback	November 18, 2013		\$23.73	
Lopez, Erik	Asst. Basketball	Saddleback	November 18, 2013		\$18.98	
Lopez, Martel	Asst. Basketball	Santa Ana	November 18, 2013		\$18.98	
Madrigal, Andrew	Asst. Wrestling	Segerstrom	November 18, 2013		\$18.98	



**CLASSIFIED PERSONNEL CALENDAR**

**Personnel Calendar**

**Board Meeting - December 10, 2013**

<b>NAME</b>	<b>POSITION</b>	<b>SITE</b>	<b>EFF. DATE</b>	<b>END DATE</b>	<b>SALARY</b>	<b>COMMENTS</b>
<b>ATHLETIC SPECIALIST (Continuation)</b>						
	Head Coach					
Martinez, Freddy	Basketball	Saddleback	November 18, 2013		\$23.73	
Nava, Imelda	Head Coach Soccer	Segerstrom	November 18, 2013		\$23.73	
Navarro, Charles	Asst. Basketball	Saddleback	November 18, 2013		\$18.98	
Ramires, Enrique	Asst. Soccer	Segerstrom	November 18, 2013		\$18.98	
Rea, Francisco	Asst. Soccer	Santa Ana	November 18, 2013		\$18.98	
Silva, Miguel	Asst. Soccer	Santa Ana	November 18, 2013		\$18.98	
Silvas, Alfonso	Asst. Soccer	Segerstrom	November 18, 2013		\$18.98	
Tenorio, Rafael	Asst. Wrestling	Santa Ana	November 18, 2013		\$18.98	
Torres, Rosaura	Asst. Soccer	Saddleback	November 18, 2013		\$18.98	
Truong, Hai	Asst. Basketball	Saddleback	November 18, 2013		\$18.98	
Velasquez, Javier	Asst. Soccer	Santa Ana	November 13, 2013		\$18.98	
Zuniga, Eric	Asst. Soccer	Saddleback	November 18, 2013		\$18.98	
Zuniga-Magno, Oscar	Asst. Soccer	Saddleback	November 18, 2013		\$18.98	

**AGENDA ITEMS REQUESTS  
CLASSIFIED**

2013-14 School Year

<b>TITLE OF ACTIVITY</b>	<b>SITE</b>	<b>FUNDING</b>	<b>NOT TO EXCEED</b>	<b>EFFECTIVE</b>
After School Support	Greenville Fundamental School	Economic Impact Aid for English Learners	\$2,000	December 10, 2013
Bilingual Instructional Assistant	Romero Cruz Elementary School	Title I	\$500	December 10, 2013
AVID Tutors	Godinez Fundamental High School	OCDE Destination Graduation	\$3,754	December 11, 2013
AVID Tutors - Instructional Providers	Segerstrom High School	Destination Grant (United Way)	\$4,000	December 11, 2013
Century Custodial	Century High School	General Fund	\$1,000	December 11, 2013
Classified Extra Duty	Century High School	General Fund	\$1,000	December 11, 2013
Classified Extra Duty Assignments	Fremont Elementary School	General Fund	\$1,000	December 11, 2013
Classified Extra Duty - Clerical (Ratification)	Willard Intermediate School	EIA-SCE	\$2,000	October 1, 2013
Classified Extra Duty - Library Media Technician (Ratification)	Willard Intermediate School	EIA-SCE	\$2,000	October 1, 2013
Computer Lab Support	Santiago Elementary School	EIA-SCE	\$400	December 11, 2013
Computer Technician Assistant - Classified	Spurgeon Intermediate School	Title I	\$350	December 11, 2013
Custodial Extra Duty	Century High School	General	\$1,000	December 11, 2013
English Language Development Support (Ratification)	Adams Elementary School	EIA-LEP	\$3,000	December 1, 2013
Library Support	Santiago Elementary School	EIA-SCE	\$300	December 11, 2013
Preschool Teachers - Extra Help	English Learner Programs & Student Achievement	Title I	\$600	December 11, 2013



**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                    **Acceptance of Gifts in Accordance with Board Policy 3290 – Gifts, Grants, and Bequests**

**ITEM:**                    **Consent**

**SUBMITTED BY:**   **Dawn Miller, Assistant Superintendent, Secondary Education**

**PREPARED BY:**    **Dawn Miller, Assistant Superintendent, Secondary Education**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board acceptance of gifts, grants, and bequests on behalf of school sites and the District. For purposes of determining the estimated value of a gift, the District does not perform an appraisal or other such valuation, rather simply reports the value of the gift as provided by the donor.

**RATIONALE:**

The Board may accept any bequest or gift of money or property on behalf of the District. While greatly appreciating suitable donations, the Board discourages any gifts which may directly or indirectly impair its commitment to provide equal educational opportunities for all District students. The Board shall carefully evaluate any conditions or restrictions imposed by the donor in light of District philosophy and operations. If the Board believes the District will be unable to fully satisfy the donor's conditions, the gift shall not be accepted. Gift books and instructional materials shall be accepted only if they meet District criteria. At the Superintendent or designee's discretion, a gift may be used at a particular school.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Accept gifts in accordance with Board Policy (BP) 3290 – Gifts, Grants, and Bequests.

  
DM:lr

SANTA ANA UNIFIED SCHOOL DISTRICT  
GIFTS RECOMMENDED FOR ACCEPTANCE - December 10, 2013

School:	Gift:	Amount:	Donor:	Used for:
Carver Elementary		\$5,000	Carver PTO Mrs. Maria Nunez Santa Ana	Instructional materials, field trips, and student incentives
Franklin Elementary		\$614	Boy Scout Troop #691 Mr. William Perry Irvine	Instructional materials, field trips, and student incentives
Lincoln Elementary		\$5,161	Lincoln PTA Mrs. Leslie Horta Santa Ana	Field trips
Muir Fundamental Elementary		\$20,196	Muir Fundamental PTA Mrs. Connie Gonzalez Santa Ana	Field trips
Muir Fundamental Elementary		\$3,000	Eagle Boosters Mr. Randy Carrillo Santa Ana	Outdoor Science Camp buses
Romero-Cruz Elementary		\$3,250	PTO Parent Ms. Silvia Jimenez Santa Ana	Fieldtrip Transportation and Smartboards
Roosevelt Elementary		\$700	First American Mrs. Victoria Chaisson Santa Ana	Instructional materials
Santiago Elementary		\$327	Santiago PTA 2212 N. Baker Street Santa Ana	Student Incentives
Washington Elementary		\$360	Irvine Wal-Mart Store 16555 Von Karman Ave. Ste. A Irvine	Student Incentives
Century High School		\$1,000	Project Tomorrow Ms. Marianne Miller Irvine	Instructional materials
Godinez Fundamental High School		\$691	Target Corporation Mr. Gregg Steinhafel Minneapolis, MN	Instructional materials

<b>School:</b>	<b>Gift:</b>	<b>Amount:</b>	<b>Donor:</b>	<b>Used for:</b>
Lorin Griset Academy		\$500	Santa Ana Kiwanis Club Ms. Luisa Ruiz Santa Ana	Instructional materials, field trips, and student incentives
Middle College High School		\$3,000	"GOT MILK" Challenge Ms. Elizabeth Adams Los Angeles	Student activities
Saddleback High School		\$955	Target Corporation Mr. Gregg Steinhafel Minneapolis, MN	Student recognition
Saddleback High School		\$500	RB Vasquez and Associates, Inc. Ms. Krystle Corral Los Angeles	Telemundo's Cheerleader Competition
Segerstrom High School		\$500	Weboffset Inc. Ms. Brenda Medina Newport Beach	Girls Basketball 2013-14 Florida Girls Basketball Tournament
Segerstrom High School		\$718	Segerstrom High School Coach Don Apodaca Santa Ana	Wrestling supplies
<b>December 10, 2013 donations</b>		<b>\$46,472</b>		
<b>2013 Total donations</b>	<b>\$335,324</b>	<b>\$381,796</b>		

For purposes of determining the estimated value of a gift, the District does not perform an appraisal or other such valuation, rather simply reports the value of the gift as provided by the donor.

Dm:lr

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                   **Approval of Four Quality Education Investment Act (QEIA)  
Waiver Applications to State Board of Education**

**ITEM:**                   **Public Hearing**

**SUBMITTED BY:** **Dawn Miller, Assistant Superintendent, Secondary Education**

**PREPARED BY:** **Nuria Solis, Director, English Learner Programs and Student  
Achievement**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is for the Board to conduct a public hearing to request approval from the State Board of Education for four waivers under the Quality Education Investment Act (QEIA) of the California Education Code Section 52055.740, waiving the *Rule of 27* class size requirements as follows:

**Waiver 1** Approval on behalf of Lathrop Intermediate School to submit a request to waive the "*Rule of 27*" to the California Department of Education.

**Waiver 2** Approval on behalf of Willard Intermediate School to submit a request to waive the "*Rule of 27*" to the California Department of Education.

**Waiver 3** Approval on behalf of Sierra Preparatory Academy Intermediate School to submit a request to waive the "*Rule of 27*" to the California Department of Education.

**Waiver 4** Approval on behalf of Century High School to submit a request to waive the "*Rule of 27*" to the California Department of Education.

**RATIONALE:**


Administration would like to seek four waivers from the State Board of Education to qualify for continued QEIA funding for the 2014-15 school year. QEIA schools that did not meet all monitored QEIA program requirements for the 2012-13 school year, will not qualify for QEIA funding in the 2014-15 school year without an approved SBE waiver.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Conduct a public hearing for four Quality Education Investment Act (QEIA) waiver applications to the State Board of Education.

DM:NS:lr 



**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**           **Approval of Submission for Quality Education Investment Act (QEIA) Waiver Application to State Board of Education on Behalf of Lathrop and Willard Intermediate Schools, Sierra Preparatory Academy Intermediate School, Century High School**

**ITEM:**           **Action**  
**SUBMITTED BY:** **Dawn Miller, Assistant Superintendent, Secondary Education**  
**PREPARED BY:** **Nuria Solis, Director, English Learner Programs and Student Achievement**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval to submit four waiver applications to the State Board of Education (SBE) under the Quality Education Investment Act (QEIA) of the California Education Code Section 52055.740 regarding the "Rule of 27" class size requirements. QEIA regulations require an average of 25 pupils per class in grades 6 through 12 and no class over 27 students, as set forth in the Class Size Reduction Program established in 1996. A waiver will be submitted for Lathrop and Willard intermediate schools and Sierra Preparatory Intermediate School, and Century High School because the "Rule of 27" was not met for the 2012-13 school year.

**RATIONALE:**

Administration would like to seek a waiver from the SBE to ensure continued funding for the 2013-14 school year. QEIA schools that did not meet all monitored QEIA program requirements for the 2012-13 school year and do not have an approved SBE waiver, will not qualify for the 2014-15 school year funding. There are a total of 52 teachers who are currently QEIA funded.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve the submission of Quality Education Investment Act (QEIA) waiver application to the State Board of Education on behalf of Lathrop and Willard intermediate schools, Sierra Preparatory Academy Intermediate School, and Century High School.

DM:NS:lr 

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Acceptance of Annual Financial Audit Report for Fiscal Year 2012-13

**ITEM:** Action

**SUBMITTED BY:** Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO

**PREPARED BY:** Christeen Betz, Director, Accounting

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is for the Board to accept the District's annual financial audit report for fiscal year 2012-13. The District's independent auditors Vavrinek, Trine, Day & Co., LLP will present and provide an assessment of the District's financial condition and internal controls regarding both 2011-12 and 2012-13 audit findings.

**RATIONALE:**

The State requires each district to submit an Audited Financial Statement by December 15, 2013, for the preceding fiscal year which ended June 30, 2013.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Accept the annual financial audit report for fiscal year 2012-13.



**Santa Ana Unified School District**

**2012-13  
Year-End Audit**

***December 10, 2013***

Presented by Royce Townsend, CPA  
Vavrinek, Trine, Day, & Co., LLP

Getting to the Core



**Superior Standards**

**Capital**

**Successful Students**



# What is an Audit?

- A process designed to provide “reasonable assurance” about whether the financial statements are free of material misstatement
- Auditor’s opinion – unmodified (previously referred to as unqualified) is highest assurance provided
- How do we get there?
  - Risk assessment
  - Understand and review internal controls
  - Perform tests of transactions using a sampling approach

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# Audit Results for This Year

- Auditor's opinion was unmodified
  - What else does the auditor's opinion tell you?
    - Management's responsibility
    - Auditor's responsibility
    - Required supplementary information – no opinion rendered
    - Opinion on other supplementary information
    - Reference to internal control report (Government Audit Standards or GASB report)

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# Other Audit Results

- Were there any adjustment to the financial statements?
  - There were no adjustments to the financial statements
- Were there any significant deficiencies or material weaknesses in internal control reported (GAS report)?
  - There were no internal control issues to report

Getting to the Core



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# Other Audit Results

- Report of Federal Awards?
  - Unmodified opinion with a finding
  - There were no internal control issues to report
- Report of State Awards?
  - Unmodified opinion
- Management letter
  - Opportunity to strengthen internal controls

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# SAS 114 Letter



- Communication with those Charged with Governance
  - Auditor's responsibility
  - Significant audit findings - None
  - Accounting practices – Implementation of new GASB pronouncement (GASB Statement No. 63)
  - Significant accounting estimates – claims liabilities
  - No material misstatements noted
  - No disagreements with management

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# Questions?



Getting to the Core



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**SANTA ANA UNIFIED  
SCHOOL DISTRICT**

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**ANNUAL FINANCIAL REPORT**

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**JUNE 30, 2013**

# SANTA ANA UNIFIED SCHOOL DISTRICT

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JUNE 30, 2013

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# SANTA ANA UNIFIED SCHOOL DISTRICT

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***FINANCIAL SECTION***

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## INDEPENDENT AUDITORS' REPORT

Governing Board  
Santa Ana Unified School District  
Santa Ana, California

### Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the Santa Ana Unified School District (the District) as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the District's basic financial statements as listed in the table of contents.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and *Standards and Procedures for Audits of California K-12 Local Education Agencies 2012-2013*, issued by the California Education Audit Appeals Panel as regulations. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the District's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the District's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

## **Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, each major fund, and the aggregate remaining fund information of the Santa Ana Unified School District, as of June 30, 2013, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

## **Other Matters**

### *Required Supplementary Information*

Accounting principles generally accepted in the United States of America require that the required supplementary information, such as management's discussion and analysis on pages 5 through 14 and budgetary comparison and other postemployment benefit information on pages 63 and 64, respectively, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

### *Other Information*

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the Santa Ana Unified School District's basic financial statements. The accompanying supplementary information such as the Schedule of Expenditures of Federal Awards, as required by Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations* and the other supplementary information, as listed on the table of contents, are presented for purposes of additional analysis and are not a required part of the basic financial statements.

The supplementary information listed on the table of contents is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the accompanying supplementary information is fairly stated, in all material respects, in relation to the basic financial statements as a whole.



**Other Reporting Required by *Government Auditing Standards***

In accordance with *Government Auditing Standards*, we have also issued our report dated December 5, 2013, on our consideration of the Santa Ana Unified School District's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Santa Ana Unified School District's internal control over financial reporting and compliance.

VADZINSK, TRINE, Day + Co, LLP

Rancho Cucamonga, California  
December 5, 2013

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# Santa Ana Unified School District

Thelma Meléndez de Santa Ana, Ph.D., Superintendent

This section of Santa Ana Unified School District's (the District) annual financial report presents our discussion and analysis of the District's financial performance during the fiscal year that ended on June 30, 2013. Please read it in conjunction with the District's financial statements, which immediately follow this section.

## OVERVIEW OF THE FINANCIAL STATEMENTS

### The Financial Statements

The financial statements presented herein include all of the activities of the District and its component units using the integrated approach as prescribed by Governmental Accounting Standards Board (GASB) Statement No. 34.

The *Government-Wide Financial Statements* present the financial picture of the District from the economic resources measurement focus using the accrual basis of accounting. They present governmental activities. These statements include all assets of the District (including capital assets), as well as all liabilities (including long-term obligations). Additionally, certain eliminations have occurred as prescribed by the statement in regards to interfund activity, payables, and receivables.

The *Fund Financial Statements* include statements for each of the two categories of activities: governmental and fiduciary.

The *Governmental Activities* are prepared using the current financial resources measurement focus and modified accrual basis of accounting.

The *Fiduciary Activities* are prepared using the economic resources measurement focus and the accrual basis of accounting.

*Reconciliation of the Fund Financial Statements to the Government-Wide Financial Statements* is provided to explain the differences created by the integrated approach.

The Primary unit of the government is the Santa Ana Unified School District.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## MANAGEMENT'S DISCUSSION AND ANALYSIS

JUNE 30, 2013

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### REPORTING THE DISTRICT AS A WHOLE

#### The Statement of Net Position and the Statement of Activities

The *Statement of Net Position* and the *Statement of Activities* report information about the District as a whole and about its activities. These statements include all assets and liabilities of the District using the accrual basis of accounting, which is similar to the accounting used by most private-sector companies. All of the current year's revenues and expenses are taken into account regardless of when cash is received or paid.

These two statements report the District's net position and changes in them. Net position is the difference between assets and deferred outflows of resources, and liabilities and deferred inflows of resources, which is one way to measure the District's financial health, or financial position. Over time, increases or decreases in the District's net position will serve as a useful indicator of whether the financial position of the District is improving or deteriorating. Other factors to consider are changes in the District's property tax base and the condition of the District's facilities.

The relationship between revenues and expenses is the District's *operating results*. Since the governing board's responsibility is to provide services to our students and not to generate profit as commercial entities do, one must consider other factors when evaluating the overall health of the District. The quality of the education and the safety of our schools will likely be an important component in this evaluation.

In the *Statement of Net Position* and the *Statement of Activities*, we separate the District activities as follows:

**Governmental Activities** – The District reports all of its services in this category. This includes the education of kindergarten through grade twelve students, adult education students, the operation of child development activities, and the on-going effort to improve and maintain buildings and sites. Property taxes, State income taxes, user fees, interest income, Federal, State, and local grants, as well as general obligation bonds, finance these activities.

### REPORTING THE DISTRICT'S MOST SIGNIFICANT FUNDS

#### Fund Financial Statements

The fund financial statements provide detailed information about the most significant funds - not the District as a whole. Some funds are required to be established by State law and by bond covenants. However, management establishes many other funds to help it control and manage money for particular purposes or to show that it is meeting legal responsibilities for using certain taxes, grants, and other money that it receives from the U.S. Department of Education.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## MANAGEMENT'S DISCUSSION AND ANALYSIS JUNE 30, 2013

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**Governmental Funds** - Most of the District's basic services are reported in governmental funds, which focus on how money flows into and out of those funds and the balances left at year-end that are available for spending. These funds are reported using an accounting method called modified accrual accounting, which measures cash and all other financial assets that can readily be converted to cash. The governmental fund statements provide a detailed short-term view of the District's general government operations and the basic services it provides. Governmental fund information helps determine whether there are more or fewer financial resources that can be spent in the near future to finance the District's programs. The differences of results in the governmental fund financial statements to those in the government-wide financial statements are explained in a reconciliation following each governmental fund financial statement.

**Proprietary Funds** - When the District charges users for the services it provides, whether to outside customers or to other departments within the District, these services are generally reported in proprietary funds. Proprietary funds are reported in the same way that all activities are reported in the *Statement of Net Position* and the *Statement of Revenues, Expenses, and Changes in Fund Net Position*. In fact, the District's enterprise funds are the same as the business-type activities we report in the government-wide statements, but provide more detail and additional information, such as cash flows, for proprietary funds. We use internal service funds (the other component of proprietary funds) to report activities that provide supplies and services for the District's other programs and activities, such as the District's Self-Insurance Fund. The internal service funds are reported with governmental activities in the government-wide financial statements.

### THE DISTRICT AS A TRUSTEE

#### Reporting the District's Fiduciary Responsibilities

The District is the trustee, or *fiduciary*, for funds held on behalf of others, like our funds for associated student body activities, scholarships, employee retiree benefits, and pensions. The District's fiduciary activities are reported in the *Statement of Net Position*. We exclude these activities from the District's other financial statements because the District cannot use these assets to finance its operations. The District is responsible for ensuring that the assets reported in these funds are used for their intended purposes.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2013**

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**THE DISTRICT AS A WHOLE**

**Net Position**

The District's net position was \$638.8 million for the fiscal year ended June 30, 2013, reflecting a decrease of 2.2 percent since June 30, 2012. Of this amount, \$121.4 million was restricted. Restricted net position is reported separately to show legal constraints from debt covenants and enabling legislation that limit the governing board's ability to use that net position for day-to-day operations. Our analysis below, in summary form, focuses on the net position (Table 1) and change in net position (Table 2) of the District's governmental activities.

**Table 1**

	Governmental Activities	
	2013	2012
<b>Assets</b>		
Current and other assets	\$ 316,417,738	\$ 406,570,006
Capital assets	897,035,925	833,653,218
<b>Total Assets</b>	<b>1,213,453,663</b>	<b>1,240,223,224</b>
<b>Liabilities</b>		
Current liabilities, including current portion of long-term obligations	99,457,716	123,804,293
Long-term obligations	475,207,835	463,043,862
<b>Total Liabilities</b>	<b>574,665,551</b>	<b>586,848,155</b>
<b>Net Position</b>		
Net investment in capital assets	476,986,325	492,427,427
Restricted	121,364,866	156,296,855
Unrestricted	40,436,921	4,650,787
<b>Total Net Position</b>	<b>\$ 638,788,112</b>	<b>\$ 653,375,069</b>

The \$40.4 million in unrestricted net position of governmental activities represents the accumulated results of all past years' operations. Unrestricted net position – the part of net position that can be used to finance day-to-day operations without constraints established by debt covenants, enabling legislation, or other legal requirements – increased to \$40.4 million compared to \$4.6 million.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2013**

**Changes in Net Position**

The results of this year's operations for the District as a whole are reported in the *Statement of Activities* on page 16. Table 2 takes the information from the statement, rounds off the numbers, and rearranges them slightly so you can see our total revenues for the year.

**Table 2**

	<u>Governmental Activities</u>	
	<u>2013</u>	<u>2012</u>
<b>Revenues</b>		
Program revenues:		
Charges for services	\$ 3,681,736	\$ 3,599,308
Operating grants and contributions	155,230,156	187,827,874
Capital grants and contributions	9,832,370	62,996,190
General revenues:		
Federal and State aid not restricted	221,296,443	241,503,171
Property taxes	131,052,312	98,947,477
Other general revenues	37,659,815	20,010,589
<b>Total Revenues</b>	<u>558,752,832</u>	<u>614,884,609</u>
<b>Expenses</b>		
Instruction	319,731,749	333,923,996
Instruction-related	71,064,629	66,664,454
Student support services	64,599,324	61,138,941
Administration	26,754,165	20,273,705
Plant services	52,659,113	47,179,729
Interest on long-term obligations	21,790,646	20,922,986
Other	16,740,163	15,673,066
<b>Total Expenses</b>	<u>573,339,789</u>	<u>565,776,877</u>
<b>Change in Net Position</b>	<u>\$ (14,586,957)</u>	<u>\$ 49,107,732</u>

**Governmental Activities**

As reported in the *Statement of Activities* on page 16, the cost of all of our governmental activities this year was \$573.3 million. However, the amount that our taxpayers ultimately financed for these activities through local taxes was only \$131.1 million because the cost was paid by those who benefited from the programs (\$3.7 million) or by other governments and organizations who subsidized certain programs with grants and contributions (\$165.1 million). We paid for the remaining "public benefit" portion of our governmental activities with \$259.0 million in State funds, and with other revenues, like interest and general entitlements.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## MANAGEMENT'S DISCUSSION AND ANALYSIS JUNE 30, 2013

In Table 3, we have presented the cost and net cost of each of the District's largest functions: regular program instruction, instruction-related programs, student support services, administration, plant services, and interest on long-term obligations, and all other services. As discussed above, net cost shows the financial burden that was placed on the District's taxpayers by each of these functions. Providing this information allows our citizens to consider the cost of each function in comparison to the benefits they believe are provided by that function.

**Table 3**

	Total Cost of Services		Net Cost of Services	
	2013	2012	2013	2012
Instruction	\$ 319,731,749	\$ 333,923,996	\$ 232,047,198	\$ 159,593,350
Instruction-related	71,064,629	66,664,454	43,341,443	40,494,568
Student support services	64,599,324	61,138,941	21,518,325	17,304,060
Administration	26,754,165	20,273,705	23,292,515	16,095,398
Plant services	52,659,113	47,179,729	51,337,914	44,977,474
Interest on long-term obligations	21,790,646	20,922,986	21,790,646	20,922,986
Other	16,740,163	15,673,066	11,267,486	11,965,669
<b>Total</b>	<b>\$ 573,339,789</b>	<b>\$ 565,776,877</b>	<b>\$ 404,595,527</b>	<b>\$ 311,353,505</b>

### THE DISTRICT'S FUNDS

As the District completed this year, our governmental funds reported a combined fund balance of \$206.6 million, which is a decrease of \$51.8 million from last year (Table 4).

**Table 4**

	Balances and Activity			
	July 1, 2012	Revenues	Expenditures	June 30, 2013
General Fund	\$ 84,250,733	\$ 466,822,862	\$ 501,641,215	\$ 49,432,380
County School Facilities Fund	101,619,896	9,836,492	60,146,432	51,309,956
Special Reserve Fund for Capital Outlay Projects	1,115,184	33,841,720	1,444,108	33,512,796
Non-Major Governmental Funds	71,434,572	96,222,110	95,309,040	72,347,642
<b>Total</b>	<b>\$ 258,420,385</b>	<b>\$ 606,723,184</b>	<b>\$ 658,540,795</b>	<b>\$ 206,602,774</b>

The primary reasons for these decreases are:

- a. The General Fund showed a decrease of \$ 34.8 million
- b. The County School Facilities Fund showed an decrease of \$50.3 million



# SANTA ANA UNIFIED SCHOOL DISTRICT

## MANAGEMENT'S DISCUSSION AND ANALYSIS JUNE 30, 2013

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### General Fund Budgetary Highlights

Over the course of the year, the District revises its budget as it attempts to deal with unexpected changes in revenues and expenditures. The final amendment to the budget was adopted on May 28, 2013. (A schedule showing the District's original and final budget amounts compared with amounts actually paid and received is provided in our annual report on page 63.)

The key differences between the original budget, final budget, and actual results is attributable to:

- Re-allocation of categorical program carryover from the prior year, and
- Adjusted revenue and expenditures to project spending amounts and carryovers for current year.

As has been the practice of the District, Santa Ana Unified School District does not reallocate categorical program carryover from the prior year until the financial records for the prior year are closed. Consequently, the original budget does not include revenues or expenditures related to categorical carryover, while the final budget and actual results reflects these carryovers.

### CAPITAL ASSET AND DEBT ADMINISTRATION

#### Capital Assets

At June 30, 2013, the District had \$897.0 million in a broad range of capital assets (net of depreciation), including land, buildings, furniture and equipment. This amount represents a net increase (including additions, deductions, and depreciation) of \$63.3 million, or 7.6 percent, from last year (Table 5).

**Table 5**

	Governmental Activities	
	2013	2012
Land and construction in progress	\$ 183,984,346	\$ 305,705,716
Buildings and improvements	702,551,881	518,242,917
Furniture and equipment	10,499,698	9,704,585
<b>Total</b>	<b>\$ 897,035,925</b>	<b>\$ 833,653,218</b>

This year's additions of \$75.4 million (see Note 4) included several completed construction projects for critically over-crowded schools, modernization, new construction, vehicles and classroom equipment. The District will use the general obligation bond proceeds for construction and modernization of existing school facilities.

Several capital projects are planned for the 2013-2014 year. We anticipate capital additions to be \$44 million for the 2013-2014 year. We present more detailed information about our capital assets in Note 4 to the financial statements.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2013**

**Long-Term Obligations**

At the end of this year, the District had \$492.0 million in long-term obligations versus \$463.0 million last year, an increase of 6.3 percent. The obligations consisted of:

**Table 6**

	Governmental Activities	
	2013	2012
General obligation bonds - net (financed with property taxes)	\$ 338,689,479	\$ 338,777,570
Certificates of participation - net	84,797,284	55,617,853
Qualified zone academy bonds	11,500,000	11,500,000
Lease certificates	1,998,225	2,900,425
Compensated absences	603,099	498,299
Supplemental employment retirement plan	787,912	3,006,793
Claims liability	18,018,606	18,545,763
Other postemployment benefits	35,569,789	32,197,159
<b>Total</b>	<b>\$ 491,964,394</b>	<b>\$ 463,043,862</b>

The State limits the amount of general obligation debt that districts can issue to five percent of the assessed value of all taxable property within the District's boundaries. The District's outstanding general obligation debt of \$329.3 million is significantly below this \$639.6 billion statutorily-imposed limit.

Other obligations include certificates of participation, qualified zone academy bonds, lease certificates, compensated absences payable, other postemployment benefits and other long-term obligations. We present more detailed information regarding our long-term obligations in Note 9 of the financial statements.

**SIGNIFICANT ACCOMPLISHMENTS OF FISCAL YEAR 2012-2013 ARE NOTED BELOW:**

Award	Recipient(s)
California Distinguished School	Middle College High School
Title 1 Academic Achievement	Muir Fundamental School and Middle College High School
Regional Cooking Up Change	High School Inc. Academy at Valley High School Culinary Arts Team - 1 <sup>st</sup> and 2 <sup>nd</sup> Place
National Cooking Up Change Competition 2012 and 2013	High School Inc. Academy at Valley High School students - 1 <sup>st</sup> place in the Washington, D.C. competition
National Exemplary Bullying Prevention	Century High School
California Department of Education – State Attendance Review Board (SARB) Distinguished	SAUSD for its efforts to reduce student absenteeism
Division 5 Boys Varsity Soccer	Saddleback High School

# SANTA ANA UNIFIED SCHOOL DISTRICT

## MANAGEMENT'S DISCUSSION AND ANALYSIS JUNE 30, 2013

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<b>Winter Guard Association of Southern California AA Championship</b>	Santa Ana High School
<b>2nd highest rated High School in Orange County by OC Register</b>	Middle College High School
<b>National Center for Urban School Transformation 2012</b>	Thorpe Fundamental Elementary School
<b>2012 California Business for Education Excellence Honor Roll School</b>	Diamond Elementary, Douglas MacArthur Fundamental Intermediate, El Sol Santa Ana Science and Arts Academy, Franklin Elementary, Gonzalo Felicities Mendez Fundamental Intermediate, Greenville Fundamental Elementary, Hector G. Godinez High, Jim Thorpe Fundamental, John Muir Fundamental Elementary, Manuel Esqueda Elementary, Martin Elementary, Martin Luther King Jr. Elementary, Martin R. Heninger Elementary, and Monroe Elementary
<b>National Automotive Technicians Education Foundation Certification</b>	High School Inc. Academy at Valley High School - George Heidler Jr. Automotive Transportation and Logistics Academy 2012
<b>USA Spirit Nationals 2013</b>	Seegerstrom High School Varsity Dance Team and Junior Varsity Dance Team both won 1 <sup>st</sup> place the USA 2013 High School Spirit Nationals competition in the Song/Pom division.
<b>Orange League Champions 2012</b>	Valley High School – CIF Division 7 Water Polo Champions
<b>SD Bechtel Foundation Math Grant</b>	\$6 million -5 Year Grant
<b>Grant from California Endowment</b>	California Endowment for the development of the Community and Parent Involvement Framework and Outreach Plan.
<b>2012 Crime Survivors Community Policing Organization of the Year</b>	Santa Ana Unified School District Police Department
<b>2012 O.C. Human Relations Community-Oriented Policing Award</b>	Santa Ana Unified School District Police Department
<b>2013 Crime Survivors Outstanding Leadership in Community</b>	Santa Ana Unified School District Police Department

### ECONOMIC FACTORS AND NEXT YEAR'S BUDGETS AND RATES

In considering the District Budget for the 2013-2014 year, the governing board and management used the following criteria:

The key revenue forecast assumptions are as follows:

- (1) The budget was being adopted according to the statute prior to June 30, 2013 and prior to the new State funding formula being signed into law. The key components of the July 1 budget include:
  - (a) Revenue Limit deficit of -18.997 percent;
  - (b) Statutory COLA of 1.565 percent;
  - (c) Federal sequestration cut of -5.2 percent;
  - (d) Mandated Block Grant.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## MANAGEMENT'S DISCUSSION AND ANALYSIS

JUNE 30, 2013

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- (2) The District's major source of income is from the Revenue Limit. Since the 2003-2004 fiscal year, the District has been experiencing a declining enrollment with losses peaking at 2,300+ students in 2005-2006. In 2010-2011 and 2011-2012, the District experienced a loss of 609 and 482 students, respectively. For 2012-2013 the District enrollment decreased by -1. The District is projecting a decrease of enrollment by -125 for the 2013-14 fiscal year.

Expenditures were based on the following:

- (1) Staffing ratios:

	<u>Staffing Ratio</u>	<u>Enrollment</u>
Kindergarten	31:1	4,615
Grade one	30:1	4,812
Grade two	30:1	4,522
Grade three	30:1	4,283
Grades four through five	34:1	8,283
Grades six through eight	33.5:1	11,772
Grades nine through twelve	32.5:1	15,209

- (2) The budget includes \$16.5 million in Board approved budget reductions. Inclusive of this amount, the District has made budget reductions totaling \$246.3 million over the past ten years.

This financial report is designed to provide our citizens, taxpayers, students, and investors and creditors with a general overview of the District's finances and to show the District's accountability for the money it receives. If you have questions about this report or need any additional financial information, contact the Director of Accounting, at Santa Ana Unified School District, 1601 East Chestnut Avenue, Santa Ana, California, 92701-6322, or e-mail at [christeen.betz@sausd.us](mailto:christeen.betz@sausd.us).

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**STATEMENT OF NET POSITION  
JUNE 30, 2013**

	<u>Governmental Activities</u>
<b>ASSETS</b>	
Deposits and investments	\$ 225,631,500
Receivables	85,692,224
Deferred cost on issuance	3,052,886
Deferred charges on refunding	620,529
Stores inventories	1,420,599
Capital assets	
Land and construction in process	183,984,346
Other capital assets	867,523,954
Less: accumulated depreciation	<u>(154,472,375)</u>
Total Capital Assets	<u>897,035,925</u>
<b>Total Assets</b>	<b><u>1,213,453,663</u></b>
<b>LIABILITIES</b>	
Accounts payable	32,016,626
Accrued interest payable	4,325,602
Deferred revenue	1,358,929
Current loan	45,000,000
Long-term obligations	
Current portion of long-term obligations	16,756,559
Noncurrent portion of long-term obligations	<u>475,207,835</u>
Total Long-Term Liabilities	<u>491,964,394</u>
<b>Total Liabilities</b>	<b><u>574,665,551</u></b>
<b>NET POSITION</b>	
Net investment in capital assets	476,986,325
Restricted for:	
Debt service	20,563,476
Capital projects	61,365,190
Educational programs	9,753,713
Other activities	29,682,487
Unrestricted	40,436,921
<b>Total Net Position</b>	<b><u>\$ 638,788,112</u></b>

The accompanying notes are an integral part of these financial statements.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**STATEMENT OF ACTIVITIES  
FOR THE YEAR ENDED JUNE 30, 2013**

Functions/Programs	Expenses	Program Revenues			Net (Expenses)
		Charges for Services and Sales	Operating Grants and Contributions	Capital Grants and Contributions	Revenues and Changes in Net Position
					Governmental Activities
<b>Governmental Activities:</b>					
Instruction	\$ 319,731,749	\$ 950,784	\$ 76,901,397	\$ 9,832,370	\$ (232,047,198)
Instruction-related activities:					
Supervision of instruction	32,809,179	38,678	23,367,166	-	(9,403,335)
Instructional library, media, and technology	3,798,533	4,045	93,140	-	(3,701,348)
School site administration	34,456,917	7,164	4,212,993	-	(30,236,760)
Pupil services:					
Home-to-school transportation	10,443,561	-	1,945,266	-	(8,498,295)
Food services	29,585,854	2,042,453	26,836,419	-	(706,982)
All other pupil services	24,569,909	118,332	12,138,529	-	(12,313,048)
Administration:					
Data processing	4,465,454	-	-	-	(4,465,454)
All other administration	22,288,711	71,093	3,390,557	-	(18,827,061)
Plant services					
Facility acquisition and construction	861,549	-	-	-	(861,549)
Ancillary services	4,160,275	718	10,751	-	(4,148,806)
Community services	49,941	23	151	-	(49,767)
Enterprise services	3,287	-	-	-	(3,287)
Interest on long-term obligations	21,790,646	-	-	-	(21,790,646)
Other outgo	11,665,111	425,822	5,035,212	-	(6,204,077)
<b>Total Governmental Activities</b>	<b>\$ 573,339,789</b>	<b>\$ 3,681,736</b>	<b>\$ 155,230,156</b>	<b>\$ 9,832,370</b>	<b>(404,595,527)</b>
<b>General revenues and subventions:</b>					
					99,471,138
					19,055,538
					12,525,636
					221,296,443
					706,773
					36,953,042
					<b>390,008,570</b>
					<b>(14,586,957)</b>
					<b>653,375,069</b>
					<b>\$ 638,788,112</b>

The accompanying notes are an integral part of these financial statements.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**GOVERNMENTAL FUNDS  
BALANCE SHEET  
JUNE 30, 2013**

	General Fund	County School Facilities Fund	Special Reserve Fund for Capital Outlay Projects	Non-Major Governmental Funds	Total Governmental Funds
<b>ASSETS</b>					
Deposits and investments	\$ 40,687,218	\$ 54,422,724	\$ 33,222,791	\$ 70,074,505	\$ 198,407,238
Receivables	71,211,354	14,606	519,121	13,407,385	85,152,466
Due from other funds	8,871,195	3,527,098	-	3,347,313	15,745,606
Stores inventories	1,002,221	-	-	418,378	1,420,599
<b>Total Assets</b>	<b>\$ 121,771,988</b>	<b>\$ 57,964,428</b>	<b>\$ 33,741,912</b>	<b>\$ 87,247,581</b>	<b>\$ 300,725,909</b>
<b>LIABILITIES AND FUND BALANCES</b>					
<b>Liabilities:</b>					
Accounts payable	\$ 18,717,062	\$ 6,011,252	\$ 104,116	\$ 6,509,728	\$ 31,342,158
Due to other funds	7,263,617	643,220	125,000	8,390,211	16,422,048
Current loan	45,000,000	-	-	-	45,000,000
Deferred revenue	1,358,929	-	-	-	1,358,929
<b>Total Liabilities</b>	<b>72,339,608</b>	<b>6,654,472</b>	<b>229,116</b>	<b>14,899,939</b>	<b>94,123,135</b>
<b>Fund Balances:</b>					
Nonspendable	1,172,221	-	-	436,053	1,608,274
Restricted	9,686,926	51,309,956	-	70,786,181	131,783,063
Committed	-	-	-	1,125,408	1,125,408
Assigned	28,606,378	-	33,512,796	-	62,119,174
Unassigned	9,966,855	-	-	-	9,966,855
<b>Total Fund Balances</b>	<b>49,432,380</b>	<b>51,309,956</b>	<b>33,512,796</b>	<b>72,347,642</b>	<b>206,602,774</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 121,771,988</b>	<b>\$ 57,964,428</b>	<b>\$ 33,741,912</b>	<b>\$ 87,247,581</b>	<b>\$ 300,725,909</b>

The accompanying notes are an integral part of these financial statements.

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**SANTA ANA UNIFIED SCHOOL DISTRICT**

**RECONCILIATION OF THE GOVERNMENTAL FUNDS BALANCE SHEET  
TO THE STATEMENT OF NET POSITION  
JUNE 30, 2013**

<b>Total Fund Balance - Governmental Funds</b>		<b>\$ 206,602,774</b>
<b>Amounts Reported for Governmental Activities in the Statement of Net Position are Different Because:</b>		
Capital assets used in governmental activities are not financial resources and, therefore, are not reported as assets in governmental funds.		
The cost of capital assets is	\$ 1,051,508,300	
Accumulated depreciation is	<u>(154,472,375)</u>	
Net Capital Assets		897,035,925
Expenditures relating to issuance of debt of next fiscal year were recognized on modified accrual basis, but are not recognized on the accrual basis.		3,052,886
In governmental funds, unmatured interest on long-term obligations is recognized in the period when it is due. On the government-wide financial statements, unmatured interest on long-term obligations is recognized when it is incurred.		(4,325,602)
An internal service fund is used by the District's management to charge the costs of the workers' compensation insurance program to the individual funds. The assets and liabilities of the internal service fund are included with governmental activities.		9,747,388
Deferred amounts on refunding (difference between the reacquisition price and net carrying amount of refunded debt) are capitalized and amortized over the remaining life of the new or old debt (whichever is greater) are included with governmental activities.		620,529
Long-term obligations, including bonds payable, are not due and payable in the current period and, therefore, are not reported as liabilities in the funds.		
Long-term obligations at year-end consist of:		
General obligation bonds	293,589,377	
Premium on issuance of bonds	9,360,372	
Certificates of participation	68,033,748	
Premium on issuance of certificates	1,293,093	
Qualified zone academy bonds	11,500,000	
Lease certificates	1,998,225	
Compensated absences (vacations)	603,099	
Supplemental employment retirement plan	787,912	
Net OPEB obligation	35,569,789	
In addition, the District has issued "capital appreciation" bonds and certificates. The accretion of interest on those bonds and certificates to date is the following:		
	<u>51,210,173</u>	
<b>Total Long-Term Obligations</b>		<b>(473,945,788)</b>
<b>Total Net Position - Governmental Activities</b>		<b><u>\$ 638,788,112</u></b>

The accompanying notes are an integral part of these financial statements.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**GOVERNMENTAL FUNDS  
STATEMENT OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCES  
FOR THE YEAR ENDED JUNE 30, 2013**

	General Fund	County School Facilities Fund	Special Reserve Fund for Capital Outlay Projects
<b>REVENUES</b>			
Revenue limit sources	\$ 276,288,078	\$ -	\$ -
Federal sources	58,536,218	-	-
Other State sources	120,157,860	9,531,218	-
Other local sources	11,740,706	305,274	3,841,720
<b>Total Revenues</b>	<b>466,722,862</b>	<b>9,836,492</b>	<b>3,841,720</b>
<b>EXPENDITURES</b>			
Current			
Instruction	313,096,361	-	-
Instruction-related activities:			
Supervision of instruction	30,896,278	-	-
Instructional library, media and technology	3,781,688	-	-
School site administration	34,372,867	-	-
Pupil services:			
Home-to-school transportation	10,442,282	-	-
Food services	101,823	-	-
All other pupil services	22,930,110	-	-
Administration:			
Data processing	4,482,982	-	-
All other administration	20,743,943	-	-
Plant services	44,012,737	65,280	21,712
Facility acquisition and construction	946,508	60,081,152	857,461
Ancillary services	4,181,139	-	-
Community services	49,685	-	-
Other outgo	3,109,718	-	-
Debt service			
Principal	-	-	-
Interest and other	262,944	-	564,935
<b>Total Expenditures</b>	<b>493,411,065</b>	<b>60,146,432</b>	<b>1,444,108</b>
<b>Excess (Deficiency) of Revenues Over Expenditures</b>	<b>(26,688,203)</b>	<b>(50,309,940)</b>	<b>2,397,612</b>
<b>Other Financing Sources (Uses)</b>			
Transfers in	100,000	-	-
Other sources - proceeds from bond issuance	-	-	30,000,000
Transfers out	(8,230,150)	-	-
Other uses - payment to escrow agent	-	-	-
<b>Net Financing Sources (Uses)</b>	<b>(8,130,150)</b>	<b>-</b>	<b>30,000,000</b>
<b>NET CHANGE IN FUND BALANCES</b>	<b>(34,818,353)</b>	<b>(50,309,940)</b>	<b>32,397,612</b>
<b>Fund Balances - Beginning</b>	<b>84,250,733</b>	<b>101,619,896</b>	<b>1,115,184</b>
<b>Fund Balances - Ending</b>	<b>\$ 49,432,380</b>	<b>\$ 51,309,956</b>	<b>\$ 33,512,796</b>

The accompanying notes are an integral part of these financial statements.

<b>Non-Major Governmental Funds</b>	<b>Total Governmental Funds</b>
\$ -	\$ 276,288,078
28,826,067	87,362,285
3,424,680	133,113,758
37,204,401	53,092,101
<u>69,455,148</u>	<u>549,856,222</u>
943,933	314,040,294
202,567	31,098,845
-	3,781,688
73,790	34,446,657
-	10,442,282
29,491,182	29,593,005
125,688	23,055,798
-	4,482,982
1,021,466	21,765,409
3,812,321	47,912,050
16,897,486	78,782,607
-	4,181,139
-	49,685
-	3,109,718
10,327,200	10,327,200
12,816,831	13,644,710
<u>75,712,464</u>	<u>630,714,069</u>
<u>(6,257,316)</u>	<u>(80,857,847)</u>
7,046,962	7,146,962
19,720,000	49,720,000
(546,576)	(8,776,726)
<u>(19,050,000)</u>	<u>(19,050,000)</u>
7,170,386	29,040,236
913,070	(51,817,611)
71,434,572	258,420,385
<u>\$ 72,347,642</u>	<u>\$ 206,602,774</u>

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**RECONCILIATION OF THE GOVERNMENTAL FUNDS STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES TO THE STATEMENT OF ACTIVITIES FOR THE YEAR ENDED JUNE 30, 2013**

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<b>Total Net Change in Fund Balances - Governmental Funds</b>		<b>\$ (51,817,611)</b>
<b>Amounts Reported for Governmental Activities in the Statement of Activities are Different Because:</b>		
Capital outlays to purchase or build capital assets are reported in governmental funds as expenditures; however, for governmental activities, those costs are shown in the Statement of Net Position and allocated over their estimated useful lives as annual depreciation expenses in the Statement of Activities.		
This is the amount by which capital outlays exceeds depreciation in the period.		
Capital outlays	\$ 79,162,664	
Depreciation expense	<u>(12,009,374)</u>	
Net Expense Adjustment		67,153,290
Cost write-off for cancelled capital assets: If a planned capital project is cancelled and will not be completed, costs previously capitalized as work in progress must be written off to expenses. Costs written off for canceled projects were:		(3,770,583)
In the Statement of Activities, certain operating expenses - compensated absences (vacations) and special termination benefits (retirement incentives) are measured by the amounts earned during the year. In the governmental funds, however, expenditures for these items are measured by the amount of financial resources used (essentially, the amounts actually paid). This year, there were special termination benefits paid in the amount of \$2,218,881. Vacation earned was more than the amounts paid by \$104,800.		2,114,081
In governmental funds, OPEB costs are recognized when employer contributions are made. In the Statement of Activities, OPEB costs are recognized on the accrual basis. This year, the difference between OPEB costs and actual employer contributions was:		(3,372,630)
Proceeds received from certificates of participation is a revenue in the governmental funds, but it increases long-term obligations in the Statement of Net Position and does not affect the Statement of Activities.		(30,000,000)
Proceeds received from general obligation refunding bonds is a revenue, in the governmental funds, but it increases long-term obligations in the Statement of Net Position and does not affect the Statement of Activities.		(19,720,000)

The accompanying notes are an integral part of these financial statements.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**RECONCILIATION OF THE GOVERNMENTAL FUNDS STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES TO THE STATEMENT OF ACTIVITIES (Continued) FOR THE YEAR ENDED JUNE 30, 2013**

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Under the modified basis of accounting used in governmental funds, expenditures are not recognized for transactions that are not normally paid with expendable available financial resources. In the Statement of Activities, however, which is presented on the accrual basis, expenses and liabilities are reported regardless of when financial resources are available. This adjustment combines the net changes of the following balances:

Amortization of debt premium	\$ 497,509	
Amortization of cost of issuance	(135,208)	
Amortization of deferred gain on refunding	<u>(36,502)</u>	\$ 325,799

Payment of principal on long-term obligations is an expenditure in the governmental funds, but it reduces long-term obligations in the Statement of Net Position and does not affect the Statement of Activities:

General obligation bonds	26,155,000
Certificates of participation	2,320,000
Lease certificates	902,200

Interest on long-term obligations in the Statement of Activities differs from the amount reported in the governmental funds because interest is recorded as an expenditure in the funds when it is due, and thus requires the use of current financial resources. In the Statement of Activities, however, interest expense is recognized as the interest accrues, regardless of when it is due. The additional interest reported in the Statement of Activities is the result of the two factors. First, accrued interest on the general obligation bonds and certificates of participation decreased by \$93,447, and second, \$8,343,849 of additional interest was accreted on the District's capital appreciation general obligation bonds and certificates of participation.

(8,250,402)

An internal service fund is used by the District's management to charge the costs of the workers' compensation insurance program to the individual funds. The net deficit of the Internal Service Fund is reported with governmental activities.

3,373,899

**Change in Net Position of Governmental Activities**

\$ (14,586,957)

The accompanying notes are an integral part of these financial statements.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**PROPRIETARY FUNDS  
STATEMENT OF NET POSITION  
JUNE 30, 2013**

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	<b>Governmental Activities - Internal Service Fund</b>
<b>ASSETS</b>	
<b>Current Assets</b>	
Deposits and investments	\$ 27,224,262
Receivables	539,758
Due from other funds	6,698,610
<b>Total Current Assets</b>	<u>34,462,630</u>
<b>LIABILITIES</b>	
<b>Current Liabilities</b>	
Accounts payable	674,468
Due to other funds	6,022,168
Current portion of long-term obligations	3,524,948
<b>Total Current Liabilities</b>	<u>10,221,584</u>
<b>Noncurrent Liabilities</b>	
Noncurrent portion of long-term obligations	<u>14,493,658</u>
<b>NET POSITION</b>	
Restricted	9,747,388
<b>Total Net Position</b>	<u>\$ 9,747,388</u>

The accompanying notes are an integral part of these financial statements.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**PROPRIETARY FUNDS  
STATEMENT OF REVENUES, EXPENSES, AND CHANGES  
IN FUND NET POSITION  
FOR THE YEAR ENDED JUNE 30, 2013**

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	<u>Governmental Activities - Internal Service Fund</u>
<b>OPERATING REVENUES</b>	
Local and intermediate sources	\$ 8,727,330
<b>OPERATING EXPENSES</b>	
Payroll costs	770,402
Supplies and materials	50,567
Facility rental	33,670
Other operating cost	6,229,550
<b>Total Operating Expenses</b>	<u>7,084,189</u>
<b>Operating Income</b>	<u>1,643,141</u>
<b>NONOPERATING REVENUES</b>	
Interest income	100,994
Transfers in	1,629,764
<b>Total Nonoperating Revenues</b>	<u>1,730,758</u>
<b>Change in Net Position</b>	<u>3,373,899</u>
<b>Total Net Position - Beginning</b>	<u>6,373,489</u>
<b>Total Net Position - Ending</b>	<u>\$ 9,747,388</u>

The accompanying notes are an integral part of these financial statements.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**PROPRIETARY FUNDS  
STATEMENT OF CASH FLOWS  
FOR THE YEAR ENDED JUNE 30, 2013**

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	<b>Governmental Activities - Internal Service Fund</b>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>	
Cash receipts from customers	\$ 6,644,078
Other operating cash receipts	18,890
Cash payments to other suppliers of goods or services	(1,193,136)
Cash payments to employees for services	(1,357,709)
Other operating cash payments	(6,756,707)
Net Cash Used by Operating Activities	<u>(2,644,584)</u>
<b>CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES</b>	
Transfer from other funds	<u>1,629,764</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>	
Interest on investments	<u>100,994</u>
Net decrease in cash and cash equivalents	(913,826)
Cash and cash equivalents - Beginning	<u>28,138,088</u>
Cash and cash equivalents - Ending	<u><u>\$ 27,224,262</u></u>
 <b>RECONCILIATION OF OPERATING INCOME TO NET CASH USED BY OPERATING ACTIVITIES:</b>	
Operating income	\$ 1,643,141
Changes in assets and liabilities:	
Receivables	(37,774)
Due from other funds	(2,026,588)
Accounts payable	(1,108,899)
Due to other fund	(587,307)
Claims liability	(527,157)
<b>NET CASH USED BY OPERATING ACTIVITIES</b>	<u><u>\$ (2,644,584)</u></u>

The accompanying notes are an integral part of these financial statements.



**SANTA ANA UNIFIED SCHOOL DISTRICT**

**FIDUCIARY FUNDS  
STATEMENT OF NET POSITION  
JUNE 30, 2013**

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	<u>Agency Funds</u>
<b>ASSETS</b>	
Deposits and investments	\$ 3,058,696
<b>LIABILITIES</b>	
Due to student groups	\$ 1,454,403
Due to bondholders	1,604,293
<b>Total Liabilities</b>	<u>\$ 3,058,696</u>

The accompanying notes are an integral part of these financial statements.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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### NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

#### Financial Reporting Entity

The Santa Ana Unified School District (the District) was organized in 1888 under the laws of the State of California. The District operates under a locally elected five-member Board form of government and provides educational services to grades K-12 as mandated by the State and/or Federal agencies. The District operates thirty-six elementary schools, nine middle schools, six high schools, ten special schools/programs, and three alternative high schools.

A reporting entity is comprised of the primary government, component units, and other organizations that are included to ensure the financial statements are not misleading. The primary government of the District consists of all funds, departments, boards, and agencies that are not legally separate from the District. For Santa Ana Unified School District, this includes general operations, food service, and student related activities of the District.

#### Component Units

Component units are legally separate organizations for which the District is financially accountable. Component units may also include organizations that are fiscally dependent on the District, in that the District approves their budget, the issuance of their debt or the levying of their taxes. In addition, component units are other legally separate organizations for which the District is not financially accountable but the nature and significance of the organization's relationship with the District is such that exclusion would cause the District's financial statements to be misleading or incomplete. For financial reporting purposes, the component unit discussed below has a financial and operational relationship which meets the reporting entity definition criteria of the Governmental Accounting Standards Board (GASB) Statement No. 14, *The Financial Reporting Entity*, and thus is included in the financial statements of the District. The component unit, although a legally separate entity, is reported in the financial statements using the blended presentation method as if it were part of the District's operations because the governing board of the component unit is essentially the same as the governing board of the District and because its purpose is to finance the construction of facilities to be used for the direct benefit of the District.

The Santa Ana Unified School District Public Facilities Corporation (the Corporation) is a nonprofit, public benefit corporation incorporated under the laws of the State of California and recorded by the Secretary of State. The Corporation was formed for the sole purpose of providing financial assistance to the District by acquiring, constructing, financing, selling, and leasing public facilities, land, personal property and equipment for the use and benefit of the District. The District leases certain school facilities from the corporation under various lease-purchase agreements recorded in long-term obligations.

The Corporation's financial activity is presented in the financial statements as the Capital Project Fund for Blended Component Units and the Debt Service Fund for Blended Component Units. Certificates of participation issued by the Corporation are included as long-term obligations in the government-wide financial statements. Individually-prepared financial statements are not prepared for the Corporation.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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On August 24, 2004, the District voted to establish Community Facilities District (CFD) No. 2004-1 and to authorize the levy of special taxes. The purpose of the agreement is to provide for the issuance of certain debt obligations to provide and finance the design, acquisition and construction of certain public facilities, pursuant to the Mello-Roos Community Facilities Act of 1982, as amended. The CFD is authorized to levy special taxes on parcels of taxable property within the CFD to pay the principal and interest on the bonds. The CFD financial activity is presented in the Agency Fund. Debt instruments issued by the CFD do not represent liabilities of the District or component unit and is not included in the District-wide financial statements.

### Other Related Entities

**Charter School** The District has approved Charters for Orange County Educational Arts Academy (OCEAA), Edward B. Cole Senior Academy of Santa Ana, El Sol Science and Arts Academy of Santa Ana, NOVA Academy, and Orange County High School of the Arts pursuant to *Education Code* Section 47605. None of the Charter Schools are operated by the District, and their financial activities are not presented in the District's financial statements. The Charter Schools are operated by separate governing boards and are not considered component units of the District. The Charter Schools receive State and Federal funds for specific purposes that are subject to review and audit by the grantor agencies.

### Basis of Presentation Fund Accounting

The accounting system is organized and operated on a fund basis. A fund is defined as a fiscal and accounting entity with a self-balancing set of accounts, which are segregated for the purpose of carrying on specific activities or attaining certain objectives in accordance with special regulations, restrictions, or limitations. The District's funds are grouped into three broad fund categories: governmental, proprietary, and fiduciary.

**Governmental Funds** Governmental funds are those through which most governmental functions typically are financed. Governmental fund reporting focuses on the sources, uses, and balances of current financial resources. Expendable assets are assigned to the various governmental funds according to the purposes for which they may or must be used. Current liabilities are assigned to the fund from which they will be paid. The difference between governmental fund assets and liabilities is reported as fund balance. The following are the District's major and non-major governmental funds:

### Major Governmental Funds

**General Fund** The General Fund is the chief operating fund for all districts. It is used to account for the ordinary operations of the District. All transactions except those accounted for in another fund are accounted for in this fund.

One fund currently defined as a special revenue fund in the California State Accounting Manual (CSAM) does not meet the GASB Statement No. 54 special revenue fund definition. Specifically, Fund 17, Special Reserve Fund for Other Than Capital Outlay Projects is not substantially composed of restricted or committed revenue sources. While this fund is authorized by statute and will remain open for internal reporting purposes, this fund functions effectively as an extension of the General Fund, and accordingly has been combined with the General Fund for presentation in these audited financial statements.

As a result, the General Fund reflects an increase in assets and revenues of \$4 and \$7,809, respectively.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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**County School Facilities Fund** The County School Facilities Fund is established pursuant to *Education Code* Section 17070.43 to receive apportionments from the 1998 State School Facilities Fund (Proposition 1A), the 2002 State School Facilities Fund (Proposition 47), the 2004 State School Facilities Fund (Proposition 55), or the 2006 State Schools Facilities Fund (Proposition 1D) authorized by the State Allocation Board for new school facility construction, modernization projects, and facility hardship grants, as provided in the Leroy F. Greene School Facilities Act of 1998 (*Education Code* Section 17070 et seq.).

**Special Reserve Fund for Capital Outlay Projects** The Special Reserve Capital Outlay Projects Fund exists primarily to provide for the accumulation of General Fund monies for capital outlay purposes (*Education Code* Section 42840).

### Non-Major Governmental Funds

**Special Revenue Funds** The Special Revenue funds are established to account for the proceeds from specific revenue sources (other than trusts, major capital projects, or debt service) that are restricted or committed to the financing of particular activities and that compose a substantial portion of the inflows of the fund. Additional resources that are restricted, committed, or assigned to the purpose of the fund may also be reported in the fund.

**Child Development Fund** The Child Development Fund is used to account separately for Federal, State, and local revenues to operate child development programs and is to be used only for expenditures for the operation of child development programs.

**Cafeteria Fund** The Cafeteria Fund is used to account separately for Federal, State, and local resources to operate the food service program (*Education Code* Sections 38090-38093) and is used only for those expenditures authorized by the governing board as necessary for the operation of the District's food service program (*Education Code* Sections 38091 and 38100).

**Deferred Maintenance Fund** The Deferred Maintenance Fund is used to account separately for State apportionments and the District's contributions for deferred maintenance purposes (*Education Code* Sections 17582-17587) and for items of maintenance approved by the State Allocation Board.

**Capital Project Funds** The Capital Project funds are used to account for financial resources that are restricted, committed, or assigned to the acquisition or construction of major capital facilities and other capital assets (other than those financed by proprietary funds and trust funds).

**Building Fund** The Building Fund exists primarily to account separately for proceeds from the sale of bonds (*Education Code* Section 15146) and may not be used for any purposes other than those for which the bonds were issued.

**Capital Facilities Fund** The Capital Facilities Fund is used primarily to account separately for monies received from fees levied on developers or other agencies as a condition of approving a development (*Education Code* Sections 17620-17626). Expenditures are restricted to the purposes specified in *Government Code* Sections 65970-65981 or to the items specified in agreements with the developer (*Government Code* Section 66006).

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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**Capital Project Fund for Blended Component Units** The Capital Project Fund for Blended Component Units is used to account for capital projects financed by Mello-Roos Community Facilities Districts and similar entities that are considered blended component units of the District under generally accepted accounting principles (GAAP).

**Debt Service Funds** The Debt Service funds are used to account for the accumulation of restricted, committed, or assigned resources for and the payment of principal and interest on general long-term debt.

**Bond Interest and Redemption Fund** The Bond Interest and Redemption Fund is used for the repayment of bonds issued for a district (*Education Code* Sections 15125-15262).

**Debt Service Fund for Blended Component Units** This fund is used for the accumulation of resources for and the retirement of principal and interest on debt issued by entities that are considered blended component units of the District under GAAP.

**Proprietary Funds** Proprietary funds are used to account for activities that are more business-like than government-like in nature. Business-type activities include those for which a fee is charged to external users or to other organizational units of the local education agency, normally on a full cost-recovery basis. Proprietary funds are generally intended to be self-supporting and are classified as enterprise or internal service. The District has the following proprietary fund:

**Internal Service Fund** Internal Service funds may be used to account for goods or services provided to other funds of the District on a cost-reimbursement basis. The District operates a dental, vision, and workers' compensation self-insurance fund that is accounted for in an internal service fund.

**Fiduciary Funds** Fiduciary funds are used to account for assets held in trustee or agent capacity for others that cannot be used to support the District's own programs. The fiduciary fund category is split into four classifications: pension trust funds, investment trust funds, private-purpose trust funds, and agency funds. The key distinction between trust and agency funds is that trust funds are subject to a trust agreement that affects the degree of management involvement and the length of time that the resources are held.

Trust funds are used to account for the assets held by the District under a trust agreement for individuals, private organizations, or other governments and are therefore, not available to support the District's own programs. Agency funds are custodial in nature (assets equal liabilities) and do not involve measurement of results of operations. Such funds have no equity accounts since all assets are due to individuals or entities at some future time. The District's agency fund accounts for student body activities (ASB) and receipt of special taxes and assessments used to pay principal and interest on non-obligatory bonds of the financial reporting entity.

### **Basis of Accounting - Measurement Focus**

**Government-Wide Financial Statements** The government-wide financial statements are prepared using the economic resources measurement focus and the accrual basis of accounting. This is the same approach used in the preparation of the proprietary fund financial statements, but differs from the manner in which governmental fund financial statements are prepared.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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The government-wide statement of activities presents a comparison between expenses, both direct and indirect, and program revenues of the activities of the District and for each governmental function, and exclude fiduciary activity. Direct expenses are those that are specifically associated with a service, program, or department and are therefore, clearly identifiable to a particular function. The District does not allocate indirect expenses to functions in the *Statement of Activities*, except for depreciation. Program revenues include charges paid by the recipients of the goods or services offered by the programs and grants and contributions that are restricted to meeting the operational or capital requirements of a particular program. Revenues that are not classified as program revenues are presented as general revenues. The comparison of program revenues and expenses identifies the extent to which each program or business segment is self-financing or draws from the general revenues of the District. Eliminations have been made to minimize the double counting of internal activities.

Net position should be reported as restricted when constraints placed on net asset use are either externally imposed by creditors (such as through debt covenants), grantors, contributors, or laws or regulations of other governments or imposed by law through constitutional provisions or enabling legislation. The net position restricted for other activities result from special revenue funds and the restrictions on their net asset use.

**Fund Financial Statements** Fund financial statements report detailed information about the District. The focus of governmental and proprietary fund financial statements is on major funds rather than reporting funds by type. Each major fund is presented in a separate column. Non-major funds are aggregated and presented in a single column. The internal service fund is presented in a single column on the face of the proprietary fund statements.

**Governmental Funds** All governmental funds are accounted for using the flow of current financial resources measurement focus and the modified accrual basis of accounting. With this measurement focus, only current assets and current liabilities generally are included on the balance sheet. The statement of revenues, expenditures, and changes in fund balance reports on the sources (revenues and other financing sources) and uses (expenditures and other financing uses) of current financial resources. This approach differs from the manner in which the governmental activities of the government-wide financial statements are prepared. Governmental fund financial statements, therefore, include reconciliations with brief explanations to better identify the relationship between the government-wide financial statements, prepared using the economic resources measurement focus and the accrual basis of accounting, and the governmental fund financial statements, prepared using the flow of current financial resources measurement focus and the modified accrual basis of accounting.

**Proprietary Funds** Proprietary funds are accounted for using the flow of economic resources measurement focus and the accrual basis of accounting. All assets and all liabilities associated with the operation of this fund are included in the statement of net position. The statement of changes in fund net position presents increases (revenues) and decreases (expenses) in net total assets. The statement of cash flows provides information about how the District finances and meets the cash flow needs of its proprietary fund.

**Fiduciary Funds** Fiduciary funds are accounted for using the flow of economic resources measurement focus and the accrual basis of accounting. Fiduciary funds are excluded from the government-wide financial statements because they do not represent resources of the District.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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**Revenues – Exchange and Non-Exchange Transactions** Revenue resulting from exchange transactions, in which each party gives and receives essentially equal value, is recorded on the accrual basis when the exchange takes place. On a modified accrual basis, revenue is recorded in the fiscal year in which the resources are measurable and become available. Available means that the resources will be collected within the current fiscal year or are expected to be collected soon enough thereafter, to be used to pay liabilities of the current fiscal year. Generally, available is defined as collectible within 45 or 60 days. However, to achieve comparability of reporting among California districts and so as not to distort normal revenue patterns, with specific respect to reimbursement grants and corrections to State-aid apportionments, the California Department of Education has defined available for districts as collectible within one year. The following revenue sources are considered to be both measurable and available at fiscal year-end: State apportionments, interest, certain grants, and other local sources.

Non-exchange transactions, in which the District receives value without directly giving equal value in return, include property taxes, certain grants, entitlements, and donations. Revenue from property taxes is recognized in the fiscal year in which the taxes are received. Revenue from certain grants, entitlements, and donations is recognized in the fiscal year in which all eligibility requirements have been satisfied. Eligibility requirements include time and purpose restrictions. On a modified accrual basis, revenue from non-exchange transactions must also be available before it can be recognized.

**Deferred Revenue** Deferred revenue arises when potential revenue does not meet both the "measurable" and "available" criteria for recognition in the current period or when resources are received by the District prior to the incurrence of qualifying expenditures. In subsequent periods, when both revenue recognition criteria are met, or when the District has a legal claim to the resources, the liability for deferred revenue is removed from the balance sheet and revenue is recognized.

Certain grants received before the eligibility requirements are met are recorded as deferred revenue. On the governmental fund financial statements, receivables that will not be collected within the available period are also recorded as deferred revenue.

**Expenses/Expenditures** On the accrual basis of accounting, expenses are recognized at the time they are incurred. The measurement focus of governmental fund accounting is on decreases in net financial resources (expenditures) rather than expenses. Expenditures are generally recognized in the accounting period in which the related fund liability is incurred, if measurable, and typically paid within 90 days. Principal and interest on long-term obligations, which has not matured, are recognized when paid in the governmental funds as expenditures. Allocations of costs, such as depreciation and amortization, are not recognized in the governmental funds but are recognized in the entity-wide statements.

### **Cash and Cash Equivalents**

The District's cash and cash equivalents are considered to be cash on hand, demand deposits, and short-term investments with original maturities of three months or less from the date of acquisition. Cash equivalents also include cash with county treasury balances for purposes of the statement of cash flows.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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### Investments

Investments held at June 30, 2013, with original maturities greater than one year are stated at fair value. Fair value is estimated based on quoted market prices at year-end. All investments not required to be reported at fair value are stated at cost or amortized cost. Fair values of investments in county investment pools are determined by the program sponsor.

### Stores Inventories

Inventories consist of expendable food and supplies held for consumption. Inventories are stated at cost, on the weighted average basis. The costs of inventory items are recorded as expenditures in the governmental funds and expenses in the proprietary funds when used.

### Capital Assets and Depreciation

The accounting and reporting treatment applied to the capital assets associated with a fund are determined by its measurement focus. Capital assets are long-lived assets of the District. The District maintains a capitalization threshold of \$10,000. The District does not possess any infrastructure. Improvements are capitalized; the costs of normal maintenance and repairs that do not add to the value of the asset or materially extend an asset's life are not capitalized, but are expensed as incurred.

When purchased, such assets are recorded as expenditures in the governmental funds and capitalized in the government-wide statement of net position. The valuation basis for capital assets is historical cost, or where historical cost is not available, estimated historical cost based on replacement cost. Donated capital assets are capitalized at estimated fair market value on the date donated.

Capital assets in the proprietary funds are capitalized in the fund in which they are utilized. The valuation basis for proprietary fund capital assets is the same as those used for the capital assets of governmental funds.

Depreciation is computed using the straight-line method. Estimated useful lives of the various classes of depreciable capital assets are as follows: buildings and improvements, 25 to 50 years; furniture and equipment, 15 to 20; years, and vehicles, eight years.

### Interfund Balances

On fund financial statements, receivables and payables resulting from short-term interfund loans are classified as "interfund receivables/payables". These amounts are eliminated in the governmental columns of the Statement of Net Position.

### Compensated Absences

Compensated absences are accrued as a liability as the benefits are earned. The entire compensated absence liability is reported on the government-wide statement of net position. For governmental funds, the current portion of unpaid compensated absences is recognized upon the occurrence of relevant events such as employee resignations and retirements that occur prior to year-end that have not yet been paid with expendable available financial resources. These amounts are reported in the fund from which the employees who have accumulated leave are paid.



# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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Sick leave is accumulated without limit for each employee at the rate of one day for each month worked. Leave with pay is provided when employees are absent for health reasons; however, the employees do not gain a vested right to accumulated sick leave. Employees are never paid for any sick leave balance at termination of employment or any other time. Therefore, the value of accumulated sick leave is not recognized as a liability in the District's financial statements. However, credit for unused sick leave is applicable to all classified school members who retire after January 1, 1999. At retirement, each member will receive .004 year of service credit for each day of unused sick leave. Credit for unused sick leave is applicable to all certificated employees and is determined by dividing the number of unused sick days by the number of base service days required to complete the last school year, if employed full-time.

### **Accrued Liabilities and Long-Term Obligations**

All payables, accrued liabilities, and long-term obligations are reported in the government-wide and proprietary fund financial statements. In general, governmental fund payables and accrued liabilities that, once incurred, are paid in a timely manner and in full from current financial resources are reported as obligations of the governmental funds.

However, claims and judgments, compensated absences, special termination benefits, and contractually required pension contributions that will be paid from governmental funds are reported as a liability in the governmental fund financial statements only to the extent that they are due for payment during the current year. Bonds, capital leases, and other long-term obligations are recognized as liabilities in the governmental fund financial statements when due.

### **Deferred Issuance Costs and Premiums**

In the government-wide financial statements and in the proprietary fund type financial statements, long-term obligations are reported as liabilities in the applicable governmental activities, or proprietary fund statement of net position. Bond premiums and discounts, as well as issuance costs, are deferred and amortized over the life of the bonds using the straight-line method.

### **Fund Balances - Governmental Funds**

As of June 30, 2013, fund balances of the governmental funds are classified as follows:

**Nonspendable** - amounts that cannot be spent either because they are in nonspendable form or because they are legally or contractually required to be maintained intact.

**Restricted** - amounts that can be spent only for specific purposes because of constitutional provisions or enabling legislation or because of constraints that are externally imposed by creditors, grantors, contributors, or the laws or regulations of other governments.

**Committed** - amounts that can be used only for specific purposes determined by a formal action of the governing board. The governing board is the highest level of decision-making authority for the District. Commitments may be established, modified, or rescinded only through resolutions or other action as approved by the governing board.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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**Assigned** - amounts that do not meet the criteria to be classified as restricted or committed but that are intended to be used for specific purposes. Under the District's adopted policy, only the governing board or chief business officer/assistant superintendent of business services may assign amounts for specific purposes.

**Unassigned** - all other spendable amounts.

### **Spending Order Policy**

When an expenditure is incurred for purposes for which both restricted and unrestricted fund balance is available, the District considers restricted funds to have been spent first. When an expenditure is incurred for which committed, assigned, or unassigned fund balances are available, the District considers amounts to have been spent first out of committed funds, then assigned funds, and finally unassigned funds, as needed, unless the governing board has provided otherwise in its commitment or assignment actions.

### **Minimum Fund Balance Policy**

The governing board adopted a minimum fund balance policy for the General Fund in order to protect the district against revenue shortfalls or unpredicted one-time expenditures. The policy requires a Reserve for Economic Uncertainties consisting of unassigned amounts equal to no less than three percent of General Fund expenditures and other financing uses.

### **Net Position**

Net position represents the difference between assets and liabilities. Net position net of investment in capital assets consists of capital assets, net of accumulated depreciation, reduced by the outstanding balances of any borrowings used for the acquisition, construction or improvement of those assets. Net position is reported as restricted when there are limitations imposed on their use either through the enabling legislation adopted by the District or through external restrictions imposed by creditors, grantors, or laws or regulations of other governments. The District first applies restricted resources when an expense is incurred for purposes for which both restricted and unrestricted net position is available. The government-wide financial statements report \$121,364,866 of restricted net position, which is restricted by enabling legislation.

### **Operating Revenues and Expenses**

Operating revenues are those revenues that are generated directly from the primary activity of the proprietary funds. For the District, these revenues are charges to other funds for self-insurance. Operating expenses are necessary costs incurred to provide the good or service that is the primary activity of the fund. All revenues and expenses not meeting this definition are reported as nonoperating revenues and expenses.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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### Interfund Activity

Exchange transactions between funds are reported as revenues in the seller funds and as expenditures/expenses in the purchaser funds. Flows of cash or goods from one fund to another without a requirement for repayment are reported as interfund transfers. Interfund transfers are reported as other financing sources/uses in governmental funds and after non-operating revenues/expenses in proprietary funds. Repayments from funds responsible for particular expenditures/expenses to the funds that initially paid for them are not presented in the financial statements. Interfund transfers are eliminated in the governmental activities column of the statement of activities.

### Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results may differ from those estimates.

### Budgetary Data

The budgetary process is prescribed by provisions of the California *Education Code* and requires the governing board to hold a public hearing and adopt an operating budget no later than July 1<sup>st</sup> of each year. The District governing board satisfied these requirements. The adopted budget is subject to amendment throughout the year to give consideration to unanticipated revenue and expenditures primarily resulting from events unknown at the time of budget adoption with the legal restriction that expenditures cannot exceed appropriations by major object account.

The amounts reported as the original budgeted amounts in the budgetary statements reflect the amounts when the original appropriations were adopted. The amounts reported as the final budgeted amounts in the budgetary statements reflect the amounts after all budget amendments have been accounted for. For budget purposes, on behalf payments have not been included as revenue and expenditures as required under generally accepted accounting principles.

### Property Tax

Secured property taxes attach as an enforceable lien on property as of January 1. Taxes are payable in two installments on November 1 and February 1 and become delinquent on December 10 and April 10, respectively. Unsecured property taxes are payable in one installment on or before August 31. The County of Orange bills and collects the taxes on behalf of the District. Local property tax revenues are recorded when received.

### Changes in Accounting Principles

In June 2011, the GASB issued Statement No. 63, *Financial Reporting of Deferred Outflows of Resources, Deferred Inflows of Resources, and Net Position*. This Statement provides financial reporting guidance for deferred outflows of resources and deferred inflows of resources. Concepts Statement No. 4, *Elements of Financial Statements*, introduced and defined those elements as a consumption of net assets by the government that is applicable to a future reporting period, and an acquisition of net assets by the government that is applicable to a future reporting period, respectively. Previous financial reporting standards do not include guidance for reporting those financial statement elements, which are distinct from assets and liabilities.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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Concepts Statement No. 4 also identifies net position as the residual of all other elements presented in a statement of financial position. This Statement amends the net asset reporting requirements in Statement No. 34, *Basic Financial Statements—and Management's Discussion and Analysis—for State and Local Governments*, and other pronouncements by incorporating deferred outflows of resources and deferred inflows of resources into the definitions of the required components of the residual measure and by renaming that measure as net position, rather than net assets.

The District has implemented the provisions of this Statement for the year ended June 30, 2013.

### New Accounting Pronouncements

In March 2012, the GASB issued Statement No. 65, *Items Previously Reported as Assets and Liabilities*. This Statement establishes accounting and financial reporting standards that reclassify, as deferred outflows of resources or deferred inflows of resources, certain items that were previously reported as assets and liabilities and recognizes, as outflows of resources or inflows of resources, certain items that were previously reported as assets and liabilities.

Concepts Statement No. 4, *Elements of Financial Statements*, introduced and defined the elements included in financial statements, including deferred outflows of resources and deferred inflows of resources. In addition, Concepts Statement 4 provides that reporting a deferred outflow of resources or a deferred inflow of resources should be limited to those instances identified by the Board in authoritative pronouncements that are established after applicable due process. Prior to the issuance of this Statement, only two such pronouncements have been issued. Statement No. 53, *Accounting and Financial Reporting for Derivative Instruments*, requires the reporting of a deferred outflow of resources or a deferred inflow of resources for the changes in fair value of hedging derivative instruments, and Statement No. 60, *Accounting and Financial Reporting for Service Concession Arrangements*, requires a deferred inflow of resources to be reported by a transferor government in a qualifying service concession arrangement. This Statement amends the financial statement element classification of certain items previously reported as assets and liabilities to be consistent with the definitions in Concepts Statement 4. This Statement also provides other financial reporting guidance related to the impact of the financial statement elements deferred outflows of resources and deferred inflows of resources, such as changes in the determination of the major fund calculations and limiting the use of the term *deferred* in financial statement presentations.

The provisions of this Statement are effective for financial statements for periods beginning after December 15, 2012. Early implementation is encouraged.

In June 2012, the GASB issued Statement No. 68, *Accounting and Financial Reporting for Pensions—an amendment of GASB Statement No. 27*. The primary objective of this Statement is to improve accounting and financial reporting by state and local governments for pensions. It also improves information provided by state and local governmental employers about financial support for pensions that is provided by other entities. This Statement results from a comprehensive review of the effectiveness of existing standards of accounting and financial reporting for pensions with regard to providing decision-useful information, supporting assessments of accountability and inter-period equity, and creating additional transparency.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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This Statement replaces the requirements of Statement No. 27, *Accounting for Pensions by State and Local Governmental Employers*, as well as the requirements of Statement No. 50, *Pension Disclosures*, as they relate to pensions that are provided through pension plans administered as trusts or equivalent arrangements (hereafter jointly referred to as trusts) that meet certain criteria. The requirements of Statements 27 and 50 remain applicable for pensions that are not covered by the scope of this Statement.

The scope of this Statement addresses accounting and financial reporting for pensions that are provided to the employees of state and local governmental employers through pension plans that are administered through trusts that have the following characteristics:

- Contributions from employers and non-employer contributing entities to the pension plan and earnings on those contributions are irrevocable.
- Pension plan assets are dedicated to providing pensions to plan members in accordance with the benefit terms.
- Pension plan assets are legally protected from the creditors of employers, non-employer contributing entities, and the pension plan administrator. If the plan is a defined benefit pension plan, plan assets also are legally protected from creditors of the plan members.

This Statement establishes standards for measuring and recognizing liabilities, deferred outflows of resources, and deferred inflows of resources, and expense/expenditures. For defined benefit pensions, this Statement identifies the methods and assumptions that should be used to project benefit payments, discount projected benefit payments to their actuarial present value, and attribute that present value to periods of employee service.

Note disclosure and required supplementary information requirements about pensions also are addressed. Distinctions are made regarding the particular requirements for employers based on the number of employers whose employees are provided with pensions through the pension plan and whether pension obligations and pension plan assets are shared. Employers are classified in one of the following categories for purposes of this Statement:

- Single employers are those whose employees are provided with defined benefit pensions through single-employer pension plans - pension plans in which pensions are provided to the employees of only one employer (as defined in this Statement).
- Agent employers are those whose employees are provided with defined benefit pensions through agent multiple-employer pension plans - pension plans in which plan assets are pooled for investment purposes but separate accounts are maintained for each individual employer so that each employer's share of the pooled assets is legally available to pay the benefits of only its employees.
- Cost-sharing employers are those whose employees are provided with defined benefit pensions through cost-sharing multiple-employer pension plans - pension plans in which the pension obligations to the employees of more than one employer are pooled and plan assets can be used to pay the benefits of the employees of any employer that provides pensions through the pension plan.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

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In addition, this Statement details the recognition and disclosure requirements for employers with liabilities (payables) to a defined benefit pension plan and for employers whose employees are provided with defined contribution pensions. This Statement also addresses circumstances in which a non-employer entity has a legal requirement to make contributions directly to a pension plan.

This Statement is effective for fiscal years beginning after June 15, 2014. Early implementation is encouraged.

### NOTE 2 - DEPOSITS AND INVESTMENTS

#### Summary of Deposits and Investments

Deposits and investments as of June 30, 2013, are classified in the accompanying financial statements as follows:

Governmental activities	\$ 225,631,500
Fiduciary funds	3,058,696
Total Deposits and Investments	<u>\$ 228,690,196</u>

Deposits and investments as of June 30, 2013, consist of the following:

Cash on hand and in banks	\$ 3,038,583
Cash in revolving	737,675
Investments	224,913,938
Total Deposits and Investments	<u>\$ 228,690,196</u>

#### Policies and Practices

The District is authorized under *California Government Code* to make direct investments in local agency bonds, notes, or warrants within the State; U.S. Treasury instruments; registered State warrants or treasury notes; securities of the U.S. Government, or its agencies; bankers acceptances; commercial paper; certificates of deposit placed with commercial banks and/or savings and loan companies; repurchase or reverse repurchase agreements; medium term corporate notes; shares of beneficial interest issued by diversified management companies, certificates of participation, obligations with first priority security; and collateralized mortgage obligations.

**Investment in County Treasury** - The District is considered to be an involuntary participant in an external investment pool as the District is required to deposit all receipts and collections of monies with their County Treasurer (*Education Code* Section 41001). The fair value of the District's investment in the pool is reported in the accounting financial statements at amounts based upon the District's pro-rata share of the fair value provided by the County Treasurer for the entire portfolio (in relation to the amortized cost of that portfolio). The balance available for withdrawal is based on the accounting records maintained by the County Treasurer, which is recorded on the amortized cost basis.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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### General Authorizations

Limitations as they relate to interest rate risk, credit risk, and concentration of credit risk are indicated in the schedules below:

<u>Authorized Investment Type</u>	<u>Maximum Remaining Maturity</u>	<u>Maximum Percentage of Portfolio</u>	<u>Maximum Investment in One Issuer</u>
Local Agency Bonds, Notes, Warrants	5 years	None	None
Registered State Bonds, Notes, Warrants	5 years	None	None
U.S. Treasury Obligations	5 years	None	None
U.S. Agency Securities	5 years	None	None
Banker's Acceptance	180 days	40%	30%
Commercial Paper	270 days	25%	10%
Negotiable Certificates of Deposit	5 years	30%	None
Repurchase Agreements	1 year	None	None
Reverse Repurchase Agreements	92 days	20% of base	None
Medium-Term Corporate Notes	5 years	30%	None
Mutual Funds	N/A	20%	10%
Money Market Mutual Funds	N/A	20%	10%
Mortgage Pass-Through Securities	5 years	20%	None
County Pooled Investment Funds	N/A	None	None
Local Agency Investment Fund (LAIF)	N/A	None	None
Joint Powers Authority Pools	N/A	None	None

### Interest Rate Risk

Interest rate risk is the risk that changes in market interest rates will adversely affect the fair value of an investment. Generally, the longer the maturity of an investment, the greater the sensitivity of its fair value to changes in market interest rates. The District manages its exposure to interest rate risk by investing in the county pool and purchasing a combination of shorter term and longer term investments and by timing cash flows from maturities so that a portion of the portfolio is maturing or coming close to maturity evenly over time as necessary to provide the cash flow and liquidity needed for operations.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

Information about the sensitivity of the fair values of the District's investments to market interest rate fluctuation is provided by the following schedule that shows the distribution of the District's investment by maturity:

Investment Type	Amount Reported	Fair Value	Average Maturity in Days/ Maturity Date
Orange County Investment Pool	\$ 214,290,918	\$ 212,949,837	300 days
Dreyfus Institutional Reserve Treasury Money Market Fund	2,487,064	2,487,064	46 days
INVESCO Government and Agency Money Market Fund	1,476	1,476	25 days
General Electric Capital Corporation Commercial Paper	4,722,843	4,741,356	08/01/13
FORTIS FDG LLC Commercial Paper	3,411,637	3,470,999	10/28/13
Total	<u>\$ 224,913,938</u>	<u>\$ 223,650,732</u>	

### Credit Risk

Credit risk is the risk that an issuer of an investment will not fulfill its obligation to the holder of the investment. This is measured by the assignment of a rating by a nationally recognized statistical rating organization. Presented below is the minimum rating required by the *California Government Code*, the District's investment policy, or debt agreements, and the actual rating as of the year-end for each investment type.

The investment in the Orange County Investment Pool has been rated Aaa by Moody's Investor Service as of June 30, 2013. The investment in Dreyfus Institutional Reserve Treasury Fund and the investment in INVESCO Government and Agency Money Market Fund have been rated Aaa-mf by Moody's Investor Service as of June 30, 2013. Both the investment in General Electric Capital Corporation Commercial paper and Fortis LLC Commercial Paper have been rated P-1 by Moody's Investor Service as of June 30, 2013.

### Concentration of Credit Risk

The investment policy of the District contains no limitations on the amount that can be invested in any one issuer beyond the amount stipulated by the *California Government code*. Investments in any one issuer that represent five percent or more of the total investments are in either an external investment pool or mutual funds and are therefore exempt.



# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

### Custodial Credit Risk - Deposits

This is the risk that in the event of a bank failure, the District's deposits may not be returned to it. The District does not have a policy for custodial credit risk for deposits. However, the *California Government Code* requires that a financial institution secure deposits made by State or local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under State law (unless so waived by the governmental unit). The market value of the pledged securities in the collateral pool must equal at least 110 percent of the total amount deposited by the public agency. California law also allows financial institutions to secure public deposits by pledging first trust deed mortgage notes having a value of 150 percent of the secured public deposits and letters of credit issued by the Federal Home Loan Bank of San Francisco having a value of 105 percent of the secured deposits. As of June 30, 2013, the District's bank balance of \$4,089,074 was exposed to custodial credit risk because it was uninsured and collateralized with securities held by the pledging financial institution's trust department or agent, but not in the name of the District.

### Custodial Credit Risk - Investments

This is the risk that, in the event of the failure of the counterparty, the District will not be able to recover the value of its investments or collateral securities that are in possession of an outside party. Of the investments in General Electric Capital Corporation of \$4,722,843 and Fortis LLC of \$3,411,637, the District has a custodial credit risk exposure of \$8,134,480 because the related securities are uninsured, unregistered and held by the brokerage firm which is also the counterparty for these securities. The District does not have a policy limiting the amount of securities that can be held by counterparties.

### NOTE 3 - RECEIVABLES

Receivables at June 30, 2013, consisted of intergovernmental grants, entitlements, interest and other local sources. All receivables are considered collectible in full.

	General Fund	County School Facilities Fund	Special Reserve Fund for Capital Outlay Projects	Non-Major Governmental Funds	Internal Service Fund	Total Governmental Activities
Federal Government						
Categorical aid	\$ 16,840,705	\$ -	\$ -	\$ 9,348,714	\$ -	\$ 26,189,419
State Government						
Apportionment	31,617,735	-	-	-	-	31,617,735
Categorical aid	13,757,694	-	-	532,351	-	14,290,045
Lottery	4,185,496	-	-	-	-	4,185,496
Local Government						
Interest	6,759	14,606	8,466	13,662	4,794	48,287
Regional occupational program	804,583	-	-	-	-	804,583
City of Santa Ana	-	-	-	2,325,660	-	2,325,660
Orange County Superintendent of Schools	-	-	-	-	498,136	498,136
Other Local Sources	3,998,382	-	510,655	1,186,998	36,828	5,732,863
Total	<u>\$ 71,211,354</u>	<u>\$ 14,606</u>	<u>\$ 519,121</u>	<u>\$ 13,407,385</u>	<u>\$ 539,758</u>	<u>\$ 85,692,224</u>

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013**

**NOTE 4 - CAPITAL ASSETS**

Capital asset activity for the fiscal year ended June 30, 2013, was as follows:

	Balance July 1, 2012	Additions	Deductions	Balance June 30, 2013
<b>Governmental Activities</b>				
Capital Assets Not Being Depreciated:				
Land	\$ 136,172,405	\$ -	\$ -	\$ 136,172,405
Construction in progress	169,533,311	77,924,161	199,645,531	47,811,941
Depreciated	305,705,716	77,924,161	199,645,531	183,984,346
Capital Assets Being Depreciated:				
Land improvements	24,920,609	-	-	24,920,609
Buildings and improvements	632,619,187	195,874,948	-	828,494,135
Furniture and equipment	12,870,707	1,238,503	-	14,109,210
Total Capital Assets Being Depreciated	670,410,503	197,113,451	-	867,523,954
Total Capital Assets	976,116,219	275,037,612	199,645,531	1,051,508,300
Less Accumulated Depreciation:				
Land improvements	17,016,180	500,540	-	17,516,720
Buildings and improvements	122,280,699	11,065,444	-	133,346,143
Furniture and equipment	3,166,122	443,390	-	3,609,512
Total Accumulated Depreciation	142,463,001	12,009,374	-	154,472,375
Governmental Activities Capital Assets, Net	\$ 833,653,218	\$ 263,028,238	\$ 199,645,531	\$ 897,035,925

Depreciation expense was charged as a direct expense to governmental functions as follows:

<b>Governmental Activities</b>	
Instruction	\$ 7,217,634
Supervision of instruction	1,597,247
All other pupil services	1,441,125
All other administration	624,487
Plant services	1,128,881
Total Depreciation Expenses Governmental Activities	<u>\$ 12,009,374</u>

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013**

**NOTE 5 - INTERFUND TRANSACTIONS**

**Interfund Receivables/Payables (Due To/Due From)**

Interfund receivable and payable balances arise from interfund transactions and are recorded by all funds affected in the period in which transactions are executed. Interfund receivable and payable balances at June 30, 2013, between major and non-major governmental funds, and the internal service fund are as follows:

Due To	Due From					Total
	General Fund	County School Facilities Fund	Special Reserve Fund for Capital Outlay Projects	Non-Major Governmental Funds	Internal Service Fund	
General Fund	\$ -	\$ 10,165	\$ -	\$ 2,839,330	\$ 6,021,700	\$ 8,871,195
County School Facilities Fund	4,704	-	-	3,522,394	-	3,527,098
Non-Major Governmental Funds	1,463,897	-	125,000	1,757,948	468	3,347,313
Internal Service Fund	5,795,016	633,055	-	270,539	-	6,698,610
<b>Total</b>	<b>\$ 7,263,617</b>	<b>\$ 643,220</b>	<b>\$ 125,000</b>	<b>\$ 8,390,211</b>	<b>\$ 6,022,168</b>	<b>\$ 22,444,216</b>

A balance of \$596,166 due to the General Fund from the Child Development Non-Major Governmental Fund resulted from reimbursement of salaries and benefits paid.

A balance of \$1,538,832 due to the General Fund from the Cafeteria Non-Major Governmental Fund resulted from indirect costs and reimbursement of salaries and benefits paid.

A balance of \$55,542 due to the General Fund from the Capital Facilities Non-Major Governmental Fund resulted from reimbursement of portable rental leases.

A balance of \$6,000,000 due to the General Fund from the Internal Service Fund resulted from the repayment of an interfund loan.

The balance of \$125,000 due to the Building Non-Major Governmental Fund from the Special Reserve Fund for Capital Outlay Projects resulted from the reclassification of building/modernization expenses.

A balance of \$1,237,538 due to the County School Facilities Fund from the Capital Facilities Non-Major Governmental Fund resulted from the reclassification of building/modernization expenses.

A balance of \$4,856,088 due to the Internal Service Fund from the General Fund resulted from insurance premiums.

A balance of \$1,226,216 due to the Capital Facilities Non-Major Governmental Fund from the General Fund resulted from reclassification of revenue for redevelopment funds.

All remaining balance resulted from the time lag between the date that (1) interfund goods and services are provided or reimbursable expenditures occur, (2) transaction are recorded in the accounting system, and (3) payments between funds are made.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013**

**Operating Transfers**

Interfund transfers for the year ended June 30, 2013, consisted of the following:

Transfer To	Transfer From		Total
	General Fund	Non-Major Governmental Fund	
General Fund	\$ -	\$ 100,000	\$ 100,000
Non-Major Governmental Funds	6,600,386	446,576	7,046,962
Internal Service Funds	1,629,764	-	1,629,764
Total	<u>\$ 8,230,150</u>	<u>\$ 546,576</u>	<u>\$ 8,776,726</u>

The General Fund transferred to the Cafeteria Non-Major Governmental Fund for repayment of indirect costs charged to program.	\$ 499,668
The General Fund transferred to the Deferred Maintenance Non-Major Governmental Fund for the required program match.	1,997,476
The General Fund transferred to the Debt Service Non-Major Governmental Fund for Blended Component Units for debt service payments.	4,103,242
The General Fund transferred to the Internal Service Fund for program reimbursement costs.	1,629,764
The Deferred Maintenance Non-Major Governmental Fund transferred to the General Fund for reimbursement of project costs.	100,000
The Capital Facilities Non-Major Governmental Fund transferred to the Building Non-Major Governmental Fund for reimbursement of project costs.	446,576
Total	<u>\$ 8,776,726</u>

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013**

**NOTE 6 - ACCOUNTS PAYABLE**

Accounts payable at June 30, 2013, consisted of the following:

	General Fund	County School Facilities Fund	Special Reserve Fund for Capital Outlay Projects	Non-Major Governmental Funds	Internal Service Fund	Total Governmental Activities
Vendor payables	\$ 2,285,262	\$ 493,883	\$ -	\$ 218	\$ -	\$ 2,779,363
Salaries and benefits	10,014,301	49,306	-	964,425	577,137	11,605,169
Books and supplies	1,534,338	-	-	1,401,054	-	2,935,392
Construction	63,346	5,468,063	96,454	4,061,476	-	9,689,339
Services and other operating payables	4,819,815	-	7,662	82,555	97,331	5,007,363
<b>Total</b>	<b>\$ 18,717,062</b>	<b>\$ 6,011,252</b>	<b>\$ 104,116</b>	<b>\$ 6,509,728</b>	<b>\$ 674,468</b>	<b>\$ 32,016,626</b>

**NOTE 7 - DEFERRED REVENUE**

Deferred revenue at June 30, 2013, consists of the following:

	General Fund
Federal financial assistance	\$ 1,226,829
State categorical aid	53,039
Other local	79,061
<b>Total</b>	<b>\$ 1,358,929</b>

**NOTE 8 - LOAN FROM COUNTY TREASURER**

On March 13, 2012, in accordance with California Constitution Article XVI, Section 6 and *Education Code* Section 42620, the District entered into a Temporary Transfer Agreement with the County of Orange, whereby the District borrowed \$45,000,000 from the County Treasurer to meet current maintenance expenses of the District. Repayment terms require full repayment be made no later than October 31 following each fiscal year. Interest on the loan will accrue and be payable by the District at a rate equal to the gross rate the Orange County Investment Pool is earning for the same period from the date of the temporary transfer plus five basis points until the entire loan and applicable interest is repaid. At June 30, 2013, the District had an outstanding loan balance in the amount of \$45,000,000.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013**

**NOTE 9 - LONG-TERM OBLIGATIONS**

**Summary**

The changes in the District's long-term obligations during the year consisted of the following:

	Balance July 1, 2012	Additions	Deductions	Balance June 30, 2013	Due in One Year
General obligation bonds	\$ 328,975,911	\$ 26,508,196	\$ 26,155,000	\$ 329,329,107	\$ 8,530,000
Premium on issuance	9,801,659	-	441,287	9,360,372	-
Certificates of participation (COPs)	54,268,538	31,555,653	2,320,000	83,504,191	3,185,000
Premium on issuance	1,349,315	-	56,222	1,293,093	-
2002 Qualified zone academy bonds	7,000,000	-	-	7,000,000	-
2005 Qualified zone academy bonds	4,500,000	-	-	4,500,000	-
Lease certificates	2,900,425	-	902,200	1,998,225	998,699
Compensated absences	498,299	104,800	-	603,099	-
Supplemental employment retirement plan (SERP)	3,006,793	-	2,218,881	787,912	787,912
Claims liability	18,545,763	-	527,157	18,018,606	3,254,948
Other postemployment benefits (OPEB)	32,197,159	12,289,010	8,916,380	35,569,789	-
	<u>\$ 463,043,862</u>	<u>\$ 70,457,659</u>	<u>\$ 41,537,127</u>	<u>\$ 491,964,394</u>	<u>\$ 16,756,559</u>

Payments made on the general obligation bonds are made by the Bond Interest and Redemption Fund with local revenues. Payments for the certificates of participation are made by the Debt Service Fund for Blended Component Units. Lease certificate payments are made from the Capital Facilities Fund. The accrued vacation will be paid by the fund for which the employees worked. Supplemental early retirement plan will be paid by the General Fund. The claims liability is paid from the Internal Service Fund. Other postemployment benefits are generally paid by the General Fund.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

### Bonded Debt

The outstanding general obligation bonded debt is as follows:

Issue Date	Maturity Date	Interest Rate	Original Issue	Bonds			Bonds	
				Outstanding July 1, 2012	Issued	Accreted	Redeemed	Outstanding June 30, 2013
07/11/02	08/01/32	3.00-5.375%	\$38,000,000	\$ 19,050,000	\$ -	\$ -	\$19,050,000	\$ -
10/30/02	08/01/32	2.68-5.530%	50,828,156	48,888,961	-	2,444,845	3,520,000	47,813,806
08/06/08	08/01/33	3.50-5.510%	99,997,856	95,833,014	-	874,893	700,000	96,007,907
11/12/09	08/01/29	3.00-4.250%	49,775,000	46,805,000	-	-	1,870,000	44,935,000
11/20/09	08/01/47	6.54-7.337%	34,861,114	40,814,333	-	3,148,700	-	43,963,033
11/20/09	09/15/26	5.910%	19,240,000	19,240,000	-	-	-	19,240,000
12/02/10	08/01/20	3.00-5.00%	8,591,011	9,039,603	-	319,758	315,000	9,044,361
12/02/10	08/01/28	6.450%	17,535,000	17,535,000	-	-	-	17,535,000
12/02/10	08/01/41	6.80-7.10%	19,775,000	19,775,000	-	-	-	19,775,000
12/02/10	08/01/22	2.50-5.00%	12,290,000	11,995,000	-	-	700,000	11,295,000
09/19/12	08/01/32	2.00-3.40%	19,720,000	-	19,720,000	-	-	19,720,000
				<u>\$328,975,911</u>	<u>\$19,720,000</u>	<u>\$6,788,196</u>	<u>\$26,155,000</u>	<u>\$329,329,107</u>

### 1999 General Obligation Bonds, Series 2002

On July 11, 2002, the District issued in the aggregate principal amount of \$38,000,000 in order to finance the acquisition, construction, and improvement of school sites and facilities, including relieving overcrowding, improving student safety, repairing and renovating schools, and replacing portables with permanent classrooms. The bonds had a final maturity to occur on August 1, 2032, and yield an interest rate of 3.00 to 5.375 percent. During the year, the District refunded the outstanding balance of \$19,050,000 with the issuance of the 2012 General Obligation Refunding Bonds.

### 1999 General Obligation Bonds, Series 2002B

On October 30, 2002, the District issued capital appreciation bonds in the amount of \$50,828,156 (accreting to \$110,565,000 at maturity) in order to finance the acquisition, construction, and improvement of school sites and facilities, including relieving overcrowding, improving student safety, repairing and renovating schools, and replacing portables with permanent classrooms. The bonds have a final maturity to occur on August 1, 2032, and yield an interest rate of 2.68 to 5.53 percent. At June 30, 2013, the principal balance outstanding was \$47,813,806 (including accreted interest to date).

### 2008 General Obligation Bonds, Series A

On August 6, 2008, the District issued in \$94,235,000 in current interest bonds and \$5,762,856 in capital appreciation bonds (accreting to \$22,700,000 at maturity) with an original premium of \$6,022,280 and cost of issuance of \$1,493,943. The bonds were issued to finance the acquisition, construction, and improvement of school sites and facilities, improving student safety, repairing and renovating schools, and replacing portables with modern classrooms. The bonds have a final maturity to occur on August 1, 2033, and yield an interest rate of 3.50 to 5.51 percent. At June 30, 2013, the principal balance outstanding was \$96,007,907 (including accreted interest to date). Unamortized premium received on the bonds as of June 30, 2013, was \$4,817,824.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

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### **2009 General Obligation Refunding Bonds**

On November 12, 2009, the District issued \$49,775,000 in current interest bonds. The bonds were issued for the purpose of a partial refunding of \$46,220,000 of the District's outstanding Election of 1999, General Obligation Bond, Series 2000. The bonds have a final maturity to occur on August 1, 2029 and yield interest rates ranging between 3.00 to 4.25 percent. At June 30, 2013, the principal balance outstanding was \$44,935,000.

### **2008 General Obligation Bonds, Series B**

On November 20, 2009, the District issued capital appreciation bonds in the amount of \$34,861,114 (accreting to \$418,255,000 at maturity) with an original premium of \$1,809,422 and cost of issuance of \$733,729. The bonds were issued to finance the acquisition, construction, and improvement of the school sites and facilities, improving student safety, repairing and renovating schools, and replacing portables with modern classrooms. The bonds have a final maturity to occur on August 1, 2047, and yield an interest rate of 6.54 to 7.337 percent. At June 30, 2013, the principal balance outstanding was \$43,963,033 (including accreted interest to date). Unamortized premium received on the bonds as of June 30, 2013, was \$1,642,765.

### **2008 General Obligation Bonds, Series C**

On November 20, 2009, the District issued \$19,240,000 in qualified school construction capital appreciation bonds under the provisions of the American Recovery and Reinvestment Act of 2009. The bonds were issued to finance the acquisition, construction, and improvement of the school sites and facilities, improving student safety, repairing and renovating schools, and replacing portables with modern classrooms. The bonds have a final maturity to occur on September 15, 2026, and yield an interest rate of 5.91 percent. At June 30, 2013, the principal balance outstanding was \$19,240,000.

### **2008 General Obligation Bonds, Series D, Series E, Series F**

On December 2, 2010, the District issued \$6,445,000 in current interest bonds and \$2,146,011 (accreting to \$5,875,000 at maturity) in capital appreciation bonds with Series D. The bonds were issued to finance new construction and additions to and modernization of school facilities for the District. The bonds have a final maturity to occur on August 1, 2020 and yield an interest rate of 3.00 to 5.00 percent. At June 30, 2013, the principal balance outstanding was \$9,044,361 (including accreted interest to date).

On December 2, 2010, the District issued \$17,535,000 in current interest bonds with Series F. The bonds were issued to finance new construction and additions to and modernization of school facilities for the District. The bonds have a final maturity to occur on August 1, 2028 and yield an interest rate of 6.45 percent. The District has designated the Series F Bonds as "qualified school construction bonds" under Section 54F of the Internal Revenue Code of 1986, as amended, making the District eligible for cash subsidy payments from the United States Treasury. At June 30, 2013, the principal balance outstanding was \$17,535,000. Unamortized premium received on the bonds as of June 30, 2013 was \$2,172,562.



**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS**

**JUNE 30, 2013**

On December 2, 2010, the District issued \$19,775,000 in current interest bonds with Series E. The bonds were issued to finance new construction and additions to and modernization of school facilities for the District. The bonds have a final maturity to occur on August 1, 2041 and yield an interest rate of 6.80 to 7.10 percent. The District has designated the Series E Bonds as "Build America Bonds" under Section 55AA of the Internal Revenue Code of 1986, as amended, making the District eligible for cash subsidy payments from the United States Treasury. At June 30, 2013, the principal balance outstanding was \$19,775,000.

**2010 General Obligation Refunding Bonds**

On December 2, 2010, the District issued \$12,290,000 in current interest bonds. The bonds were issued for the purpose of a partial refunding of \$12,300,000 of the District's outstanding Election of 1999, General Obligation Bonds, Series 2002. The bonds have a final maturity to occur on August 1, 2022 and yield interest rates ranging between 2.50 to 5.00 percent. At June 30, 2013, the principal balance outstanding was \$11,295,000. Unamortized premium received on the bonds as of June 30, 2013 was \$727,221.

**2012 General Obligation Refunding Bonds**

On September 19, 2012, the District issued \$19,720,000 in current interest bonds. The bonds were issued for the purpose of refunding \$19,050,000 of the District's outstanding 1999 General Obligation Bonds, Series 2002. The bonds have a final maturity to occur on August 1, 2032 and yield interest rates ranging between 2.00 to 3.40 percent. At June 30, 2013, the principal balance outstanding was \$19,720,000.

**Debt Service Requirements to Maturity**

The bonds mature through 2048 as follows:

<u>Fiscal Year</u>	<u>Principal Including Accreted Interest</u>	<u>Accreted Interest</u>	<u>Compound Interest Paid at Maturity</u>	<u>Total</u>
2014	\$ 8,454,136	\$ 75,864	\$ 10,512,692	\$ 19,042,692
2015	8,734,121	230,879	10,488,287	19,453,287
2016	9,220,650	384,350	10,435,734	20,040,734
2017	9,636,500	538,500	10,350,465	20,525,465
2018	10,146,919	688,081	10,217,027	21,052,027
2019-2023	47,825,567	8,564,618	61,877,503	118,267,688
2024-2028	83,770,163	8,617,562	51,620,410	144,008,135
2029-2033	82,386,740	20,268,260	36,582,599	139,237,599
2034-2038	34,555,081	76,279,919	4,431,636	115,266,636
2039-2043	9,408,089	18,801,911	1,426,760	29,636,760
2044-2048	25,191,141	277,423,859	-	302,615,000
<b>Total</b>	<b>\$ 329,329,107</b>	<b>\$ 411,873,803</b>	<b>\$ 207,943,113</b>	<b>\$ 949,146,023</b>

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

### Certificates of Participation (COPs)

The outstanding certificates of participation debt is as follows:

Issue Date	Maturity Date	Interest Rate	Original Issue	COPs			COPs	
				Outstanding July 1, 2012	Issued	Accreted	Redeemed	Outstanding June 30, 2013
Oct-99	04/01/37	3.60-6.25%	\$ 17,691,700	\$ 27,008,538	\$ -	\$ 1,555,653	\$ 1,010,000	\$ 27,554,191
May-02	04/01/13	3.00-4.25%	5,180,000	570,000	-	-	570,000	-
May-07	04/01/37	3.56-4.41%	29,725,000	26,690,000	-	-	740,000	25,950,000
Dec-12	12/01/35	4.25-5.20%	30,000,000	-	30,000,000	-	-	30,000,000
				<u>\$ 54,268,538</u>	<u>\$ 30,000,000</u>	<u>\$ 1,555,653</u>	<u>\$ 2,320,000</u>	<u>\$ 83,504,191</u>

In October 1999, the Corporation issued COPs in the amount of \$17,691,700 with interest rates ranging from 3.60 to 6.25 percent. The certificates have a final maturity to occur on April 1, 2037. These certificates were issued for the construction of two elementary schools. At June 30, 2013, the principal balance outstanding was \$27,554,191, including accreted interest on the capital appreciation certificates.

Year Ending June 30,	Principal Including Accreted Interest	Accreted Interest	Interest	Total
2014	\$ 996,849	\$ 58,151	\$ 101,475	\$ 1,156,475
2015	981,120	118,880	101,475	1,201,475
2016	967,313	182,687	101,475	1,251,475
2017	1,696,535	448,465	101,475	2,246,475
2018	1,651,877	568,123	101,475	2,321,475
2019-2023	1,604,983	690,017	507,375	2,802,375
2024-2028	7,315,953	6,929,047	507,375	14,752,375
2029-2033	9,531,861	17,598,139	507,375	27,637,375
2034-2037	2,807,700	3,002,300	369,875	6,179,875
Total	<u>\$ 27,554,191</u>	<u>\$ 29,595,809</u>	<u>\$ 2,399,375</u>	<u>\$ 59,549,375</u>

In May 2002, the Corporation issued COPs in the amount of \$5,180,000 with interest rates ranging from 3.00 to 4.25 percent. The certificates have a final maturity to occur on April 1, 2013. During the year, the District fulfilled its obligation and did not have an outstanding balance.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

**JUNE 30, 2013**

In May 2007, the Corporation issued COPs in the amount of \$29,725,000 with interest rates ranging from 3.56 to 4.41 percent. The certificates have a final maturity to occur on April 1, 2037. The certificates were issued for the acquisition and construction of certain infrastructure improvements, as well as to refinance the Energy Savings Project and the 1998 and 1999 Financing Projects. At June 30, 2013, the principal balance outstanding was \$25,950,000.

Year Ending June 30,	Principal	Interest	Total
2014	\$ 875,000	\$ 1,332,384	\$ 2,207,384
2015	925,000	1,288,634	2,213,634
2016	980,000	1,242,384	2,222,384
2017	1,015,000	1,193,384	2,208,384
2018	1,085,000	1,151,515	2,236,515
2019-2023	2,270,000	5,294,955	7,564,955
2024-2028	3,425,000	4,647,825	8,072,825
2029-2033	6,900,000	3,348,713	10,248,713
2034-2037	8,475,000	1,224,300	9,699,300
Total	<u>\$ 25,950,000</u>	<u>\$ 20,724,094</u>	<u>\$ 46,674,094</u>

On December 5, 2012, the Corporation issued 2012 Certificates of Participation in the amount of \$30,000,000, pursuant to a lease agreement with the District and Santa Ana Unified School District Public Facilities Corporation, with interest rates ranging from 4.25 to 5.20 percent. The certificates have a final maturity to occur on December 1, 2035. The certificates were issued to implement certain District's facilities projects. At June 30, 2013, the principal balance outstanding was \$30,000,000.

Year Ending June 30,	Principal	Interest	Total
2014	\$ 1,255,000	\$ 1,924,896	\$ 3,179,896
2015	1,250,000	1,286,955	2,536,955
2016	1,255,000	1,233,724	2,488,724
2017	1,260,000	1,180,280	2,440,280
2018	1,265,000	1,126,624	2,391,624
2019-2023	6,400,000	4,820,838	11,220,838
2024-2028	6,525,000	3,447,556	9,972,556
2029-2033	6,675,000	1,919,925	8,594,925
2034-2036	4,115,000	322,270	4,437,270
Total	<u>\$ 30,000,000</u>	<u>\$ 17,263,068</u>	<u>\$ 47,263,068</u>

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013**

**Qualified Zone Academy Bonds**

In December 2002, the District, pursuant to a lease/purchase agreement with the Corporation, issued \$7,000,000 of 2002 Lease Revenue Bonds, Qualified Zone Academy Bonds (QZAB) to provide funds to finance certain improvements, equipment, and other educational development programs of the District. The bonds mature on December 19, 2016, with the entire principal amount of \$7,000,000 due at this date. The bonds do not bear interest. In lieu of receiving periodic interest payments, eligible taxpayers who are bondholders will receive an annual Federal income tax credit. The annual base rental payment of \$395,183 to begin December 19, 2002, will be deposited with Bank of New York into an interest generating investment to produce sufficient income to repay the \$7,000,000 certificates upon maturity on December 19, 2016. At June 30, 2013, the principal balance outstanding was \$7,000,000.

In October 2005, the District issued \$4,500,000 of 2005 QZAB to provide funds to finance certain improvements, equipment, and other educational development programs of the District. The bonds mature on October 26, 2021. The annual base rental payment of \$230,810 to begin October 15, 2005, will be deposited with Bank of New York into an interest generating investment to produce sufficient income to repay the \$4,500,000 certificates upon maturity on October 26, 2021. At June 30, 2013, the principal balance outstanding was \$4,500,000.

**Lease Certificates**

On August 1, 2009, the District refinanced the outstanding balance of the 1990 Certificates of Participation by purchasing 2009 Current Interest Lease Certificates in the amount of \$5,118,911 with an interest rate of 3.83 percent. At June 30, 2013, the principal balance outstanding was \$1,998,225.

Year Ending June 30,	Principal	Interest	Total
2014	\$ 998,699	\$ 76,532	\$ 1,075,231
2015	999,526	38,282	1,037,808
Total	<u>\$ 1,998,225</u>	<u>\$ 114,814</u>	<u>\$ 2,113,039</u>

**Compensated Absences**

The long-term portion of compensated absences (accumulated unpaid employee vacation) for the District at June 30, 2013, amounted to \$603,099.

**Supplemental Employment Retirement Plan**

The District entered into an agreement for a supplemental retirement benefits plan for certain certificated and classified employees of the District. Eligibility requirements are that employees must have 10 years of service with the District, a minimum of three consecutive years prior to retirement, and must be 55 years of age. The agreement called for the District to make five equal installment payments into an annuity contract for the employees. At June 30, 2013, the District has an outstanding balance of \$787,912.

Year Ending June 30,	Total
2014	<u>\$ 787,912</u>

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

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### **Other Postemployment Benefits (OPEB) Obligation**

The District's annual required contribution for the year ended June 30, 2013, was \$12,756,060, and contributions made by the District during the year were \$8,916,380. Interest on the net OPEB obligation and adjustments to the annual required contribution were \$1,609,858 and \$(2,076,908), respectively, which resulted in an increase to the net OPEB obligation of \$3,372,630. As of June 30, 2013, the net OPEB obligation was \$35,569,789. See Note 13 for additional information regarding the OPEB obligation and the postemployment benefits plan.

### **Claims Liability**

Liabilities for claims for all injury and compensation cases are established by the District's independent administrator. These liabilities are based upon estimates, which are reviewed periodically for adequacy, adjusted if needed, and terminated upon the closing of each claim. Ending liabilities balances of \$18,018,606 were discounted at a rate of 0.5 percent and were accepted as estimated by the District's administrator.

### **NOTE 10 - NON-OBLIGATORY DEBT**

Non-obligatory debt relates to debt issuances by the Community Facility Districts, as authorized by the Mello-Roos Community Facilities Act of 1982 as amended, and the Mark-Roos Local Bond Pooling Act of 1985, and are payable from special taxes levied on property within the Community Facilities Districts according to a methodology approved by the voters within the District. Neither the faith and credit nor taxing power of the District is pledged to the payment of the bonds. Reserves have been established from the bond proceeds to meet delinquencies should they occur. If delinquencies occur beyond the amounts held in those reserves, the District has no duty to pay the delinquency out of any available funds of the District. The District acts solely as an agent for those paying taxes levied and the bondholders, and may initiate foreclosure proceedings. Special assessment debt of \$11,355,000 as of June 30, 2013, does not represent debt of the District and, as such, does not appear in the accompanying basic financial statements.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013**

**NOTE 11 - FUND BALANCES**

Fund balances are composed of the following elements:

	General Fund	County School Facilities Fund	Special Reserve Fund for Capital Outlay Projects	Non-Major Governmental Funds	Total
<b>Nonspendable</b>					
Revolving cash	\$ 170,000	\$ -	\$ -	\$ 17,675	\$ 187,675
Stores inventories	1,002,221	-	-	418,378	1,420,599
<b>Total Nonspendable</b>	<b>1,172,221</b>	<b>-</b>	<b>-</b>	<b>436,053</b>	<b>1,608,274</b>
<b>Restricted</b>					
Legally restricted programs	9,686,926	-	-	66,787	9,753,713
Cafeteria program	-	-	-	19,935,099	19,935,099
Capital projects	-	51,309,956	-	25,895,217	77,205,173
Debt services	-	-	-	24,889,078	24,889,078
<b>Total Restricted</b>	<b>9,686,926</b>	<b>51,309,956</b>	<b>-</b>	<b>70,786,181</b>	<b>131,783,063</b>
<b>Committed</b>					
Deferred maintenance program	-	-	-	30,003	30,003
Capital projects	-	-	-	1,095,405	1,095,405
<b>Total Committed</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,125,408</b>	<b>1,125,408</b>
<b>Assigned</b>					
Budget reductions	28,110,715	-	-	-	28,110,715
Capital projects	-	-	33,512,796	-	33,512,796
Other program balances	495,663	-	-	-	495,663
<b>Total Assigned</b>	<b>28,606,378</b>	<b>-</b>	<b>33,512,796</b>	<b>-</b>	<b>62,119,174</b>
<b>Unassigned</b>					
Reserve for economic uncertainties	9,966,855	-	-	-	9,966,855
<b>Total Unassigned</b>	<b>9,966,855</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>9,966,855</b>
<b>Total</b>	<b>\$ 49,432,380</b>	<b>\$ 51,309,956</b>	<b>\$ 33,512,796</b>	<b>\$ 72,347,642</b>	<b>\$ 206,602,774</b>

**NOTE 12 - EXPENDITURES (BUDGET VERSUS ACTUAL)**

At June 30, 2013, the following District major fund exceeded the budgeted amount in total as follows:

	Expenditures		
	Budget	Actual	Excess
General Fund	\$ 491,027,077	\$ 493,411,065	\$ 2,383,988

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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### NOTE 13 - POSTEMPLOYMENT HEALTH CARE PLAN AND OTHER POSTEMPLOYMENT BENEFITS (OPEB) OBLIGATION

#### Plan Description

The Postemployment Benefits Plan (the Plan) is a single-employer defined benefit healthcare plan administered by the Santa Ana Unified School District. The Plan provides medical and dental insurance benefits to eligible retirees and their spouses. Membership of the Plan consists of 944 retirees and beneficiaries currently receiving benefits and 4,236 active plan members.

#### Contribution Information

The contribution requirements of Plan members and the District are established and may be amended by the District and the Teachers Association (CEA) and the local California Service Employees Association (CSEA). The required contribution is based on projected pay-as-you-go financing requirements. For fiscal year 2012-2013, the District contributed \$8,916,380 to the Plan, all of which was used for current premiums (approximately 100 percent of total premiums).

#### Annual OPEB Cost and Net OPEB Obligation

The District's annual other postemployment benefit (OPEB) cost (expense) is calculated based on the annual required contribution of the employer (ARC), an amount actuarially determined in accordance with the parameters of GASB Statement No. 45. The ARC represents a level of funding that, if paid on an ongoing basis, is projected to cover normal cost each year and amortize any unfunded actuarial accrued liabilities (UAAL) (or funding excess) over a period not to exceed thirty years. The following table shows the components of the District's annual OPEB cost for the year, the amount actually contributed to the Plan, and changes in the District's net OPEB obligation to the Plan:

Annual required contribution	\$ 12,756,060
Interest on net OPEB obligation	1,609,858
Adjustment to annual required contribution	<u>(2,076,908)</u>
Annual OPEB cost (expense)	12,289,010
Contributions made	<u>(8,916,380)</u>
Increase in net OPEB obligation	3,372,630
Net OPEB obligation, beginning of year	<u>32,197,159</u>
Net OPEB obligation, end of year	<u>\$ 35,569,789</u>

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013**

**Trend Information**

Trend information for annual OPEB cost, the percentage of annual OPEB cost contributed to the Plan, and the net OPEB obligation is as follows:

Year Ended June 30,	Annual OPEB Cost	Actual Contribution	Percentage Contributed	Net OPEB Obligation
2011	\$ 12,997,457	\$ 6,492,173	50%	\$ 28,791,535
2012	12,338,411	8,932,787	72%	32,197,159
2013	12,289,010	8,916,380	73%	35,569,789

**Funded Status and Funding Progress**

A schedule of funding progress as of the most recent actuarial valuation is as follows:

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) - Unprojected Unit Credit (b)	Unfunded AAL (UAAL) (b - a)	Funded Ratio (a / b)	Covered Payroll (c)	UAAL as a Percentage of Covered Payroll ([b - a] / c)
July 1, 2011	\$ -	\$ 120,452,385	\$ 120,452,385	0%	\$ 301,041,077	40%

Actuarial valuations of an ongoing plan involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. Examples include assumptions about future employment, investment returns, mortality, and the healthcare cost trend. Amounts determined regarding the funded status of the Plan and the annual required contributions of the employer are subject to continual revision as actual results are compared with past expectations and new estimates are made about the future. The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, presents multiyear trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits.

**Actuarial Methods and Assumptions**

Projections of benefits for financial reporting purposes are based on the substantive plan (the Plan as understood by the employer and the Plan members) and include the types of benefits provided at the time of each valuation and the historical pattern of sharing of benefit costs between the employer and Plan members to that point. The actuarial methods and assumptions used include techniques that are designed to reduce the effects of short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.



# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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In the July 1, 2011, actuarial valuation, the unprojected unit credit method was used. The actuarial assumptions included a five percent investment rate of return (net of administrative expenses), based on the Plan being funded in an irrevocable employee benefit trust invested in a combined equity and fixed income portfolio. Healthcare cost trend rates ranged from an initial ten percent to an ultimate rate of five percent. The cost trend rate used for the Dental and Vision programs was five percent. The UAAL is being amortized at a level dollar method. The remaining amortization period at June 30, 2013, was 25 years. The actuarial value of assets was not determined in this actuarial valuation.

### NOTE 14 - RISK MANAGEMENT

The District's risk management activities are recorded in the Self-Insurance Fund. The General Fund, through the purchase of commercial insurance, administers employee life and health programs. The District self-insures its exposures for workers' compensation claims up to a \$1 million self-insured retention (SIR), and has obtained excess coverage up to statutory limits through participation in the Alliance of Schools for Cooperative Insurance Programs (ASCIP). The District also participates in ASCIP for property and liability coverage up to \$5 million. Excess property and liability coverage is obtained through the public entity risk pool, Schools Excess Liability Fund (SELF). See Note 16 for additional information relating to public entity risk pools.

For insured programs, there have been no significant reductions in insurance coverage. Settlement amounts have not exceeded insurance coverage for the current year or the three prior years.

#### Claims Liabilities

The District records an estimated liability for indemnity torts and other claims against the District. Claims liabilities are based on estimates of the ultimate cost of reported claims (including future claim adjustment expenses) and an estimate for claims incurred, but not reported based on historical experience.

#### Unpaid Claims Liabilities

The fund establishes a liability for both reported and unreported events, which includes estimates of both future payments of losses and related claim adjustment expenses. The following represent the changes in approximate aggregate liabilities for the District from July 1, 2011 to June 30, 2013:

	Workers' Compensation	Property and Liability
Liability Balance, July 1, 2011	\$ 21,870,151	\$ 610,952
Claims and changes in estimates	(621,249)	342,241
Claims payments	(3,032,031)	(624,301)
Liability Balance, June 30, 2012	18,216,871	328,892
Claims and changes in estimates	2,632,273	545,518
Claims payments	(3,254,948)	(450,000)
Liability Balance, June 30, 2013	<u>\$ 17,594,196</u>	<u>\$ 424,410</u>
Assets available to pay claims at June 30, 2013	<u>\$ 26,018,675</u>	<u>\$ 2,634,978</u>

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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### NOTE 15 - EMPLOYEE RETIREMENT SYSTEMS

Qualified employees are covered under multiple-employer retirement plans maintained by agencies of the State of California. Certificated employees are members of the California State Teachers' Retirement System (CalSTRS) and classified employees are members of the California Public Employees' Retirement System (CalPERS).

#### CalSTRS

##### Plan Description

The District contributes to CalSTRS, a cost-sharing multiple-employer public employee retirement system defined benefit pension plan administered by CalSTRS. The plan provides retirement and disability benefits, annual cost-of-living adjustments, and survivor benefits to beneficiaries. Benefit provisions are established by State statutes, as legislatively amended, within the State Teachers' Retirement Law. CalSTRS issues a separate comprehensive annual financial report that includes financial statements and required supplementary information. Copies of the CALSTRS annual financial report may be obtained from CalSTRS, 100 Waterfront Place, West Sacramento, California 95605.

##### Funding Policy

Active plan members are required to contribute 8.0 percent of their salary and the District is required to contribute an actuarially determined rate. The actuarial methods and assumptions used for determining the rate are those adopted by CalSTRS Teachers' Retirement Board. The required employer contribution rate for fiscal year 2012-2013 was 8.25 percent of annual payroll. The contribution requirements of the plan members are established by State statute. The District's contributions to CalSTRS for the fiscal years ending June 30, 2013, 2012, and 2011, were \$18,945,046, \$19,189,341, and \$19,342,606, respectively, and equal 100 percent of the required contributions for each year.

#### CalPERS

##### Plan Description

The District contributes to the School Employer Pool under CalPERS, a cost-sharing multiple-employer public employee retirement system defined benefit pension plan administered by CalPERS. The plan provides retirement and disability benefits, annual cost-of-living adjustments, and survivor benefits to plan members and beneficiaries. Benefit provisions are established by State statutes, as legislatively amended, within the Public Employees' Retirement Laws. CalPERS issues a separate comprehensive annual financial report that includes financial statements and required supplementary information. Copies of the CalPERS' annual financial report may be obtained from the CalPERS Executive Office, 400 P Street, Sacramento, California 95811.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2013

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### Funding Policy

Active plan members are required to contribute 7.0 percent of their salary and the District is required to contribute an actuarially determined rate. The actuarial methods and assumptions used for determining the rate are those adopted by the CalPERS Board of Administration. The required employer contribution rate for fiscal year 2012-2013 was 11.417 percent of covered payroll. The contribution requirements of the plan members are established by State statute. The District's contributions to CalPERS for the fiscal years ending June 30, 2013, 2012, and 2011, were \$6,883,630, \$7,605,222, and \$7,105,049, respectively, and equal 100 percent of the required contributions for each year.

### On Behalf Payments

The State of California makes contributions to CalSTRS on behalf of the District. These payments consist of State General Fund contributions to CalSTRS in the amount of \$12,134,159 (5.176 percent of annual payroll). Contributions are no longer appropriated in the annual *Budget Act* for the legislatively mandated benefits to CalPERS. Therefore, there is no on behalf contribution rate for CalPERS. Under accounting principles generally accepted in the United States of America, these amounts are to be reported as revenues and expenditures. Accordingly, these amounts have been recorded in these financial statements. On behalf payments have been excluded from the calculation of available reserves, and have not been included in the budgeted amounts reported in the *General Fund - Budgetary Comparison Schedule*.

## NOTE 16 - COMMITMENTS AND CONTINGENCIES

### Grants

The District received financial assistance from Federal and State agencies in the form of grants. The disbursement of funds received under these programs generally requires compliance with terms and conditions specified in the grant agreements and are subject to audit by the grantor agencies. Any disallowed claims resulting from such audits could become a liability of the General Fund or other applicable funds. However, in the opinion of management, any such disallowed claims will not have a material adverse effect on the overall financial position of the District at June 30, 2013.

### Litigation

The District is involved in various litigation arising from the normal course of business. In the opinion of management and legal counsel, the disposition of all litigation pending is not expected to have a material adverse effect on the overall financial position of the District at June 30, 2013.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

### Construction Commitments

As of June 30, 2013, the District had the following commitments with respect to the unfinished capital projects:

Capital Projects	Remaining Construction Commitment	Expected Date of Completion
<b>Overcrowded Relief Program</b>		
Century High	\$ 97,953	07/31/13
Franklin Elementary	139,955	07/31/13
Heninger Elementary	7,089	07/31/13
King Elementary	137,978	07/31/13
Santa Ana High	73,465	07/31/13
Spurgeon Intermediate	4,019	07/31/13
Wilson Elementary	7,080	07/31/13
Davis Elementary	39,969	08/31/13
Edison Elementary	24,761	08/31/13
Garfield Elementary	4,808,048	07/31/14
<b>Modernization Projects</b>		
Carr Intermediate	307,182	07/31/13
Diamond Elementary	2,607,128	07/31/13
Edison Elementary	963,477	07/31/13
Franklin Elementary	19,566	07/31/13
Fremont Elementary	117,631	07/31/13
Greenville Fundamental	1,525,264	07/31/13
Harvey Elementary	171,731	07/31/13
Hoover Elementary	149,537	07/31/13
Jackson Elementary	18,608	07/31/13
Jefferson Elementary	214,255	07/31/13
Lathrop Intermediate	1,307,555	07/31/13
Lowell Elementary	125,058	07/31/13
MacArthur Fundamental	65,941	07/31/13
Madison Elementary	46,555	07/31/13
Martin Elementary	60,334	07/31/13
McFadden Intermediate	121,035	07/31/13
Monroe Elementary	264,090	07/31/13
Monte Vista Elementary	455,216	07/31/13
Muir Fundamental	36,052	07/31/13
Remington Elementary	310,871	07/31/13
Roosevelt Elementary	239,414	07/31/13
Saddleback High	494,758	07/31/13
Santiago Elementary	34,268	07/31/13
Sierra Intermediate	24,908	07/31/13

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013**

**Construction Commitments (Continued)**

<u>Capital Projects</u>	<u>Remaining Construction Commitment</u>	<u>Expected Date of Completion</u>
Spurgeon Intermediate	\$ 2,619,320	07/31/13
Taft Elementary	416,030	07/31/13
Willard Intermediate	100,771	07/31/13
Adams Elementary	266,981	08/31/13
Santa Ana High	166,001	08/31/13
Wilson Elementary	1,716,550	08/31/13
Emergency Repair Program		
Santa Ana High - all projects	39,178	07/31/13
Other Projects		
Century High	97,453	07/31/13
Community Day School	30,210	07/31/13
Esqueda Elementary - shade structure	32,269	07/31/13
Garfield Community Center	1,229,471	07/31/13
Heninger Elementary - shade structure	22,969	07/31/13
	<u>\$ 21,757,954</u>	

**NOTE 17 - PARTICIPATION IN PUBLIC ENTITY RISK POOLS**

The District is a member of the Alliance of Schools for Cooperative Insurance Programs (ASCIP) and the Schools Excess Liability Fund (SELF) public entity risk pools. The District pays an annual premium to the applicable entity for its property and liability coverage. The relationships between the District and the pools are such that they are not component units of the District for financial reporting purposes.

These entities have budgeting and financial reporting requirements independent of member units and their financial statements are not presented in these financial statements; however, fund transactions between the entities and the District are included in these statements. Audited financial statements are generally available from the respective entities.

During the year ended June 30, 2013, the District made payments of \$2,019,140 and \$163,871 to ASCIP and SELF, respectively for property and liability coverage.

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*REQUIRED SUPPLEMENTARY INFORMATION*

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**SANTA ANA UNIFIED SCHOOL DISTRICT**

**GENERAL FUND  
BUDGETARY COMPARISON SCHEDULE  
FOR THE YEAR ENDED JUNE 30, 2013**

	<u>Budgeted Amounts</u>		<u>Actual</u>	<u>Variances -</u>
	<u>Original</u>	<u>Final</u>	<u>(GAAP Basis)</u>	<u>Positive</u>
				<u>(Negative)</u>
				<u>Final</u>
				<u>to Actual</u>
<b>REVENUES</b>				
Revenue limit sources	\$ 276,717,212	\$ 276,005,248	\$ 276,288,078	\$ 282,830
Federal sources	61,316,778	66,185,919	58,536,218	(7,649,701)
Other State sources	105,427,008	108,043,617	120,157,860	12,114,243
Other local sources	8,023,547	11,326,478	11,740,706	414,228
<b>Total Revenues <sup>1</sup></b>	<b>451,484,545</b>	<b>461,561,262</b>	<b>466,722,862</b>	<b>5,161,600</b>
<b>EXPENDITURES</b>				
<b>Current</b>				
Certificated salaries	233,765,892	238,750,547	236,592,566	2,157,981
Classified salaries	66,132,189	65,793,661	66,128,260	(334,599)
Employee benefits	99,829,992	101,121,066	114,138,417	(13,017,351)
Books and supplies	18,004,231	19,442,442	15,158,859	4,283,583
Services and operating expenditures	54,554,111	60,220,491	57,207,801	3,012,690
Other outgo	2,496,526	2,283,701	2,115,105	168,596
Capital outlay	789,284	2,150,359	1,807,113	343,246
Debt service - principal	1,153,724	1,153,724	-	1,153,724
Debt service - interest	111,086	111,086	262,944	(151,858)
<b>Total Expenditures <sup>1</sup></b>	<b>476,837,035</b>	<b>491,027,077</b>	<b>493,411,065</b>	<b>(2,383,988)</b>
<b>Excess (Deficiency) of Revenues</b>				
<b>Over Expenditures</b>	<b>(25,352,490)</b>	<b>(29,465,815)</b>	<b>(26,688,203)</b>	<b>2,777,612</b>
<b>Other Financing Sources (Uses)</b>				
Transfers in	13,632,276	13,906,961	100,000	(13,806,961)
Transfers out	(6,100,718)	(8,230,150)	(8,230,150)	-
<b>Net Financing Sources (Uses)</b>	<b>7,531,558</b>	<b>5,676,811</b>	<b>(8,130,150)</b>	<b>(13,806,961)</b>
<b>NET CHANGE IN FUND BALANCES</b>	<b>(17,820,932)</b>	<b>(23,789,004)</b>	<b>(34,818,353)</b>	<b>(11,029,349)</b>
<b>Fund Balances - Beginning</b>	<b>84,250,733</b>	<b>84,250,733</b>	<b>84,250,733</b>	<b>-</b>
<b>Fund Balances - Ending</b>	<b>\$ 66,429,801</b>	<b>\$ 60,461,729</b>	<b>\$ 49,432,380</b>	<b>\$ (11,029,349)</b>

<sup>1</sup> On behalf payments of \$12,134,159 are included in the actual revenues and expenditures, but have not been included in the budgeted amounts. In addition, due to the consolidation of Fund 17, Special Reserve Fund for Other Than Capital Outlay Projects, for reporting purposes into the General Fund, additional revenues and expenditures pertaining to these other funds are included in the Actual (GAAP Basis) revenues and expenditures, however are not included in the original and final General Fund budgets.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**SCHEDULE OF OTHER POSTEMPLOYMENT BENEFITS (OPEB)  
FUNDING PROGRESS  
FOR THE YEAR ENDED JUNE 30, 2013**

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<b>Actuarial Valuation Date</b>	<b>Actuarial Value of Assets (a)</b>	<b>Actuarial Accrued Liability (AAL) - Unprojected Unit Credit (b)</b>	<b>Unfunded AAL (UAAL) (b - a)</b>	<b>Funded Ratio (a / b)</b>	<b>Covered Payroll (c)</b>	<b>UAAL as a Percentage of Covered Payroll ((b - a) / c)</b>
July 1, 2009	\$ -	\$ 119,052,495	\$ 119,052,495	0%	\$ 300,812,763	40%
July 1, 2011	-	120,452,385	120,452,385	0%	301,041,077	40%

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*SUPPLEMENTARY INFORMATION*

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**SANTA ANA UNIFIED SCHOOL DISTRICT**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2013**

Federal Grantor/Pass-Through Grantor/Program or Cluster Title	CFDA Number	Pass-Through Entity Identifying Number	Federal Expenditures
<b>U.S. DEPARTMENT OF EDUCATION</b>			
Passed through California Department of Education (CDE):			
Fund for the Improvement of Education - Fitness for All No Child Left Behind Act (NCLB)	84.215F	[1]	\$ 296,670
Title I, Part A - Low Income and Neglected	84.010	14329	16,454,336
Title I, Part G - Advance Placement Test Fee Reimbursement Program	84.330	14831	43,742
Title I, Part C Cluster:			
Title I, Part C - Even Start Migrant Education (MEES)	84.011	14768	38,279
Title I, Part C - Migrant Education (Regular and Summer Program)	84.011	14326	<u>380,423</u>
Total Title I, Part C Cluster			<u>418,702</u>
School Improvement Grants Cluster:			
Title I - School Improvement Grant for QEIA Schools	84.377	14971, 15123	2,016,787
ARRA Title I - School Improvement Grant for QEIA Schools	84.388	15124, 15127	<u>10,609,997</u>
Total School Improvement Grants Cluster			<u>12,626,784</u>
Title II, Part A - Improving Teacher Quality	84.367	14341	3,727,891
Title II, Part D - Enhancing Education Through Technology Formula Grant	84.318	14334	10,658
Title III - Limited English Proficient Student Program	84.365	10084	1,754,672
Title IV, Part B, 21st Century Community Learning Centers	84.287	14788	3,710,379
Special Education Cluster (IDEA):			
Local Assistance	84.027A	13379	9,743,831
Preschool Local Entitlement	84.027A	13682	801,133
Federal Preschool	84.173A	13430	354,631
Mental Health Allocation Plan, Part B, Section 611	84.027A	14468	348,592
Pre-Kindergarten Staff Development	84.173A	13431	3,287
Alternative Dispute Resolution, Part B, Sec 611	84.027	13007	<u>10,556</u>
Total Special Education Cluster (IDEA)			<u>11,262,030</u>
Passed through CDE:			
Early Intervention Grants	84.181	23761	282,678
Carl D. Perkins Vocational and Technical Education Act Secondary Education	84.048	13924	442,966
Passed through Central County Regional Occupancy Program:			
Carl D. Perkins Vocational and Technical Education Act Post Secondary and Adult Education	84.048	13923	16,450
Passed through Rancho Santiago Community College District:			
California State Gear-Up Program	84.334A	10088	108,478
Passed through California Department of Rehabilitation:			
Workability II, Transition Partnership	84.158	10006	<u>265,636</u>
Total U.S. Department of Education			<u>51,422,072</u>

[1] Pass-Through Entity Identifying Number not available

See accompanying note to supplementary information.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (Continued)  
FOR THE YEAR ENDED JUNE 30, 2013**

Federal Grantor/Pass-Through Grantor/Program or Cluster Title	CFDA Number	Pass-Through Entity Identifying Number	Federal Expenditures
<b>U.S. DEPARTMENT OF AGRICULTURE</b>			
Passed through CDE:			
Child Nutrition Cluster:			
National School Lunch Program	10.555	13396	\$ 19,553,079
Especially Needy Breakfast Program	10.553	13390	4,013,961
Meal Supplements	10.555	13666	964,354
Commodities	10.555	13389	1,448,178
Seamless Summer Feeding Program	10.559	13004	792,897
Total Child Nutrition Cluster			<u>26,772,469</u>
Child Nutrition: Fresh Fruit and Vegetable Program	10.582	14968	57,787
Child Nutrition: Team Nutrition Grants	10.574	02151	729,487
Child Care Food	10.558	13393	654,791
Total U.S. Department of Agriculture			<u>28,214,534</u>
<b>U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			
Passed through California Department of Health Services:			
Medical Assistance Program:			
Medical Billing Option	93.778	10013	1,940,593
Medical Administrative Activities	93.778	10060	417,650
Total Medical Assistance Program			<u>2,358,243</u>
Passed through CDE:			
Child Care and Development Fund Cluster			
Child Care and Development Block Grant	93.575	15136	32,090
Child Care Mandatory and Matching Funds of the Child Care Fund	93.596	13609	58,328
Total Child Care and Development Fund Cluster			<u>90,418</u>
Head Start	93.600	10016	3,268,586
Total U.S. Department of Health and Human Services			<u>5,717,247</u>
<b>NATIONAL SCIENCE FOUNDATION</b>			
Passed through Regents of the University of California, Irvine:			
Faculty Outreach Collaborations Uniting Scientists, Students, and Schools (FOCUS)	47.076	[1]	118,699
<b>U.S. DEPARTMENT OF JUSTICE</b>			
COPS Secure our Schools	16.710	[1]	328,113
<b>U.S. DEPARTMENT OF DEFENSE</b>			
Junior Reserve Officer Training Corps	12.000	[1]	141,919
Total Expenditures of Federal Awards			<u>\$ 85,942,584</u>

[1] Pass-Through Entity Identifying Number not available

See accompanying note to supplementary information.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## LOCAL EDUCATION AGENCY ORGANIZATION STRUCTURE JUNE 30, 2013

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### ORGANIZATION

The Santa Ana Unified School District was organized in 1888, and consists of an area comprising approximately 24 square miles. The District operates thirty-six elementary schools, nine middle schools, six high schools, ten special schools/programs, and three alternative high schools.

### GOVERNING BOARD

<u>MEMBER</u>	<u>OFFICE</u>	<u>TERM EXPIRES</u>
José Alfredo Hernández, J.D.	President	2016
Rob Richardson	Vice President	2016
Audrey Yamagata-Noji, Ph.D.	Clerk	2014
Cecilia Iglesias	Member	2016
John Palacio	Member	2014

### ADMINISTRATION

Thelma Meléndez de Santa Ana, Ph.D.	Superintendent
Cathie Olsky, Ed.D.	Deputy Superintendent
Stephanie P. Phillips, Ed.D.	Deputy Superintendent, Operations
Herman Mendez	Assistant Superintendent, Elementary Education
Dawn Miller	Assistant Superintendent, Secondary Education
Doreen Lohnes	Assistant Superintendent, Support Services
Joe Dixon	Assistant Superintendent, Facilities/Governmental Relations
Chad Hammitt	Assistant Superintendent, Personnel Services

See accompanying note to supplementary information.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**SCHEDULE OF AVERAGE DAILY ATTENDANCE  
FOR THE YEAR ENDED JUNE 30, 2013**

	Final Report	
	Amended Second Period Report	Annual Report
<b>ELEMENTARY</b>		
Kindergarten	4,239	4,249
First through third	12,671	12,679
Fourth through sixth	11,494	11,499
Seventh and eighth	7,189	7,181
Home and hospital	9	10
Special education	1,532	1,543
Community day schools	37	34
Total Elementary	<u>37,171</u>	<u>37,195</u>
<b>SECONDARY</b>		
Regular classes	13,150	13,054
Continuation education	580	577
Home and hospital	19	20
Special education	688	681
Community day schools	45	50
Total Secondary	<u>14,482</u>	<u>14,382</u>
Total K-12	<u><u>51,653</u></u>	<u><u>51,577</u></u>

See accompanying note to supplementary information.



**SANTA ANA UNIFIED SCHOOL DISTRICT**

**SCHEDULE OF INSTRUCTIONAL TIME  
FOR THE YEAR ENDED JUNE 30, 2013**

Grade Level	1982-83	Reduced	1986-87	Reduced	2012-13	Number of Days		Status
	Actual	1982-83	Minutes	1986-87	Actual	Traditional	Multitrack	
	Minutes	Actual	Requirement	Requirement	Minutes	Calendar	Calendar	
Kindergarten	31,680	30,800	36,000	35,000	35,790	180	-	Complied
Grades 1 - 3	42,240	41,067	50,400	49,000				
Grade 1					50,510	180	-	Complied
Grade 2					50,510	180	-	Complied
Grade 3					50,510	180	-	Complied
Grades 4 - 6	52,800	51,333	54,000	52,500				
Grade 4					54,100	180	-	Complied
Grade 5					54,100	180	-	Complied
Grade 6					54,350	180	-	Complied
Grades 7 - 8	52,800	51,333	54,000	52,500				
Grade 7					54,350	180	-	Complied
Grade 8					54,350	180	-	Complied
Grades 9 - 12	62,128	60,402	64,800	63,000				
Grade 9					64,800	180	-	Complied
Grade 10					64,800	180	-	Complied
Grade 11					64,800	180	-	Complied
Grade 12					64,800	180	-	Complied

See accompanying note to supplementary information.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**RECONCILIATION OF ANNUAL FINANCIAL AND BUDGET REPORT WITH  
AUDITED FINANCIAL STATEMENTS  
FOR THE YEAR ENDED JUNE 30, 2013**

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There were no adjustments to the Unaudited Actual Financial Report, which required reconciliation to the audited financial statements at June 30, 2013.

See accompanying note to supplementary information.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**SCHEDULE OF FINANCIAL TRENDS AND ANALYSIS  
FOR THE YEAR ENDED JUNE 30, 2013**

	(Budget)			
	2014 <sup>1</sup>	2013	2012	2011
<b>GENERAL FUND<sup>4</sup></b>				
Revenues	\$ 456,163,984	\$ 466,715,053	\$ 486,418,636	\$ 489,402,972
Other sources	-	13,743,902	-	-
Total Revenues and Other Sources	456,163,984	480,458,955	486,418,636	489,402,972
Expenditures	469,073,466	493,411,065	496,095,539	490,853,963
Other uses and transfers out	7,415,526	8,230,150	6,445,735	5,653,082
Total Expenditures and Other Uses	476,488,992	501,641,215	502,541,274	496,507,045
DECREASE IN FUND BALANCE	<u>\$ (20,325,008)</u>	<u>\$ (21,182,260)</u>	<u>\$ (16,122,638)</u>	<u>\$ (7,104,073)</u>
ENDING FUND BALANCE	<u>\$ 29,107,372</u>	<u>\$ 49,432,380</u>	<u>\$ 70,614,640</u>	<u>\$ 86,737,278</u>
AVAILABLE RESERVES <sup>2</sup>	<u>\$ 9,569,782</u>	<u>\$ 9,966,855</u>	<u>\$ 23,461,020</u>	<u>\$ 47,669,766</u>
AVAILABLE RESERVES AS A PERCENTAGE OF TOTAL OUTGO <sup>3</sup>	2.01%	2.04%	4.78%	9.80%
LONG-TERM OBLIGATIONS	<u>N/A</u>	<u>\$ 491,964,394</u>	<u>\$ 463,043,862</u>	<u>\$ 470,619,198</u>
K-12 AVERAGE DAILY ATTENDANCE AT P-2	<u>51,220</u>	<u>51,653</u>	<u>51,787</u>	<u>51,751</u>

The General Fund balance has decreased by \$37,304,898 over the past two years. The fiscal year 2013-2014 budget projects a further decrease of \$20,325,008 (41.1 percent). For a district this size, the State recommends available reserves of at least two percent of total General Fund expenditures, transfers out, and other uses (total outgo).

The District has incurred operating deficits in all of the past three years and anticipates incurring an operating deficit during the 2013-2014 fiscal year. Total long-term obligations have increased by \$21,345,196 over the past two years.

Average daily attendance has decreased by 98 over the past two years. An additional decline of 433 ADA is anticipated during fiscal year 2013-2014.

<sup>1</sup> Budget 2014 is included for analytical purposes only and has not been subjected to audit.

<sup>2</sup> Available reserves consist of all unassigned fund balances including all amounts reserved for economic uncertainties contained with the General Fund.

<sup>3</sup> On behalf payments of \$12,134,159, \$11,295,005, and \$10,004,146 have been excluded from the calculation of available reserves for the fiscal years ending June 30, 2013, 2012, and 2011, respectively.

<sup>4</sup> General Fund amounts do not include activity related to the consolidation of the Fund 17, Special Reserve other Than Capital Outlay Projects, as required by GASB Statement No. 54.

See accompanying note to supplementary information.

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**SANTA ANA UNIFIED SCHOOL DISTRICT**

**SCHEDULE OF CHARTER SCHOOLS  
FOR THE YEAR ENDED JUNE 30, 2013**

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<u>Name of Charter School</u>	<u>Included in Audit Report</u>
Edward B. Cole Senior Academy of Santa Ana	No
El Sol Science and Arts Academy of Santa Ana	No
NOVA Academy	No
Orange County High School of the Arts	No
Orange County Educational Arts Academy (OCEAA)	No

See accompanying note to supplementary information.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NON-MAJOR GOVERNMENTAL FUNDS  
COMBINING BALANCE SHEET  
JUNE 30, 2013**

	<b>Child Development Fund</b>	<b>Cafeteria Fund</b>	<b>Deferred Maintenance Fund</b>	<b>Building Fund</b>
<b>ASSETS</b>				
Deposits and investments	\$ 711,299	\$ 13,745,366	\$ 797,727	\$ 19,110,967
Receivables	14,211	9,992,704	297	446,329
Due from other funds	5,921	77,978	2,714	1,180,177
Stores inventories	-	418,378	-	-
<b>Total Assets</b>	<b>\$ 731,431</b>	<b>\$ 24,234,426</b>	<b>\$ 800,738</b>	<b>\$ 20,737,473</b>
<b>LIABILITIES AND FUND BALANCES</b>				
<b>Liabilities:</b>				
Accounts payable	\$ 46,333	\$ 2,089,746	\$ 116,324	\$ 2,007,852
Due to other funds	618,311	1,773,528	654,411	2,889,638
<b>Total Liabilities</b>	<b>664,644</b>	<b>3,863,274</b>	<b>770,735</b>	<b>4,897,490</b>
<b>Fund Balances:</b>				
Nonspendable	-	436,053	-	-
Restricted	66,787	19,935,099	-	15,839,983
Committed	-	-	30,003	-
<b>Total Fund Balances</b>	<b>66,787</b>	<b>20,371,152</b>	<b>30,003</b>	<b>15,839,983</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 731,431</b>	<b>\$ 24,234,426</b>	<b>\$ 800,738</b>	<b>\$ 20,737,473</b>

See accompanying note to supplementary information.

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<b>Capital Facilities Fund</b>	<b>Capital Projects Fund for Blended Component Units</b>	<b>Bond Interest and Redemption Fund</b>	<b>Debt Service Fund for Blended Component Units</b>	<b>Total Non-Major Governmental Funds</b>
\$ 9,724,185	\$ 1,095,883	\$ 16,730,125	\$ 8,158,953	\$ 70,074,505
2,953,779	65	-	-	13,407,385
2,080,523	-	-	-	3,347,313
-	-	-	-	418,378
<u>\$ 14,758,487</u>	<u>\$ 1,095,948</u>	<u>\$ 16,730,125</u>	<u>\$ 8,158,953</u>	<u>\$ 87,247,581</u>

\$ 2,248,930	\$ 543	\$ -	\$ -	\$ 6,509,728
2,454,323	-	-	-	8,390,211
<u>4,703,253</u>	<u>543</u>	<u>-</u>	<u>-</u>	<u>14,899,939</u>

-	-	-	-	436,053
10,055,234	-	16,730,125	8,158,953	70,786,181
-	1,095,405	-	-	1,125,408
<u>10,055,234</u>	<u>1,095,405</u>	<u>16,730,125</u>	<u>8,158,953</u>	<u>72,347,642</u>

<u>\$ 14,758,487</u>	<u>\$ 1,095,948</u>	<u>\$ 16,730,125</u>	<u>\$ 8,158,953</u>	<u>\$ 87,247,581</u>
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**SANTA ANA UNIFIED SCHOOL DISTRICT**

**NON-MAJOR GOVERNMENTAL FUNDS  
COMBINING STATEMENT OF REVENUES, EXPENDITURES,  
AND CHANGES IN FUND BALANCES  
FOR THE YEAR ENDED JUNE 30, 2013**

	<b>Child Development Fund</b>	<b>Cafeteria Fund</b>	<b>Deferred Maintenance Fund</b>	<b>Building Fund</b>
<b>REVENUES</b>				
Federal sources	\$ 90,418	\$ 27,427,259	\$ -	\$ -
Other State sources	1,264,007	2,062,202	-	-
Other local sources	30,873	2,308,795	34,839	(363,942)
<b>Total Revenues</b>	<b>1,385,298</b>	<b>31,798,256</b>	<b>34,839</b>	<b>(363,942)</b>
<b>EXPENDITURES</b>				
<b>Current</b>				
Instruction	943,933	-	-	-
Instruction-related activities:				
Supervision of instruction	202,567	-	-	-
School site administration	73,790	-	-	-
Pupil services:				
Food services	-	29,491,182	-	-
All other pupil services	125,688	-	-	-
Administration:				
All other administration	44,818	938,375	-	-
Plant services	-	282,430	2,241,630	22,051
Facility acquisition and construction	-	207,818	-	10,453,586
<b>Debt service</b>				
Principal	-	-	-	-
Interest and other	-	-	-	670,000
<b>Total Expenditures</b>	<b>1,390,796</b>	<b>30,919,805</b>	<b>2,241,630</b>	<b>11,145,637</b>
<b>Excess (Deficiency) of</b>				
<b>Revenues Over Expenditures</b>	<b>(5,498)</b>	<b>878,451</b>	<b>(2,206,791)</b>	<b>(11,509,579)</b>
<b>Other Financing Sources (Uses)</b>				
Transfers in	-	499,668	1,997,476	446,576
Other sources - proceeds from bond issuance	-	-	-	19,720,000
Transfers out	-	-	(100,000)	-
Other uses - payment to escrow agent	-	-	-	(19,050,000)
<b>Net Financing Sources (Uses)</b>	<b>-</b>	<b>499,668</b>	<b>1,897,476</b>	<b>1,116,576</b>
<b>NET CHANGE IN FUND BALANCES</b>	<b>(5,498)</b>	<b>1,378,119</b>	<b>(309,315)</b>	<b>(10,393,003)</b>
<b>Fund Balances - Beginning</b>	<b>72,285</b>	<b>18,993,033</b>	<b>339,318</b>	<b>26,232,986</b>
<b>Fund Balances - Ending</b>	<b>\$ 66,787</b>	<b>\$ 20,371,152</b>	<b>\$ 30,003</b>	<b>\$ 15,839,983</b>

See accompanying note to supplementary information.



<b>Capital Facilities Fund</b>	<b>Capital Projects Fund for Blended Component Units</b>	<b>Bond Interest and Redemption Fund</b>	<b>Debt Service Fund for Blended Component Units</b>	<b>Total Non-Major Governmental Funds</b>
\$ -	\$ -	\$ 1,308,390	\$ -	\$ 28,826,067
-	-	98,471	-	3,424,680
15,924,899	1,104	18,990,322	277,511	37,204,401
15,924,899	1,104	20,397,183	277,511	69,455,148
-	-	-	-	943,933
-	-	-	-	202,567
-	-	-	-	73,790
-	-	-	-	29,491,182
-	-	-	-	125,688
38,273	-	-	-	1,021,466
1,265,967	243	-	-	3,812,321
6,193,145	42,937	-	-	16,897,486
902,200	-	7,105,000	2,320,000	10,327,200
111,086	-	10,647,686	1,388,059	12,816,831
8,510,671	43,180	17,752,686	3,708,059	75,712,464
7,414,228	(42,076)	2,644,497	(3,430,548)	(6,257,316)
-	-	-	4,103,242	7,046,962
-	-	-	-	19,720,000
(446,576)	-	-	-	(546,576)
-	-	-	-	(19,050,000)
(446,576)	-	-	4,103,242	7,170,386
6,967,652	(42,076)	2,644,497	672,694	913,070
3,087,582	1,137,481	14,085,628	7,486,259	71,434,572
\$ 10,055,234	\$ 1,095,405	\$ 16,730,125	\$ 8,158,953	\$ 72,347,642

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**GENERAL FUND SELECTED FINANCIAL INFORMATION  
THREE-YEAR SUMMARY OF REVENUES, EXPENDITURES, AND  
CHANGES OF FUND BALANCE  
FOR THE YEAR ENDED JUNE 30, 2013**

(Amounts in thousands)

	Actual Results for the Years					
	2012-2013		2011-2012		2010-2011	
	Amount	Percent of Revenue	Amount	Percent of Revenue	Amount	Percent of Revenue
<b>REVENUES</b>						
Federal revenue	\$ 58,536	12.5	\$ 75,775	15.6	\$ 79,805	16.3
State and local revenue included in revenue limit	276,288	59.2	277,132	57.0	275,188	56.2
Other State revenue	120,158	25.8	124,109	25.5	124,821	25.5
Other local revenue	6,510	1.4	5,434	1.1	5,815	1.2
Tuition and transfers in	5,223	1.1	3,969	0.8	3,774	0.8
<b>Total Revenues</b>	<u>466,715</u>	<u>100.0</u>	<u>486,419</u>	<u>100.0</u>	<u>489,403</u>	<u>100.0</u>
<b>EXPENDITURES</b>						
Salaries and Benefits						
Certificated salaries	236,593	50.7	240,687	49.5	239,305	48.9
Classified salaries	66,128	14.2	65,907	13.5	63,154	12.9
Employee benefits	114,138	24.5	111,995	23.0	108,797	22.2
<b>Total Salaries and Benefits</b>	<u>416,859</u>	<u>89.4</u>	<u>418,589</u>	<u>86.0</u>	<u>411,256</u>	<u>84.0</u>
Books and supplies	15,159	3.2	18,773	3.8	22,818	4.6
Contracts and operating expenses	57,208	12.3	54,130	11.1	51,676	10.6
Capital outlay	1,807	0.4	965	0.2	1,291	0.3
Other outgo	2,378	0.5	3,638	0.7	3,813	0.8
<b>Total Expenditures</b>	<u>493,411</u>	<u>105.8</u>	<u>496,095</u>	<u>101.8</u>	<u>490,854</u>	<u>100.3</u>
<b>EXCESS OF REVENUES (UNDER) EXPENDITURES</b>	(26,696)	(5.8)	(9,676)	(1.8)	(1,451)	(0.3)
<b>OTHER FINANCING SOURCES (USES)</b>						
Transfers, net	5,513	1.2	(6,446)	(1.3)	(5,653)	(1.2)
<b>DECREASE IN FUND BALANCE</b>	<u>(21,183)</u>	<u>(4.6)</u>	<u>(16,122)</u>	<u>(3.1)</u>	<u>(7,104)</u>	<u>(1.5)</u>
<b>FUND BALANCE, BEGINNING</b>	<u>70,615</u>		<u>86,737</u>		<u>93,841</u>	
<b>FUND BALANCE, ENDING</b>	<u>\$ 49,432</u>		<u>\$ 70,615</u>		<u>\$ 86,737</u>	

See accompanying note to supplementary information.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## NOTE TO SUPPLEMENTARY INFORMATION JUNE 30, 2013

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### NOTE 1 - PURPOSE OF SCHEDULES

#### Schedule of Expenditures of Federal Awards

The accompanying schedule of expenditures of Federal awards includes the Federal grant activity of the District and is presented on the modified accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of the United States Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the financial statements.

The following schedule provides reconciliation between revenues reported on the Statement of Revenues, Expenditures, and Changes in Fund Balances, and the related expenditures reported on the Schedule of Expenditures of Federal Awards. The reconciling amounts consist primarily of Medical Billing Option funds and Build America Bonds that have been recorded in the current period as revenues that had not been expended as of June 30, 2013. These unspent balances are reported as legally restricted ending balances within the General Fund.

	CFDA Number	Amount
Total Federal Revenues reported from the Statement of Revenues, Expenditures, and Changes in Fund Balances:		\$ 87,362,285
Medical Billing Option	93.778	(111,311)
Build America Bonds	[1]	(1,308,390)
Total Schedule of Expenditures of Federal Awards		<u>\$ 85,942,584</u>

[1] Pass-Through Entity Identifying Number not available

#### Local Education Agency Organization Structure

This schedule provides information about the District's boundaries and schools operated, members of the governing board, and members of the administration.

#### Schedule of Average Daily Attendance (ADA)

Average daily attendance (ADA) is a measurement of the number of pupils attending classes of the District. The purpose of attendance accounting from a fiscal standpoint is to provide the basis on which apportionments of State funds are made to school districts. This schedule provides information regarding the attendance of students at various grade levels and in different programs.

#### Schedule of Instructional Time

The District has received incentive funding for increasing instructional time as provided by the Incentives for Longer Instructional Day. This schedule presents information on the amount of instructional time offered by the District and whether the District complied with the provisions of *Education Code* Sections 46200 through 46206.

Districts must maintain their instructional minutes at either the 1982-1983 actual minutes or the 1986-1987 requirements, whichever is greater, as required by *Education Code* Section 46201.

# **SANTA ANA UNIFIED SCHOOL DISTRICT**

## **NOTE TO SUPPLEMENTARY INFORMATION JUNE 30, 2013**

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### **Reconciliation of Annual Financial and Budget Report With Audited Financial Statements**

This schedule provides the information necessary to reconcile the fund balance of all funds reported on the Unaudited Actual Financial Report to the audited financial statements.

### **Schedule of Financial Trends and Analysis**

This schedule discloses the District's financial trends by displaying past years' data along with current year budget information. These financial trend disclosures are used to evaluate the District's ability to continue as a going concern for a reasonable period of time.

### **Schedule of Charter Schools**

This schedule lists all Charter Schools chartered by the District and displays information for each Charter School on whether or not the Charter School is included in the District audit.

### **Non-Major Governmental Funds - Balance Sheet and Statement of Revenues, Expenditures, and Changes in Fund Balances**

The Non-Major Governmental Funds Combining Balance Sheet and Combining Statement of Revenues, Expenditures, and Changes in Fund Balances is included to provide information regarding the individual funds that have been included in the Non-Major Governmental Funds column on the Governmental Funds Balance Sheet and Statement of Revenues, Expenditures, and Changes in Fund Balances.

### **General Fund Selected Financial Information**

This schedule provides a comparison of revenues and expenditures as a percentage of total revenue for the General Fund for the past three years.

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***INDEPENDENT AUDITORS' REPORTS***

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**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER  
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS  
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN  
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

Governing Board  
Santa Ana Unified School District  
Santa Ana, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of Santa Ana Unified School District (the District) as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise Santa Ana Unified School District's basic financial statements, and have issued our report thereon dated December 5, 2013.

**Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered Santa Ana Unified School District's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Santa Ana Unified School District's internal control. Accordingly, we do not express an opinion on the effectiveness of Santa Ana Unified School District's internal control.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the District's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

## Compliance and Other Matters

As part of obtaining reasonable assurance about whether Santa Ana Unified School District's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of Santa Ana Unified School District in a separate letter dated December 5, 2013.

## Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the District's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the District's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

VADIZENSK, TIZINS, Day + Co, LLP

Rancho Cucamonga, California  
December 5, 2013





**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR  
EACH MAJOR PROGRAM AND REPORT ON INTERNAL CONTROL  
OVER COMPLIANCE REQUIRED BY OMB CIRCULAR A-133**

Governing Board  
Santa Ana Unified School District  
Santa Ana, California

**Report on Compliance for Each Major Federal Program**

We have audited Santa Ana Unified School District's compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of Santa Ana Unified School District's major Federal programs for the year ended June 30, 2013. Santa Ana Unified School District's major Federal programs are identified in the summary of auditor's results section of the accompanying Schedule of Findings and Questioned Costs.

**Management's Responsibility**

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its Federal programs.

**Auditor's Responsibility**

Our responsibility is to express an opinion on compliance for each of Santa Ana Unified School District's major Federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major Federal program occurred. An audit includes examining, on a test basis, evidence about Santa Ana Unified School District's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major Federal program. However, our audit does not provide a legal determination of Santa Ana Unified School District's compliance.

## Opinion on Each Major Federal Program

In our opinion, Santa Ana Unified School District complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major Federal programs for the year ended June 30, 2013.

## Report on Internal Control Over Compliance

Management of Santa Ana Unified School District is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered Santa Ana Unified School District's internal control over compliance with the types of requirements that could have a direct and material effect on each major Federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major Federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Santa Ana Unified School District's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a Federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a Federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a Federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified certain deficiencies in internal control over compliance, as described in the accompanying Schedule of Findings and Questioned Costs as item 2013-1 that we consider to be a significant deficiency.

Santa Ana Unified School District's response to the internal control over compliance finding identified in our audit is described in the accompanying Schedule of Findings and Questioned Costs. Santa Ana Unified School District's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

VADINSK TRINE, Day + Co. LLP

Rancho Cucamonga, California  
December 5, 2013



## INDEPENDENT AUDITORS' REPORT ON STATE COMPLIANCE

Governing Board  
Santa Ana Unified School District  
Santa Ana, California

### Report on State Compliance

We have audited Santa Ana Unified School District's compliance with the types of compliance requirements as identified in the *Standards and Procedures for Audit of California K-12 Local Educational Agencies 2012-2013* that could have a direct and material effect on each of the Santa Ana Unified School District's State government programs as noted below for the year ended June 30, 2013.

### Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its State's programs.

### Auditor's Responsibility

Our responsibility is to express an opinion on compliance of each of the Santa Ana Unified School District's State programs based on our audit of the types of compliance requirements referred to above. We conducted our audit in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the *Standards and Procedures for Audits of California K-12 Local Educational Agencies 2012-2013*. These standards require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the compliance requirements referred to above that could have a material effect on the applicable government programs noted below. An audit includes examining, on a test basis, evidence about Santa Ana Unified School District's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of Santa Ana Unified School District's compliance with those requirements.

### Unmodified Opinion on Each of the Programs

In our opinion, Santa Ana Unified School District complied, in all material respects, with the compliance requirements referred to above that are applicable to the government programs noted below that were audited for the year ended June 30, 2013.

In connection with the audit referred to above, we selected and tested transactions and records to determine the Santa Ana Unified School District's compliance with the State laws and regulations applicable to the following items:

	<u>Procedures in Audit Guide</u>	<u>Procedures Performed</u>
<b>Attendance Accounting:</b>		
Attendance Reporting	6	Yes
Teacher Certification and Misassignments	3	Yes
Kindergarten Continuance	3	Yes
Independent Study	23	Yes
Continuation Education	10	Yes
<b>Instructional Time:</b>		
School Districts	6	Yes
County Offices of Education	3	Not applicable
<b>Instructional Materials:</b>		
General Requirements	8	Yes
Ratios of Administrative Employees to Teachers	1	Yes
Classroom Teacher Salaries	1	Yes
Early Retirement Incentive	4	Not applicable
Gann Limit Calculation	1	Yes
School Accountability Report Card	3	Yes
Juvenile Court Schools	8	Not applicable
<b>Class Size Reduction Program (including in charter schools):</b>		
General Requirements	7	Yes
Option One Classes	3	Yes
Option Two Classes	4	Yes
Districts or Charter Schools With Only One School Serving K-3	4	Not applicable
<b>After School Education and Safety Program:</b>		
General Requirements	4	Yes
After School	5	Yes
Before School	6	Yes
<b>Charter Schools:</b>		
Contemporaneous Records of Attendance	1	Not applicable
Mode of Instruction	1	Not applicable
Non Classroom-Based Instruction/Independent Study	15	Not applicable
Determination of Funding for Non Classroom-Based Instruction	3	Not applicable
Annual Instruction Minutes Classroom-Based	4	

VADIZENSK TIZNE, Day + Co, LLP

Rancho Cucamonga, California  
December 5, 2013

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*SCHEDULE OF FINDINGS AND QUESTIONED COSTS*

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**SANTA ANA UNIFIED SCHOOL DISTRICT**

**SUMMARY OF AUDITORS' RESULTS  
FOR THE YEAR ENDED JUNE 30, 2013**

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**FINANCIAL STATEMENTS**

Type of auditors' report issued:	<u>Unmodified</u>
Internal control over financial reporting:	
Material weakness identified?	<u>No</u>
Significant deficiency identified?	<u>None Reported</u>
Noncompliance material to financial statements noted?	<u>No</u>

**FEDERAL AWARDS**

Internal control over major programs:	
Material weakness identified?	<u>No</u>
Significant deficiency identified?	<u>Yes</u>
Type of auditors' report issued on compliance for major programs:	<u>Unmodified</u>
Any audit findings disclosed that are required to be reported in accordance with Section .510(a) of OMB Circular A-133?	<u>No</u>

Identification of major programs:

<u>CFDA Number(s)</u>	<u>Name of Federal Program or Cluster</u>
<u>84.377, 84.388 (ARRA)</u>	<u>School Improvement Grants Cluster (including ARRA)</u>
<u>84.027, 84.027A, 84.173A</u>	<u>Special Education Cluster (IDEA)</u>
<u>84.367</u>	<u>Title II, Part A - Improving Teacher Quality</u>
<u>93.600</u>	<u>Head Start Program</u>

Dollar threshold used to distinguish between Type A and Type B programs:	<u>\$ 2,578,278</u>
Auditee qualified as low-risk auditee?	<u>Yes</u>

**STATE AWARDS**

Type of auditors' report issued on compliance for State programs:	<u>Unmodified</u>
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**SANTA ANA UNIFIED SCHOOL DISTRICT**

**FINANCIAL STATEMENT FINDINGS  
FOR THE YEAR ENDED JUNE 30, 2013**

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None reported.



# SANTA ANA UNIFIED SCHOOL DISTRICT

## FEDERAL AWARDS FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED JUNE 30, 2013

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The following findings represent significant deficiencies, and instances of noncompliance including questioned costs that are required to be reported by OMB Circular A-133. The findings have been coded as follows:

<u>Five Digit Code</u>	<u>AB 3627 Finding Type</u>
50000	Federal Compliance

2013-1    50000

### **Federal Program Affected**

U.S. Department of Education (DOE) - Title I, School Improvement Grant for QEIA Schools (includes ARRA) (CFDA No. 84.377 and 84.388), Title II, Part A Improving Teacher Quality (CFDA No. 84.367), Special Education Cluster (IDEA) (CFDA No. 84.027, 84.027A, 84.173, 84.173A)

U.S. Department of Health and Human Services - Head Start Program (CFDA No. 93.600)

### **Criteria or Specific Requirements**

Compliance requirements: Cash Management

*Code of Federal Regulations (CFR), Title 34 - Education, Part 80, Section 80.21*, requires grantees and sub-grantees to promptly, but at least quarterly, remit interest earned on advances to the Federal agency. The grantee or sub-grantee may keep interest amounts up to \$100 per year for administrative expenses. Although *CFR, Title 34 - Education, Part 74, Section 22(1)*, allows recipients to retain up to \$250 of interest earned on U.S. Department of Health and Human Services funds (e.g., child development program funding) to pay for related administrative costs; this allowance pertains to institutions of higher education, hospitals, and other non-profit organizations.

### **Condition**

*Significant deficiency /Internal controls over compliance* - Policies and procedures are not currently in place that provide for the return of excess interest earned on Federal award advances.

### **Questioned Costs**

Total interest earned by the Federal programs noted above was \$20,097. The interest reported is owed to the State/Federal Government because interest revenues exceeded the \$100 threshold.

# SANTA ANA UNIFIED SCHOOL DISTRICT

## FEDERAL AWARDS FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED JUNE 30, 2013

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### Context

Excess interest may be accumulating but the District has not calculated or returned any amounts related to Federal funds.

### Effect

The District did not implement the established procedures and subsequently did not remit the interest earnings.

### Cause

The District did not follow the California Department of Education (CDE) guidance that provides Local Educational Agencies' with procedures to determine and return excess interest earned on Federal award advances.

### Recommendation

The District should implement the guidance provided by the California Department of Education and determine if excess interest occurred. Amounts should then be remitted to the awarding agency in order to comply with the Federal regulations.

### Corrective Action Plan

The District will prepare the interest payment, at least quarterly, as required by the Federal Government with direct oversight by the Accounting Department. Interest will be reported based on the following procedures:

- 1) The District is required to report and remit interest to the CDE at least quarterly.
- 2) The District is allowed to keep interest up to \$100 per year for administrative purposes (the \$100 amount is the total for all Federal programs, not for each one).
- 3) The District will specify the time period of interest earning and the Federal program resource code.
- 4) The District will use the worksheets provided by Orange County Office of Education.
- 5) Interest on Federal cash balances will be sent to CDE, P.O. Box 515006, Sacramento, California 95851, Attn: Cashier's Office.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**STATE AWARDS FINDINGS AND QUESTIONED COSTS  
FOR THE YEAR ENDED JUNE 30, 2013**

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None reported.

**SANTA ANA UNIFIED SCHOOL DISTRICT**

**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS  
FOR THE YEAR ENDED JUNE 30, 2013**

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There were no audit findings reported in the prior year's schedule of financial statement findings.



Governing Board  
Santa Ana Unified School District  
Santa Ana, California

In planning and performing our audit of the basic financial statements of Santa Ana Unified School District for the year ending June 30, 2013, we considered its internal control structure in order to determine out auditing procedures for the purpose of expressing our opinion on the basic financial statements and not to provide assurance on the internal control structure.

However, during our audit we noted matters that are opportunities for strengthening internal controls and operating efficiency. The following items represent conditions noted by our audit that we consider important enough to bring to your attention. This letter does not affect our report dated December 5, 2013, on the basic financial statements of Santa Ana Unified School District.

### ***INTERNAL CONTROL***

#### ***Cafeteria Bank Reconciliation***

##### **Observation**

As of the date of our audit field work, the cafeteria bank reconciliations have been completed up to December 2012 with no review process in place. Performing bank reconciliations in a timely manner helps to detect misappropriation of District assets and also ensures accurate valuation of cash accounts on the District's general ledger. Finally, all bank reconciliations should be reviewed by an employee who is independent of the reconciliation function.

##### **Recommendation**

The District should reconcile the cafeteria bank account on a monthly basis and have an employee independent of the reconciliation function review the bank reconciliation. By performing bank reconciliations on a monthly basis, the District will help to ensure that district assets are not misappropriated and that the cash account is properly stated every month. Also, the implementation of a review function by an employee independent of the reconciliation function will add an extra level of review to the reconciliation and help verify the accuracy of the reconciliation.

#### ***Payroll and Benefits***

##### **Observation**

The District is not verifying whether the vendor payments for benefits agree with the Human Resources/Payroll information. The reconciliation process should also include a procedure to reconcile to the general ledger.

### **Recommendation**

The District should reconcile its benefits billing to the payroll system on a consistent basis. The absence of a reconciliation might result in the District overpaying for benefits. The District should evaluate the procedures currently being utilized during the reconciliation process and include a step to compare the reconciliation to the general ledger. Differences between the general ledger and the Human Resources/Payroll system should be investigated in a timely manner.

### ***ASSOCIATED STUDENT BODY (ASB) FUNDS***

#### *Sierra Preparatory Academy*

### **Observation**

During our review of associated student body procedures, we noted check requests contained only one of the three required approval signatures. One of the nine check requests tested contained two missing signatures.

### **Recommendation**

The site should review the cash disbursement procedures outlined in the California Department of Education's manual titled, *Accounting and Procedures for Student Organizations*. The manual explains that three signatures, one being a student representative, are required on all disbursements from a student body account and that documents supporting a disbursement should be kept in organized files with the student body bookkeeper so that they can be easily reviewed should the need arise.

#### *Valley High School*

### **Observations**

During our review of associated student body procedures, the following issues were noted:

1. Deposits are not being made timely by the site bookkeeper. This results in large cash balances being maintained at the site which severely decreases the safeguarding of the asset.
2. Two of the four batch deposits tested did not include the actual bank deposit slip.
3. The Student Body disbursements were not always supported by proper documentation. Out of 16 disbursements tested, four were missing receiving documentation for goods received, and one disbursement was missing an invoice. Receiving documentation ensures that the club/ASB received all of the items ordered. By initialing or signing an invoice, the bookkeeper knows that all the merchandise was received prior to paying for the order. All disbursements made should include an invoice in order to verify the vendor, price, and quantity of the goods ordered.
4. The student store is not reconciling sales to ending inventory on a regular basis. Under the accounting procedures for student body groups, a quarterly physical inventory should be taken. At this time, the student store sales should also be reconciled to ensure that all items purchased for resale have been sold or accounted for.

### **Recommendations**

1. At a minimum, deposits should be made weekly to minimize the amount of cash held at the site. During weeks of high cash activity there may be a need to make more than one deposit. The District should establish guidelines for this procedure including the maximum cash on hand that should be maintained at the site.
2. Bank deposit verification is required in order to document and ensure that monies were actually deposited into the proper account for ASB funds.
3. All expenditures should be processed through the proper approval process prior to being made. Each approval level increases the accuracy and validity of the expenditure being made. This reduces the risk of making an inappropriate expenditure, overspending of available funds, and fraud. All expenditures should indicate whether the items purchases have been received. This can be noted with a stamp, signature, packing slip, etc. This reduces the risk of items being paid for, but not received. All disbursements made should include an invoice in order to verify the vendor, price and quantity of the goods ordered.
4. We recommend that a quarterly physical inventory be taken and reconciled to student store sales to ensure all merchandise has been accounted for. The prior quarter ending inventory plus quarterly purchases less quarterly sales should equal the current physical count.

#### *Chavez Continuation School*

### **Observation**

During our review of associated student body procedures, we noted eight cash disbursements were not approved by ASB prior to purchase. This could potentially lead to the spending in excess of available funds. Additionally, there is the potential of an unallowable expenditure of ASB funds.

### **Recommendation**

In order to ensure proper internal controls over the ASB disbursements, the site should make certain that all disbursement transactions are pre-approved by the ASB and authorized administrative personnel. This would allow the ASB and the reviewing administrator to determine if the proposed activities are appropriate and to determine if sufficient funding is available to finance the activities or the purchases.

#### *Saddleback High School*

### **Observations**

During our review of associated student body procedures, the following issues were noted:

1. Disbursements are not consistently being approved prior to transactions taking place. Out of 15 disbursements tested, 12 lacked preapproval from the student body. This could potentially lead to spending in excess of available funds. Additionally, expenditures of questionable nature could arise if disbursements are not pre-approved.
2. Deposits are not made in a timely manner. There was a delay in one deposit of 55 days from the date of receipt. This could result in large cash balances being maintained at the sites which can hinder the safeguarding of ASB assets.

### Recommendations

1. In order to ensure proper internal controls over the ASB disbursements, the site should make certain that all disbursement transactions are pre-approved by the ASB and authorized administrative personnel. This would allow ASB and the reviewing administrator to determine if the proposed activities are appropriate and to determine if sufficient funding is available to finance the activities or the purchases.
2. The ASB should, at a minimum, make their deposits once a week to minimize the amount of cash held at the sites. During weeks of high cash activity, there may be a need to make more than one deposit. The District should establish a specific guideline for this procedure including the maximum cash on hand that should be maintained at the site.

### *Mendez Fundamental Intermediate School*

### Observations

During our review of associated student body procedures, the following issues were noted:

1. Disbursements are not consistently being approved prior to transactions taking place. Out of 16 disbursements tested, 10 disbursements lacked preapproval from the student body of which, three were processed without the approval from authorized administrative personnel. This could potentially lead to spending in excess of available funds. Additionally, expenditures of questionable nature could arise if disbursements are not pre-approved.
2. Closeout reports and deposits are not performed in a timely manner. It appears the ASB will closeout and prepares the deposit once a month. This could result in large cash balances being maintained at the sites which can hinder the safeguarding of ASB assets.
3. One fundraiser was not preapproved by the ASB prior to the event being held.

### Recommendations

1. In order to ensure proper internal controls over the ASB disbursements, the site should make certain that all disbursement transactions are pre-approved by the ASB and authorized administrative personnel. This would allow the ASB and the reviewing administrator to determine if the proposed activities are appropriate and to determine if sufficient funding is available to finance the activities or the purchases.
2. The ASB should, at a minimum, make their deposits once a week to minimize the amount of cash held at the sites. During weeks of high cash activity, there may be a need to make more than one deposit. The District should establish a specific guideline for this procedure including the maximum cash on hand that should be maintained at the site.
3. In order to ensure proper internal controls over the ASB fundraisers, the site should make certain that all fundraisers are pre-approved by ASB and authorized administrative personnel. This would allow the ASB and the reviewing administrator to determine if the proposed fundraisers are appropriate.

We will review the status of the current year comments during our next audit engagement.

*VAJRENSE, TIZNE, Day + Co. LLP*

Rancho Cucamonga, California  
December 5, 2013



**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Certification of First Interim Financial Status (Qualified)

**ITEM:** Action

**SUBMITTED BY:** Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO

**PREPARED BY:** Swandayani Singgih, Director, Budget

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board certification of the first interim financial status “qualified” report. Education Code Section 42130 requires district superintendents to prepare and submit two interim financial reports to the governing boards. A certification by the governing board concerning the financial stability of the District is required to be submitted to the County Superintendent of Schools.

**RATIONALE:**

Education Code Section 42131 requires the Board to certify whether or not the District is able to meet its financial obligations for the remainder of this fiscal year and for the subsequent two fiscal years based on the financial information known as of October 31, 2013.

The report shows that, given the uncertainty of the State revenues under LCFF as well as the expiration of sales tax rate increase under Proposition 30 in December 2016, the District may not be able to merit its financial obligations through the remainder of this fiscal year or for the subsequent two years.

The District Certification of Interim Report sheet, upon acceptance by the Board, will be forwarded to the Orange County Department of Education as required. The detailed General Fund schedules for attendance, revenues, expenditures, cash flow, and criteria and standards summary review will also be forwarded.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Certify the District first interim financial status as (qualified).

# 2013-14 FIRST INTERIM

(FINANCIAL INFORMATION AS OF OCTOBER 31, 2013)

**DECEMBER 10, 2013**

**Rick L. Miller, Ph.D.,  
Superintendent  
Stefanie P. Phillips, Ed.D.,  
Deputy Superintendent, Operations/CBO  
Tony Wold, Ed.D.,  
Executive Director, Business Operations  
Swandayani Singgih,  
Director, Budget  
Christeen Betz,  
Director, Accounting**



# TONIGHT'S GOAL

- 1. 2013-14 First Interim Report**
- 2. Major Changes Since Budget Adoption**
- 3. LCAP and Next Steps**

# 2013-14 FIRST INTERIM BUDGET

- The District's 2013-14 Adopted Budget did not include all revenue from the Local Control Funding Formula (LCFF)
- The adopted budget included a structural deficit of **\$43 million** that needed to be addressed for the 2014-15 school year and a minimum 2% reserve



# WHERE HAVE WE BEEN

- **Prior to 2012-13**
  - ARRA Funds used to bridge gaps
  - Flexible Use of Tier III (Categorical Funds)
  - Spending down of reserves to maintain programs
- **2012-13 Budget Adjustments**
  - Prop 30 – New Revenue offsetting Revenue Limit Reductions
  - Tier III Funds Used
- **2013-14 LCFF passed**
  - New ON-GOING REVENUE
  - Common Core Block Grant
  - Flexible usage of supplemental/concentration grants

# MAJOR CHANGES FOR FIRST INTERIM

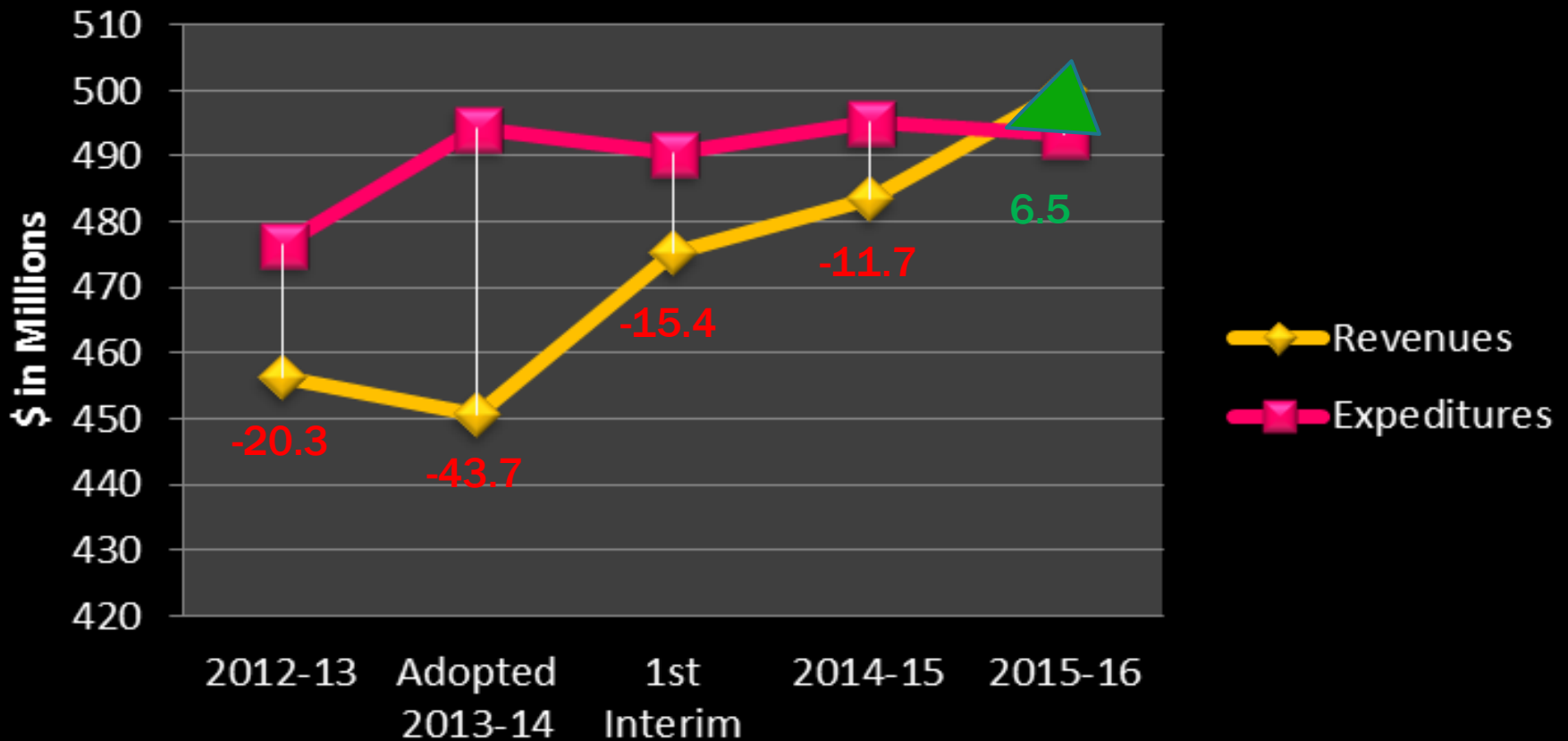
- **LCFF revenue has been added**
  - Approximately half of the new LCFF funding was incorporated into the adopted budget
  - An additional \$12.7 million in revenue is being incorporated at First Interim
- **Enrollment adjustments due to preliminary CBEDS**
  - Flat enrollment for the current year, and lesser decline predicted in future years
- **Ongoing Health and Welfare costs adjusted based upon the effectiveness of the Health Benefits Authority (HBA)**

# 2013-14 ENDING FUND BALANCE AND MULTI-YEAR PROJECTION

- Due to LCFF funding, the 2013-14 ending fund balance will increase by approximately \$7.7 million (one-time)
- The structural deficit for 2014-15 is reduced to **\$11.7 million**
- The multi-year projection incorporates the additional ongoing LCFF funding
  - This additional funding will be verified after the Governor's January Budget Proposal
  - The current projection of ongoing revenue received in 2014-15 would eliminate the structural deficit of \$43 million

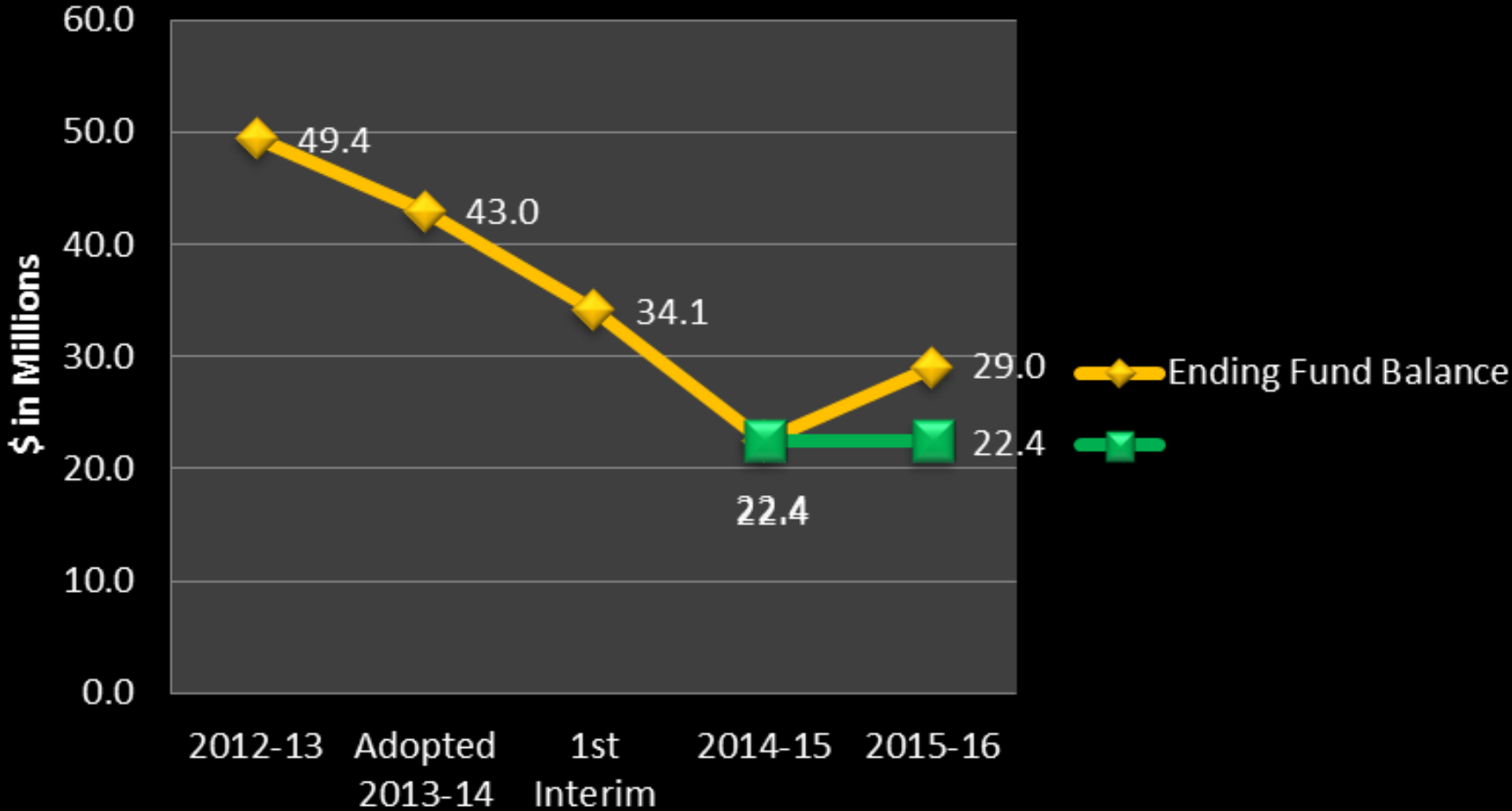
# MULTI-YEAR OUTLOOK STRUCTURAL DEFICIT

## Structural Deficit





# MULTI-YEAR OUTLOOK



# MULTI-YEAR BUDGET PROJECTION

<b>Combined General Fund</b>			
<i><b>\$ in Millions</b></i>	<b>2013-14</b>	<b>2014-15</b>	<b>2015-16</b>
Beginning Fund Balance	\$ 49.4	\$ 34.1	\$ 22.4
Revenues	\$475.2	\$483.6	\$500.0
Expenditures	\$490.5	\$495.3	\$493.4
Net Increase/ (Decrease)	<b>\$ (15.4)</b>	<b>\$ (11.7)</b>	<b>\$ 6.6</b>
Projected Ending Fund Balance	\$ 34.1	\$ 22.4	\$ 29.0
Required Budget Reductions	\$ -	\$ -	\$ -

# NEXT STEPS – SECOND INTERIM

- **The Governor's January Budget Proposal will be released January 10, 2014**
  - **2014-15 revenue projections will be adjusted based on the Governor's budget proposal**
  - **Multi-year expenditure projections will be adjusted based on staffing needs as well as other Board priorities**
- **LCAP Task Force is working to bring a plan to the Board for priorities and approval**

# SAUSD LCAP TASK FORCE PROCESS

- **The Committee is charged with adapting all current District plans into the LCAP**
  - Subgroups, including school and central office staff, as well as parents will work on the 8 components of the LCAP
- **The LCAP will then be presented for input to a wide variety of stakeholder groups prior to approval by the Board of Education**
  - Stakeholder Surveys
  - Public Community Forums
  - Board of Education updates
  - Posting on Website
  - DAC/DELAC and Continuous Improvement Team (CIT)
- **A tentative calendar has been developed to ensure adoption of the LCAP prior to July 1, 2014**

# SAUSD LCAP COMMITTEE MEETING CALENDAR

TENTATIVE DRAFT

F	S	W	Date	Activity
<b>Committee of the Whole</b>				
X			November 20, 2013	Initial Kick off meeting of full committee – Board Room
X			January 21, 2014	Full Committee review of consolidated plans and next steps 8:00 – 10:00 a.m. District Office Training Room
X			March 5, 2014	Full Committee Review draft plan and SBE template 2:00 – 4:00 p.m. Board Room
X			April 30, 2014	Full committee finalizing components of plans 2:00 – 4:00 p.m. District Office Training Room
<b>Sub-Committee Meetings (Working Groups)</b>				
		X	November 21-December 14	Subcommittee meet to review existing plans and scope of work
		X	January 23 - February 15	Subcommittee meet to finalize draft revision of plans
		X	March 6-15	Subcommittee meet to revise draft of plans
<b>Sub-Committee Chairs (only)</b>				
	X		December 18, 2013	First meeting of all Subcommittee chairs 2:00-4:00 p.m. Conference Room C107
	X		February 18, 2014	Subcommittee chairs meet to finalize draft of revised plans 8:00 – 10:00 a.m. Conference Room C107
	X		April 1, 2014	Subcommittee chairs meet to finalize plan drafts 8:00 – 10:00 a.m. Conference Room C107
<b>Public Meetings/Forums</b>				
			December 1 - 14	First Parent Involvement Committee Public Input Forum
			January 23 - February 10	Second Parent Involvement Committee Public Input Forum
			March 6-22	Third Parent Involvement Committee Public Input Forum
			March 25, 2014	Presentation to Board on LCAP development
			April 22, 2014	Presentation to Board on LCAP development
			May 1 - May 21	Community presentations to DAC/DELAC, Continuous Improvement Team, CAC, and other forums of draft plan
			May 27, 2014	Public Hearing at Board Meeting on LCAP
			June 10, 2014	Board Adoption of LCAP
			June 24, 2014	Board Adoption of 2014-15 Budget
			May 1 - May 21	Community presentations to DAC/DELAC, Continuous Improvement Team, CAC, and other forums of draft plan <b>12</b>

# NEXT STEPS - BUDGET

<b>Date</b>	<b>Event or Activity</b>
January 2014	Governor's January Budget Proposal
March 2014	LCAP update to Board and Second Interim Report
May 2014	LCAP update to Board and Third Interim Report
June 2014	LCAP and Budget adoption by Board

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                   **Approve or Deny Charter Petition for Proposed United Charter School and if Denied Adopt Resolution No. 13/14-2990 Effectuating that Action**

**ITEM:**                   **Action**

**SUBMITTED BY:**   **Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO**

**PREPARED BY:**   **Mavis Mitchell, Coordinator, Charter Schools**

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**BACKGROUND INFORMATION:**

Pursuant to Education Code Section 47605, the Board of Education is required to approve or deny a charter petition that is submitted to it proposing to establish a charter school within the geographic boundaries of the Santa Ana Unified School District. The purpose of this agenda item is for the Board to take action on the charter petition (“Charter Petition”) submitted for the establishment of the proposed United Charter School, and, if the Board denies the Charter Petition to adopt Resolution No. 13/14-2990 implementing that action. The Charter Petition was received by the District Governing Board at its meeting of October 8, 2013.

**RATIONALE:**

Review of the Charter Petition for the proposed United Charter School demonstrates that the Charter is deficient in a variety of respects and that approval of the Charter Petition would not be consistent with sound educational practice. Resolution No. 13/14-2990 includes a number of written factual findings specific to the United Charter School Charter Petition setting forth some of the most significant defects in the Charter Petition and supporting the denial of the Charter Petition.

In accordance with Education Code Section 47605(b), the written factual findings set forth in Resolution No. 13/14-2990 demonstrate that approval of the United Charter School Charter Petition would not be consistent with sound educational practice because:

1.     The petitioners are demonstrably unlikely to successfully implement the program set forth in the Charter Petition.
2.     The Charter Petition does not contain the required number of signatures.
3.     The Charter Petition does not contain reasonably comprehensive descriptions of all of the required elements.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Adopt Resolution No. 13/14-2990 – Denying the Charter School Petition for United Charter School.

SP:mm





39           **WHEREAS**, the District staff, working with an independent evaluator and  
40 District legal counsel, have reviewed and analyzed all of the information received  
41 with respect to the Charter, including information related to the operation and  
42 potential effects of United. Through that review it was determined that the  
43 Charter proposal was fatally deficient in basic and fundamental matters, as more  
44 fully discussed below, such that approval of this Charter would not be consistent  
45 with sound educational practice, without the necessity of including specific  
46 findings relative to the Charter's proposed educational program and other  
47 substantive provisions. This is not intended to imply that the District does not  
48 have concerns regarding the educational and other substantive provisions of the  
49 Charter but, instead, is intended only to indicate that because of the facial  
50 concerns, it was unnecessary to include those other substantive findings herein.  
51 As such, the District's Charter review team has made a recommendation to the  
52 District Governing Board that the United Charter be denied based on its review and  
53 these fundamental flaws; and

54  
55           **WHEREAS**, the District Governing Board has fully considered the Charter  
56 submitted for the establishment of United and the recommendation provided by  
57 District staff;

58  
59           **NOW, THEREFORE, BE IT RESOLVED AND ORDERED AS FOLLOWS:**

- 60  
61 I. That the Governing Board of SAUSD finds the above listed recitals to be true  
62 and correct and incorporates them herein by this reference.  
63  
64 II. That the Governing Board, having fully considered and evaluated the Petition  
65 for the establishment of the proposed United Charter School, hereby finds  
66 that it is not consistent with sound educational practice, based upon  
67 grounds and factual findings including, but not limited to, the following,  
68 and hereby denies Charter pursuant to Education Code Section 47605:  
69  
70           A. The petitioners are demonstrably unlikely to successfully implement the  
71 program set forth in the petition. [Education Code Section  
72 47605(b) (2)]  
73  
74           B. The petition does not contain the required number of signatures.  
75 [Education Code Section 47605(b) (3)]  
76

77 C. The petition does not contain reasonably comprehensive descriptions of  
78 all of the required elements. [Education Code Section 47605(b)(5)]  
79

80 III. That the Governing Board of the Santa Ana Unified School District hereby  
81 determines the foregoing findings are supported by specific facts, including  
82 but not limited to the following:  
83

84 A. THE PETITIONERS ARE DEMONSTRABLY UNLIKELY TO SUCCESSFULLY IMPLEMENT THE  
85 PROGRAM SET FORTH IN THE PETITION. [Education Code Section  
86 47605(b)(2)]  
87

88 1. The Charter specifies that United will be operated by United  
89 Educational Partners, a California nonprofit public benefit  
90 corporation ("UEP"). Multiple ongoing reviews of the California  
91 Secretary of State's website by District representatives indicate  
92 that United Educational Partners is currently "suspended." The  
93 term "suspended" means that UEP's powers, rights, and privileges  
94 were suspended or forfeited in California by either the Secretary  
95 of State or the Franchise Tax Board for failure to file required  
96 documents and/or make required payments. As the corporation is  
97 suspended, UEP currently cannot operate in California. This  
98 means that UEP should not have even taken the actions necessary  
99 to submit the Charter to the District for consideration, and it  
100 is prohibited from operating a public charter school in  
101 California at this time. As such, no Charter can be granted to  
102 this suspended corporate entity.

103  
104 Moreover, the fact that the petitioners failed to correct the  
105 errors and omissions that led to the suspension before or during  
106 the charter review process is indicative of a lack of care and/or  
107 knowledge with regard to its current operations and its  
108 obligations as a California entity. The District finds these  
109 issues not only problematic given the current ban on UEP's  
110 operations, but also troubling with regard to its proposed future  
111 operations and its reliability, responsibility, knowledge, and  
112 capacity to operate as proposed in the Charter and in accordance  
113 with law. Even were UEP to cure the issues which led to its  
114 suspension and be reinstated to operate within California in the

115 future, the submittal of this Charter to the District by a  
116 suspended corporation would continue to cause the District  
117 concerns regarding any request to operate a charter school in the  
118 future.

- 119
- 120 2. It has come to the District's attention that multiple  
121 substantially identical charter petitions were submitted to other  
122 school districts in California at or near the time that the  
123 United Charter School Charter was submitted to the District for  
124 consideration. These other charters were submitted by a  
125 corporation called Pacific Educational Partners ("PEP"). The  
126 District is unaware how many substantially identical charters may  
127 have been submitted by PEP. According to information submitted  
128 with these other charters, PEP has the same address as the  
129 contact address for the lead petitioner and UEP. Additionally,  
130 the agent for service of process designated on the Secretary of  
131 State's website for PEP is Joan M. Carethers-Allen, one of the  
132 members of the board of UEP. Additionally, the lead petitioner  
133 for at least some of the charters submitted by PEP is one of the  
134 teachers who signed the United petition indicating that she is  
135 meaningfully interested in teaching at United. At least some  
136 charter petitions submitted by PEP have the same date on the  
137 signature pages as the United Charter. All of these factors lead  
138 to the conclusion that there is a relationship of some unknown  
139 type between UEP and PEP and the various substantially identical  
140 charter schools that the two corporations have proposed in  
141 various districts in California. Given these facts, the District  
142 suspects UEP itself and/or other corporate entities, may also  
143 have submitted additional charters to other California school  
144 districts.

145

146 Nothing in the United Charter acknowledges or addresses the  
147 apparent relationship with PEP. The Charter also fails to  
148 address in any way the fact that multiple substantially identical  
149 charters were submitted at or near the same time and/or if and  
150 how these entities would open multiple schools at the same time  
151 in different geographic areas. There is no evidence that these  
152 entities or individuals (particularly in light of the suspension

153 of UEP) have the capacity, experience, resources, etc. to open  
154 multiple schools simultaneously. As noted above, the District is  
155 unaware how many substantially identical charters may have been  
156 submitted to other school districts, thus how many such schools  
157 could potentially be opening at the same time. There is no  
158 discussion or plan explaining a proposed relationship between the  
159 various corporate entities, between the schools, and/or between  
160 their staffs, administrators, or service providers. Further,  
161 there is no discussion of if and how the finances of the various  
162 schools would be managed, whether there would be shared costs,  
163 resources, services, staff, etc. There is also no description of  
164 any methodology that would be used to ensure a fair and  
165 appropriate distribution of services and costs and a means of  
166 assuring that all funds generated by and attributable to the  
167 United Charter School proposed for Santa Ana would be maintained  
168 and expended for the educational benefit of the students at that  
169 particular school.

170  
171 Further, the failure of the Charter and the petitioners openly  
172 and clearly to describe and explain their plans, specifically  
173 including a comprehensive description of the interrelationship  
174 between entities and schools (whether those which have already  
175 been submitted to school districts or future schools that may be  
176 operated by the same corporate entity) or to establish their  
177 capacity to open and operate multiple schools simultaneously  
178 causes serious concerns about a lack of transparency with regard  
179 to the proposed Charter School.

- 180  
181 3. There is no evidence of any local interest by parents, guardians  
182 or students in the proposed United Charter School. At the public  
183 hearing, only representatives of United spoke regarding the  
184 Charter proposal. There were no speakers or other indicia  
185 evidencing any interest from the local community, specifically  
186 including parents/guardians and students in the proposed Charter  
187 School. The lead petitioner stated during the public hearing  
188 that the proposal was entirely the product of the petitioners,  
189 and was not based on any information that the petitioners had  
190 indicating a particular community interest in the proposal. The

191 petition was signed by teachers, and as discussed more fully  
192 below, even those teacher signatures do not truly establish an  
193 interest in opening a charter school in this particular  
194 community. Thus, as a whole, there are no indicia of a  
195 likelihood that United would be able to attract 180 students in  
196 its first year of operation, on which its budget and entire  
197 operational plan are premised. This concern is heightened by the  
198 fact that there are proposals for multiple virtually identical  
199 schools in other school districts in Southern California to open  
200 at the same time. Presumably any students who may be interested  
201 in the program proposed by United would enroll in whichever of  
202 these schools is closest to his/her residence, which may further  
203 dilute interest in this proposed United Charter School.

204  
205 B. THE PETITION DOES NOT CONTAIN THE REQUIRED NUMBER OF SIGNATURES.  
206 [Education Code Section 47605(b)(3)]  
207

208 The Charter Schools Act specifies that a charter may not be submitted  
209 to a school district governing board unless and until the petition is  
210 signed by a specified number of teachers or parents/guardians.  
211 Further, the petition that is signed by such teachers or  
212 parents/guardians must include a prominent statement that a signature  
213 thereon "means that the parent or legal guardian is meaningfully  
214 interested in having his or her child or ward attend the charter  
215 school, or in the case of a teacher's signature, means that the teacher  
216 is meaningfully interested in teaching at the charter school. The  
217 proposed charter shall be attached to the petition." (Ed. Code  
218 § 47605(a), emphasis added.) This signature requirement is a  
219 prerequisite to submission of a charter for school board consideration  
220 and action, evidently to establish that there is meaningful interest in  
221 the particular charter being proposed from either the parent or teacher  
222 community before a charter can be approved. A failure to comply with  
223 this signature requirement is also a basis for denial of the particular  
224 charter.

225  
226 United submitted teacher signatures and no parent signatures in order  
227 to meet this prerequisite to submittal of its Charter for District  
228 Governing Board consideration. According to the Charter petition,

229 United projects that it will need seven teachers in its first year of  
230 operation, so was required to submit at least four teacher signatures  
231 signifying "meaningful interest" in teaching at this particular United  
232 Charter School to be operated within the Santa Ana Unified School  
233 District. The submitted petition includes five teacher signatures, but  
234 one teacher specifies that she holds only a single subject credential.  
235 Because United is proposed as a K-5 school, a single subject credential  
236 would not qualify a teacher to teach at United, therefore that teacher  
237 cannot currently be "meaningfully" interested in teaching at United as  
238 she does not meet the minimum qualifications to serve in such a  
239 position.

240  
241 As explained above, it has come to the District's attention subsequent  
242 to its receipt of and the commencement of its processing of the United  
243 Charter, that multiple substantially identical charters, at least some  
244 of which bear the same date on the signature pages, were submitted to  
245 other school districts in California by a corporation called Pacific  
246 Educational Partners. While the District does not know the exact  
247 number of such charters that were submitted by PEP and/or whether UEP  
248 also submitted substantially similar charters to other school  
249 districts, the District is aware that all of the teachers who signed  
250 the United Charter also signed multiple other charters that were  
251 submitted to other school districts at or near the same time as the  
252 United Charter was submitted to the District. Obviously, these  
253 teachers cannot actually be meaningfully interested in teaching at  
254 multiple charter schools around California at the same time. Neither  
255 the Charter itself nor any information provided by the petitioners  
256 addressed this issue, explained what, if any, plan UEP had should it  
257 receive approval of multiple charters from multiple districts, or  
258 otherwise explained how these teachers could allegedly be meaningfully  
259 interested in teaching at multiple schools. As such, the signatures of  
260 the same teachers on multiple substantially identical charter petitions  
261 proposing to open at the same time demonstrates that the teachers who  
262 signed the United Charter School petition were not meaningfully  
263 interested in teaching at this Charter School at the time the  
264 signatures were gathered and submitted to the District.

267 C. THE PETITION DOES NOT CONTAIN REASONABLY COMPREHENSIVE DESCRIPTIONS OF  
268 ALL OF THE REQUIRED ELEMENTS. [Education Code Section 47605(b)(5)]

269  
270 1. DESCRIPTION FOR THE CHARTER SCHOOL OF ANNUAL GOALS, FOR ALL  
271 PUPILS AND EACH SUBGROUP, TO BE ACHIEVED IN THE STATE PRIORITIES,  
272 AS DESCRIBED IN EDUCATION CODE SECTION 52060(D), THAT APPLY TO  
273 THE GRADE LEVELS TO BE SERVED OR THE NATURE OF THE PROGRAM, AND  
274 SPECIFIC ANNUAL ACTIONS TO ACHIEVE THOSE GOALS. [Ed. Code  
275 §47605(b)(5)(A)(ii)]

276  
277 This required element was entirely omitted from the Charter. As  
278 such, the Charter is fatally flawed because it does not include a  
279 reasonably comprehensive description of each of the required  
280 elements.

281  
282 IV. That the terms of this Resolution are severable. Should it be determined  
283 that one or more of the findings and/or the factual determinations  
284 supporting the findings is invalid, the remaining findings and/or factual  
285 determinations and the denial of the Charter shall remain in full force and  
286 effect. In this regard, the District Board specifically finds that each  
287 factual determination, in and of itself, is a sufficient basis for the  
288 finding it supports, and each such finding, in and of itself, is a  
289 sufficient basis for denial.

290  
291 The foregoing resolution was considered, passed, and adopted by this Board  
292 at its regular meeting of December 10, 2013.

293  
294  
295 By: \_\_\_\_\_  
296 Audrey Yamagata-Noji, Ph.D.,  
297 President of the Board of Education  
298 Santa Ana Unified School District

299 Attest:  
300 \_\_\_\_\_  
301  
302 Rob Richardson  
303 Clerk of the Board of Education  
304 Santa Ana Unified School District



305 STATE OF CALIFORNIA )  
306 ) ss  
307 ORANGE COUNTY )  
308  
309

310 I, Rob Richardson, Clerk of the Board of Education, do hereby certify that  
311 the foregoing is a true and correct copy of Resolution No. 13/14-2990, which was  
312 duly adopted by the Board of Education of the Santa Ana Unified School District at  
313 meeting thereof held on the 10<sup>th</sup> day of December, 2013, and that it was so adopted  
314 by the following vote:

315  
316 AYES:  
317 NOES:  
318 ABSENT:  
319 ABSTENTIONS:

320  
321 By \_\_\_\_\_  
322 Rob Richardson  
323 Clerk of the Board of Education  
324 Santa Ana Unified School District  
325  
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**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Adoption of Resolution No. 13/14–2989 – Close the Commercial Property Loophole

**ITEM:** Action

**SUBMITTED BY:** Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO

**PREPARED BY:** Stefanie P. Phillips, Ed.D., Deputy Superintendent, Operations, CBO

**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board adoption of Resolution No. 13/14–2989 to Close the Commercial Property Loophole.

**RATIONALE:**

In the 35 years since Proposition 13 passed, control over school funding and policy has been centralized in Sacramento. California’s chronic budget crises have been passed down to school districts, which have been forced to layoff teachers and increase class size. Furthermore, the state’s primary revenue sources-personal income, capital gains, and sales taxes-remain volatile. According to the Legislative Analyst’s Office, California’s revenue is 3.5 times more volatile than the state’s economy.

While voter approval of Proposition 30 last year provides some relief, California still ranks 49<sup>th</sup> in the nation in per-pupil funding. California could permanently reinvest in our schools and ensure greater revenue stability by reforming Proposition 13 to require regular reassessment of commercial property.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Adopt Resolution No. 13/14–2989 to Close the Commercial Property Loophole.





75 I, Rob Richardson, Clerk of the Board of Education do hereby certify that the  
76 foregoing is a true and correct copy of Resolution No. 13/14-2989, which was duly  
77 adopted by the Board of Education of the Santa Ana Unified School District at  
78 meeting thereof held on the 10<sup>th</sup> day of December, 2013, and that it was so adopted  
79 by the following vote:

80

81

82

83 AYES:

84 NOES:

85 ABSENT:

86 ABSTENTIONS:

87

88

89

By \_\_\_\_\_

90

Rob Richardson

91

Clerk of the Board of Education

92

Santa Ana Unified School District

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**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Approval to Appoint the SAUSD Deputy Superintendent, Educational Services and Approval of Employment Agreement

**ITEM:** Action

**SUBMITTED BY:** Rick L. Miller, Ph.D., Superintendent

Mark A. McKinney, Associate Superintendent, Human Resources

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board approval of the public employee appointment of the Deputy Superintendent, Educational Services and approve of an employment agreement.

**RECOMMENDATION:**

Approve the recommendation of Deputy Superintendent, Educational Services and approve the employment agreement with SAUSD.

  
MAM:nr

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:**                   **Appointment of Representative to Delegate Assembly for California School Boards Association Region 15**

**ITEM:**                   **Action**

**SUBMITTED BY:**   **Richard L. Miller, Ph.D., Superintendent**

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is to seek Board appointment of a representative to the California School Boards Association (CSBA) 2014-2016 Delegate Assembly for Region 15. There are two Delegate Assembly meetings each year, one in May and the other in December. The CSBA Delegate Assembly sets the general policy direction for the Association. Delegates fulfill a critical governance role by communicating the interest of local boards to CSBA's Board of Directors, Executive Committee, and staff.

**RATIONALE:**

Instructions for appointing representatives to the CSBA Delegate Assembly states that voting must be by official action of the Board. Mr. Richardson and Ms. Iglesias are the District's current representatives. Mr. Richardson's term ends in 2015. Ms. Iglesias's term ends on March 31, 2014, therefore the Board is requested to either reappoint Ms. Iglesias or appoint a new delegate.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Approve the appointment of \_\_\_\_\_ as representative to the Delegate Assembly of the California School Boards Association, to serve a two-year term from April 1, 2014 through March 31, 2016.

/cg

**AGENDA ITEM BACKUP SHEET**  
**December 10, 2013**

**Board Meeting**

**TITLE:** Board Reports/Activities  
**ITEM:** Reports  
**SUBMITTED BY:** Rick Miller, Ph.D., Superintendent  
**PREPARED BY:** Rick Miller, Ph.D., Superintendent

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**BACKGROUND INFORMATION:**

The purpose of this agenda item is for the members of the Board of Education to make announcements to the community regarding events and activities within Santa Ana Unified School District and the community as they relate to student achievement.

**RATIONALE:**

Members of the Board of Education have requested an item on the agenda of each regular meeting to provide an opportunity for announcements.

This item will provide pertinent information to the general public.

**FUNDING:**

Not Applicable

**RECOMMENDATION:**

Board members will make announcements regarding community events and activities within Santa Ana Unified School District and the community.

RM:rr